

**STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS**

1020 South Spring Street, P.O. Box 4187  
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James R. Thompson Center  
100 West Randolph, Suite 14-100  
Chicago, Illinois 60601  
312/814-6440 TTY: 312/814-6431  
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**BOARD MEMBERS**  
Albert S. Porter, Chairman  
Bryan A. Schneider, Vice Chairman  
Patrick A. Brady  
John R. Keith  
William M. McGuffage  
Wanda L. Rednour  
Jesse R. Smart  
Robert J. Walters

**EXECUTIVE DIRECTOR**  
Daniel W. White

**AGENDA  
STATE BOARD OF ELECTIONS  
BOARD MEETING**

Monday, August 20, 2007  
James R. Thompson Center – Room 9-040  
Chicago, Illinois  
10:30 a.m.

**Roll Call**

1. Approval of the minutes of the July 2 regular meeting. (pgs.1-7)
2. Report of the Executive Director
  - a. Petition filing preparations; (pgs.8-9)
  - b. Legislative update – oral report; (pg.10)
  - c. Consideration of SBE Advisory Committee members; (pgs.11-12)
  - d. HAVA update; (pgs.13-15)
  - e. Fiscal status reports;
    - 1) FY07 – months ending June 30 and July 31 – informational; (pgs.16-30)
    - 2) Help Illinois Vote Fund; (pgs.31-41)
  - f. Two year plan of staff activity for the months of August and September - informational. (pgs.42-44)
3. Report of the General Counsel
  - a. Campaign Disclosure;  
Request for issuance of amended Board order
    - 1) Committee to Elect John Johnsen, 04DS083; (pgs.45-49)Appeals of campaign disclosure fines – new appeals – hearing officer recommendation appeals be granted
    - 2) SBE v. Friends of Larry M. Doody, L13501, 07AC028; (pgs.50-57)
    - 3) SBE v. Melrose Freedom Political Committee, L14055, 07AE010; (pgs.58-60)
    - 4) SBE v. Friends of John Bradley Inc., S8471, 06AG096; (pgs.61-66)
    - 5) SBE v. SEIU Illinois Council PAC, S8810, 07AC018; (pgs.67-74)
    - 6) SBE v. Friends for Anna Kloczek, S9335, 07AC025; (pgs.75-83)Appeals of campaign disclosure fines – new appeals – hearing officer recommendation appeals be denied
    - 7) SBE v. Friends of Alderman Madeline Haithcock, L5723, 07MA007; (pgs.84-90)
    - 8) SBE v. Citizens for Felicia Simmons Stovall, L13650, 07AC012; (pgs.91-93)
    - 9) SBE v. Friends of Moraine, L14005, 07AE009; (pgs.94-96)
    - 10) SBE v. Citizens for Rory Hoskins, L14078, 07D112; (pgs.97-100)
    - 11) SBE v. Marie Slater Campaign '07, L14177, 07D116; (pgs.101-103)
    - 12) SBE v. Citizens Action Team, L14185, 07D117; (pgs.104-110)
    - 13) SBE v. Friends of Rita Gonzalez, S9350, 07MA011; (pgs.111-121)
    - 14) SBE v. Citizens to Elect Bob Peickert, S9352, 07MA012; (pgs.122-128)

Appeals of campaign disclosure fines – new appeals – hearing officer  
recommendation appeals be granted and denied

15) *SBE v. Friends of JoAnn Thompson, L10807*, 07AC006; (pgs.129-136)

16) *SBE v. Citizens for Carina Sanchez, L13751*, 07AC013; (pgs.137-147)

Other campaign disclosure items

17) Assessments/Final Orders; (pg.148)

a) *Committee to Elect Art Schultz*; (pgs.149-155)

18) June 2007 Semi-annual reports/Amnesty – informational; (pg.156)

19) Payment of civil penalties – informational; (pg.157)

Complaint following public hearing

20) *SBE v. Committee to Elect John A. Lartz*, 07CD038; (pgs.158-163)

Complaints following closed hearings (separate packet)

21) *Citizens Organized to Save the Tax Cap v. Northfield Township HS District 225*, 07CD35; (pgs.1-122)

22) *SBE v. William Davis for State Representative*, 07CD052; (pgs.123-127)

23) *SBE v. Citizens for Gaughan*, 07CD055; (pgs.128-132)

24) *SBE v. Chillmon, Miwa and Koepp*, 07CD056; (pgs.133-139)

25) *SBE v. Friends to Election Patricia Jones*, 07CD057; (pgs.140-144)

26) *SBE v. Citizens to Elect Dennis Allen*, 07CD056; (pgs.145-148)

27) *SBE v. Friends of Jim Nesbitt*, 07CD060; (pgs.149-154)

28) *SBE v. Proviso Township Democratic Organization*, 07CD062; (pgs.155-160)

29) *SBE v. The Committee to Elect Joseph McAfee for 8<sup>th</sup> Ward*, 07CD063; (pgs.161-166)

30) *SBE v. Citizens for Washington*, 07CD064; (pgs.167-175)

31) *SBE v. Vision 81/Frances Locke/Mary Jane Goldwaite*, 07CD065; (pgs.176-179)

32) *SBE v. Friends of Don Patterson*, 07CD067; (pgs.180-183)

33) *SBE v. Sustain Education Excellence (SEE)*, 07CD068; (pgs.184-198)

b. Determination of additional judgements; (pgs.164-165)

4. Other business. (pg.166)

5. Comments from the Chairman and Vice Chairman. (pg.166)

6. Comments from the general public. (pg.166)

7. Next Board meeting Friday, September 14, 2007 at 10:30 a.m. in Springfield. (pg.166)

8. Executive Session. (pgs.167-177)

**STATE BOARD OF ELECTIONS**  
**Board Meeting**  
**Monday, July 2, 2007**  
**Springfield, Illinois**

**MINUTES**

**PRESENT:**

Albert Porter, Chairman  
Bryan Schneider, Vice Chairman  
Patrick Brady, Member  
John R. Keith, Member  
William McGuffage, Member  
Wanda Rednour, Member  
Jesse Smart, Member  
Robert Walters, Member

**ALSO PRESENT:**

Dan White, Executive Director  
Steve Sandvoss, General Counsel  
Rebecca Glazier, Assistant to Executive Director

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The regular monthly meeting of the State Board of Elections was called to order at 10:30 a.m. with eight members present. Member Brady was present via telephonic connection.

Chairman Smart opened the meeting by leading everyone in the pledge of allegiance.

The Executive Director noted that every two years the election of a new chairman and new vice chairman is held. Also, if new members are appointed they would take office today. However, there was no action on the appointment process so the current members will continue to serve until current members are reappointed or new ones are confirmed. The first order of business is the election of the new Chairman and new Vice Chairman. Director White then recognized outgoing Chairman Smart and noted how fortunate he was to serve with two fine Chairmen since his appointment as Executive Director. Director White noted that these past two years have been challenging but Chairman Smart provided great leadership and was endorsed by the county clerks for reappointment to the Board. On behalf of the Board and staff, Director White presented Chairman Smart with an engraved gavel commemorating his two years as Chairman and thanked him for his service to the State Board of Elections and the State of Illinois. Chairman Smart thanked Director White and noted his appreciation for the tremendous staff of the State Board of Elections. He thanked the Board members for working together with him and their support for his term as Chairman.

Member Keith thanked Mrs. Susan Smart for her assistance in communication with Mr. Smart during his term.

At this time Chairman Smart called for the election of officers, beginning with chairman. Member McGuffage nominated Albert Porter for Chairman. Member Schneider seconded the nomination. With no objections, the motion was called and passed unanimously.

Newly elected Chairman Porter thanked the Board for the opportunity to serve as Chairman for the next two years and indicated his open door leadership.

Chairman Porter then opened the floor for nominations for Vice Chairman. Member Smart nominated Bryan Schneider to serve as Vice Chairman. With there being no further nominations and no objections the motion for election of Vice Chairman passed unanimously. At this time the Board took a recess for a Board picture. Meeting recessed at 10:50 a.m. and reconvened at 11:00 a.m.

Chairman Porter presented the minutes of the June 11, 2007 regular monthly Board meeting. Director White discussed revisions submitted by Member Keith and minutes reflecting those revisions were distributed to Board members. Member Rednour moved to approve the minutes as amended. Member Smart seconded the motion which passed by roll call vote of 8-0.

Director White thanked and commended the outgoing Chairman and Vice Chairman for their service the past two years and congratulated the new Chairman and Vice Chairman.

A legislative update was distributed to the Board and it was noted the legislature met in extended session this month and just last week passed an interim 30 day budget. Cris Cray discussed legislative activity noting that the Governor signed the bill which moves the primary to February 5, 2008 and there are two pending election bills. In response to a question Ms. Cray indicated that the SBE budget submitted for FY08 has requested funds to cover electronic canvassing. Member Keith suggested staff research the possibility of requesting the *King* supplemental being moved to a one month budget as a separate bill away from the bill it is currently attached to.

The Executive Director presented an informational update on HAVA funds and noted the steps that are being taken to conform with the federal audit. Correspondence was sent to all election authorities indicating items that impact them: 1) complying with the signed Agreements and timely expending the funds, 2) maintaining proper inventory records and 3) checking the excluded party list before making any purchase with HAVA funds. A letter was also sent to the three jurisdictions that had inadequate records of equipment inventory. Work continues on receiving records necessary to assess interest charges to the three jurisdictions found not to be in compliance with timely expenditure of funds. Director White indicated that although we were attempting to return funds from our FY07 budget to repay the \$3,800 for voter education activity books that were ruled an inappropriate expense the Comptroller's office indicated that it can only be paid through a separate appropriation.

The Executive Director noted that with the short time between the June and July meetings the FY07 fiscal report for the period ending June 30, 2007 and the fiscal report for the Help Illinois Vote Fund will be sent to the Board members at a later date. Director White presented the two year plan of activity for the months of July and August noting that it is being updated with new dates because of the change to a February primary. Discussion was held on filing dates for established parties and independent candidates and the federal court ruling that indicates the current statute is unconstitutional for independent candidates. Vice Chairman Schneider moved to authorize the General Counsel to contact the Attorney General to seek a declaratory judgment from the Federal Court or offer an opinion on the Federal Court ruling. Member McGuffage seconded the motion which passed unanimously.

Member McGuffage asked if staff could consider returning to JCAR on the issue of apparent conformity as he felt this issue should be revisited.

The General Counsel congratulated Chairman Porter and Vice Chairman Schneider. He then presented a motion for reconsideration in the matter of *SBE v. Phillips for Mayor*, 07DS047. The committee was assessed a penalty of \$575 and was planning on attending the previous meeting but

was involved in a car accident and did not make it. Mr. Phillips would still like to appeal the penalty. There is question of whether notice was received of today's meeting. Mr. Sandvoss suggested postponing this matter until the August or September meeting at which time notice would be given and Mr. Phillips could attend. Vice Chairman Schneider moved to grant the motion for reconsideration and schedule this matter for the September meeting. Member Keith seconded the motion which passed by roll call vote of 8-0.

The General Counsel presented appeals of campaign disclosure fines that the hearing officer recommends be granted in the matters of agenda items 3.a.2-3 and noted his concurrence with the hearing officer recommendations. No one was present in these matters. Member Smart moved to grant the appeals in the matters of *SBE v. Friends of Debbie Halvorson*, 06AG082, and *SBE v. Friends to Elect Toni Foulkes*, 07AC024. Member Rednour seconded the motion which passed by roll call vote of 8-0.

The General Counsel presented appeals of campaign disclosure fines that the hearing officer has recommended be denied in agenda items 3.a.4-10. Representatives were present in agenda items #6, 7 and 9 and Member Keith asked to pull #4 for separate consideration. Mr. Sandvoss discussed *SBE v. Citizens to Elect George Edwards* and concurred with the recommendation of the hearing officer although he did sympathize with the large amount of the penalty. George Edwards was presented and apologized for the late filing and asked for reconsideration of the amount of the fine. Mr. Edwards indicated that staff did offer a remedy if he chose to dissolve the committee but he indicated it was not an option at this time. He did not realize that loaning himself money resulted in a filing and he did not do it intentionally. He offered a \$500 settlement. Member Smart moved to accept the offer of \$500. Member Walters seconded the motion which passed by roll call vote of 6-2 with Members Brady and Keith voting in the negative.

General Counsel Sandvoss presented *SBE v. Randolph County Democratic Central Committee* and concurred with the recommendation of the hearing officer that the appeal be denied. Robert Paulter was present and indicated the committee had a new treasurer who did not realize an A-1 report

was required to be filed. The penalty was already reduced from \$3,000 to \$300 but he requested a smaller amount. Mr. Sandvoss indicated in this situation the statute is very specific and cannot be reduced lower than ten percent. Member Smart moved to accept the recommendation and the appeal be denied. Member Keith seconded the motion which passed by roll call vote of 7-0-1 with Member Rednour recusing herself from this matter.

The General Counsel presented *SBE v. IL Fire Sprinkler Contractors PAC* indicating his concurrence with the hearing officer's recommendation that the appeal be denied. Margaret Vaughn was present and indicated this is a new committee and there was a lack of communication. Once they were made aware that pre-election reports needed to be filed they did so. Ms. Vaughn realized that this fine could not be reduced below the \$500 but did want the Board to know they take this seriously. Member McGuffage felt that this has to do with national committee to local committees and questioned if it was really a violation. Mr. Sandvoss indicated he felt it was a contribution and to make an exception on this one the Board would have to make an exception on all. Member McGuffage felt that the statutes should be revisited as they are too strict in cases like this. Member Smart moved to accept the recommendation and deny the appeal. Member Rednour seconded the motion which passed by roll call vote of 7-0-1 with Member Keith recusing himself from this matter.

The General Counsel presented *SBE v. Friends of Larry M. Doody*, *SBE. V. Neighbors for Naisy Dolar*, and *SBE v. Committee to Retain Judge Spears*, and concurred with the recommendation of the hearing officer that the appeals be denied. No one was present in these matters. Member Keith moved to deny the appeals in the above matters. Member Brady seconded the motion which passed by roll call vote of 8-0.

The General Counsel presented *SBE v. Citizens for Saville* and agreed with the hearing officer's recommendation. Member Keith disagreed with the recommendation of the hearing officer and felt that the affidavit was sufficient in indicating the report was put in the mail on March 30. Mr. Sandvoss indicated that the statute says there should be no fine if a report is mailed and postmarked within the 72 hours of the due date. Member Keith moved to grant the appeal based on Board rule and

on the affidavit and this be a first time exclusion. Member Walters seconded the motion which passed by roll call vote of 7-1 with Member Smart voting in the negative.

The General Counsel presented for informational purposes a listing of committees that paid civil penalties.

Vice Chairman Schneider moved to recess to executive session to consider the complaints following closed preliminary hearings and pending litigation. Member Keith seconded the motion which passed unanimously. Meeting recessed at 12:00 p.m. and reconvened at 12:15 p.m. Member Brady left the meeting during Executive Session.

Upon reconvening Member Keith moved in the matter of *SBE v. Citizens for Jim Rowe*, 07CD037 the complaint was filed on justifiable grounds and a public hearing be conducted; *SBE v. Committee to Elect John A. Lartz*, 07CD038 the complaint was filed on justifiable grounds and a public hearing be conducted; *SBE v. Friends of Angel Rivera for Governor*, 07CD041 the complaint was filed on justifiable grounds but no public hearing be conducted as internal proceedings will begin to assess civil penalties for the delinquent filing; *SBE v. 25<sup>th</sup> Ward Democratic Political Alliance*, 07CD042 the complaint was filed on justifiable grounds and a public hearing be conducted; *SBE v. Citizens for Hoyle/James L. Hoyle*, 07CD044 the complaint was filed on justifiable grounds but no further action be taken beyond the imposition of a civil penalty; *SBE v. Citizens for Hawkins*, 07CD046 the complaint was filed on justifiable grounds and a public hearing be conducted; *SBE v. Citizens for Bilotta/James Bilotta*, 07CD047 the complaint was filed on justifiable grounds but no public hearing be conducted and internal proceedings begin to assess civil penalties for the delinquent filing; *SBE v. Citizens for Theodore Thomas*, 07CD048 the complaint was filed on justifiable grounds but no public hearing be conducted and internal proceedings begin to assess civil penalties for the delinquent filing; *SBE v. Citizens to Elect Curtis C. Abrams*, 07CD051 the complaint was filed on justifiable grounds but no public hearing be conducted and internal proceedings begin to assess civil penalties for the delinquent filing; *SBE v. AARC of Illinois*, 07CD053 the complaint was filed on justifiable grounds but no public hearing be conducted and internal proceedings begin to assess civil penalties





for the delinquent filing. Member Smart seconded the motion which passed unanimously with Member Smart voting Member Brady's proxy.

The next regular meeting of the State Board of Elections will be held on Monday, August 20 2007 at 10:30 a.m. in Chicago.

With there being no further discussion, Member Keith moved to adjourn. Vice Chairman Schneider seconded the motion which passed unanimously. The meeting adjourned at 12:20 p.m.

Respectfully submitted,

  
Assistant to Executive Director

  
Executive Director

# STATE BOARD OF ELECTIONS

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## MEMORANDUM

*From the desk of:*  
*Daniel W. White, Executive Director*

**TO:** Members of the Board

**SUBJECT:** Preparations for the February 5, 2008 Primary Election Candidate Filing Period

**DATE:** August 9, 2007

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This is to provide the Board an informational update on petition filing preparations.

With the Illinois Primary Election being moved up to February 5, 2008, petition filing dates are now six weeks earlier.

The first day to circulate nominating papers for established political party and independent candidates was August 7. The petition filing period for these candidates is October 29 to November 5, 2007. (There is legislation pending to change the filing period for independent candidates to the same time as new political party candidates, June 16 to June 23, 2008.)

There are two other petition filing periods, a special judicial filing period from November 19 to November 26, 2007 for judicial vacancies which occur between October 15 and November 5, 2007 and the Presidential preference and delegate filing period from November 28 to December 5, 2007. (Republican presidential and delegates only, Democrats have elected to filing during the October 29 – November 5 period.)

SBE preparations are well underway for these filing periods.

We have updated and posted on our website the 2008 Candidate's Guide, 2008 Presidential Preference and Delegate Guide, revised candidate information and downloadable forms, and a listing of judicial vacancies. Updated forms have also been sent to all election authorities and vendors.

Director of Election Information Mark Mossman has conducted several pre-petition filing planning sessions. Since this is a Presidential year and Democratic delegates will file during the October filing period, we anticipate a large number of candidates and media the first day of filing.

At this time, we are in the process of making staff assignments, purchasing necessary equipment and reviewing past practices.

Lastly, there are three objection periods which may require the State Officers Electoral Board to convene to hear cases. Past experience indicates that objections are filed on the last or second to last day. The attached calendar of potential hearing dates has been formulated based on this history. These potential hearing dates are submitted for the Board's consideration.

Attachment

## **2008 SOEB HEARING DATES FOR OBJECTIONS \***

October 29 - November 5, 2007 - petition filing period

November 6, 7, 8, 9, 13 - objection filing period

If filed:

November 9<sup>th</sup> - Notice by noon on November 14 - hearing November 17 - 19, 2007

November 13<sup>th</sup> - Notice by noon on November 15 - hearing November 18 - 20, 2007

November 19 - 26, 2007 - petition filing period (special judicial)

November 27, 28, 29, 30, December 3 - objection filing period

If filed:

November 30<sup>th</sup> - Notice by noon on December 4 - hearing December 7 - 9, 2007

December 3<sup>rd</sup> - Notice by noon on December 5 - hearing December 8 - 10, 2007

November 28 - December 5, 2007 - petition filing period (Rep. Presidential and Del/Alt)

December 6, 7, 10, 11, 12 - objection filing period

If filed:

December 11<sup>th</sup> - Notice by noon on December 13 - hearing December 16 - 18, 2007

December 12<sup>th</sup> - Notice by noon on December 14 - hearing December 17 - 19, 2007

\* Dates only for objections filed during the last two days of the objection filing period.

# **STATE BOARD OF ELECTIONS**

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## **MEMORANDUM**

*From the desk of:*  
*Daniel W. White, Executive Director*

**TO:** Members of the Board

**SUBJECT:** Legislative Update

**DATE:** August 8, 2007

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At the time of this writing, the omnibus election bill is still pending and budget negotiations continue.

We will have an oral update at the meeting.

# **STATE BOARD OF ELECTIONS**

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## **MEMORANDUM**

*From the desk of:*  
*Daniel W. White, Executive Director*

**TO:** Members of the Board

**SUBJECT:** New SBE Advisory Committee

**DATE:** August 8, 2007

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Every two years we make appointments to the SBE Advisory Committee of Election Officials.

Each of the four zones nominates three clerks to serve and the Association of Boards of Election Commissioners nominates two officials. In addition, automatic members are the two association presidents. Finally, after consulting with staff five additional at large members are recommended for inclusion.

Accordingly, the attached recommended membership for the July 1, 2007 through June 30, 2009 term is submitted for Board approval.

Attachment

**SBE ADVISORY COMMITTEE  
2007 - 2009**

**ZONE 1**

Dave Dobill  
Franklin County Clerk

Kevin Kern  
Perry County Clerk

Alice Mullinax  
Richland County Clerk

**ZONE 3**

Bill Downey  
Clark County Clerk

Julie Gentry  
Cumberland County Clerk

Pat Rhoades  
Piatt County Clerk

**ZONE 2**

Michele Zippay  
Macoupin County

Bill Blessman  
Mason County Clerk

Barbara Gross  
Morgan County Clerk

**ZONE 4**

Thomas Hanson  
Mercer County Clerk

Kathie Schultz  
McHenry County Clerk

Dick Leibovitz  
Rock Island County Clerk

**BOARDS OF ELECTION COMMISSIONERS**

Charlene Stanford, Executive Director - Bloomington Board of Election Commissioners

Kelly Bateman, Representative - Chicago Board of Election Commissioners

**ASSOCIATION PRESIDENTS**

Larry Reinhart, Jackson County Clerk

Esaw Peterson, Chairman - Bloomington Board of Election Commissioners

**AT LARGE MEMBERS**

David Orr, Cook County Clerk

Terri Braun, Fayette County Clerk

James Nelson, Fulton County Clerk

Peggy Ann Milton, McLean County Clerk

Barbara Dreher, Danville Board of Election Commissioners

# **STATE BOARD OF ELECTIONS**

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## **MEMORANDUM**

*From the desk of:*  
*Daniel W. White, Executive Director*

**TO:** Members of the Board

**SUBJECT:** HAVA Update

**DATE:** August 9, 2007

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At the June meeting (attached memo) I reported that we were following up a U.S. Election Assistance Commission (EAC) audit regarding Help America Vote Act (HAVA) funds. This is to update the Board of our progress.

1. We have complied with the finding regarding obtaining revised equipment inventories from three local election jurisdictions and have so notified the EAC (cover letter attached).
2. Continued efforts are being made to retrieve outstanding interest funds from recipients who did not expend funds within thirty days.
3. We will need to request a special appropriation from the legislature for repayment of \$3,889 in HAVA funds.
4. At this time we have no response from the EAC regarding our appeal of the matching funds calculation finding.

Attachments

# **STATE BOARD OF ELECTIONS**

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## **MEMORANDUM**

***From the desk of:***  
***Daniel W. White, Executive Director***

**TO:** Members of the Board

**SUBJECT:** HAVA Funds

**DATE:** June 22, 2007

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At the April Board Meeting I reported that we had received a U. S. Election Assistance Commission (EAC) audit regarding Help America Vote Funds (HAVA) expended by Illinois.

Two of the audit findings impact local election jurisdictions. One is an EAC recommendation that we reinforce efforts to ensure timely expenditure of HAVA funds by local jurisdictions and recover any such outstanding funds plus interest, and the second is a recommendation that local jurisdictions provide appropriate inventory records of equipment purchased with HAVA funds. (There were two other findings. We're appealing the calculation of matching state funds and the other requires repayment of a small amount-\$3,889-of HAVA funds.)

Please find attached for your information a memo to all Illinois Elections Authorities that: 1) reinforces our efforts to notify jurisdictions of the obligation to timely expend federal funds; 2) requires local inventories of property purchased with federal funds and; 3) also reinforces a state requirement to check the Excluded Parties List System prior to purchasing goods or services with federal funds.

In addition, notices are being sent to jurisdictions identified in the audit in an effort to retrieve any outstanding federal funds not spent within 30 days including interest.

Attachments



STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

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EXECUTIVE DIRECTOR  
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Robert J. Walters

July 31, 2007

Mr. Thomas Wilkey  
Executive Director  
U.S. Election Assistance Commission  
1225 New York Avenue NW – Suite 1100  
Washington, DC 20005

Dear Tom:

Per the final audit resolution report of the Election Assistance Commission regarding revised equipment inventories, I am attaching via electronic transmission inventory records from three counties that the audit found not in compliance with recordkeeping procedures for equipment procured with HAVA funds.

Correspondence was also sent to all election jurisdictions regarding federal equipment management requirements.

If you have any questions please call me at 217/782-4141.

Sincerely,

A handwritten signature in black ink, appearing to read "Dan White", is written over a horizontal line.

Daniel W. White  
SBE Executive Director

cc: Becky Glazier, HAVA Operations Manager  
Michael Roate, Chief Fiscal Officer

# **STATE BOARD OF ELECTIONS**

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## **MEMORANDUM**

*From the desk of:*  
*Daniel W. White, Executive Director*

**TO:** Members of the Board

**SUBJECT:** FY07 Fiscal Status Reports

**DATE:** August 8, 2007

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Fiscal reports for months ending June 30 and July 31 are attached. You recall that at the July 1 meeting the June 30 report was not available. The July 31 report reflects the first month into a two month lapse spending period that permits time to pay expenditures from the past fiscal year. Due to the fact that no FY08 budget has passed, no FY08 fiscal reports are available.

Attachments

**STATE BOARD OF ELECTIONS**  
**FY07 MONTHLY FISCAL REPORT**  
General Revenue Fund

| <b>AGENCY TOTALS</b><br><b>MONTH ENDING: June 30, 2007</b> | <b>FY07</b><br><b>APPROPRIATION</b> | <b>YEAR TO DATE</b><br><b>EXPENDITURE</b> | <b>OBLIGATED</b><br><b>MONEY</b> | <b>BALANCE</b>        | <b>% OF</b><br><b>EXPENDITURE</b> |
|--|-------------------------------------|---|----------------------------------|-----------------------|-----------------------------------|
| PERSONAL SERVICE   | \$3,338,400.00                      | \$3,067,665.43                            | \$0.00                           | \$270,734.57          | 91.89%                            |
| STATE PAID RETIREMENT                                      | \$133,800.00                        | \$118,858.07                              | \$0.00                           | \$14,941.93           | 88.83%                            |
| RETIREMENT   | \$355,100.00                        | \$353,625.51                              | \$0.00                           | \$1,474.49            | 99.58%                            |
| SOCIAL SECURITY  | \$259,500.00                        | \$229,224.28                              | \$0.00                           | \$30,275.72           | 88.33%                            |
| CONTRACTUAL SERVICE  | \$835,200.00                        | \$584,339.27                              | \$36,173.61                      | \$214,687.12          | 69.96%                            |
| TRAVEL   | \$114,400.00                        | \$95,361.36                               | \$0.00                           | \$19,038.64           | 83.36%                            |
| PRINTING   | \$57,600.00                         | \$41,007.40                               | \$0.00                           | \$16,592.60           | 71.19%                            |
| COMMODITIES  | \$33,500.00                         | \$22,310.12                               | \$0.00                           | \$11,189.88           | 66.60%                            |
| EQUIPMENT  | \$120,800.00                        | \$104,609.44                              | \$0.00                           | \$16,190.56           | 86.60%                            |
| TELECOMMUNICATIONS   | \$112,400.00                        | \$90,934.54                               | \$0.00                           | \$21,465.46           | 80.90%                            |
| OPERATION OF AUTOMOTIVE EQUIPMENT                          | \$3,000.00                          | \$2,958.82                                | \$0.00                           | \$41.18               | 98.63%                            |
| HAVA MAINTENANCE OF EFFORT                                 | \$550,000.00                        | \$550,000.00                              | \$0.00                           | \$0.00                | 100.00%                           |
| ELECTION CODE BOOKS  | \$15,000.00                         | \$13,436.80                               | \$0.00                           | \$1,563.20            | 0.00%                             |
| <b>SUB-TOTAL (OPERATIONS)</b>                              | <b>\$5,928,700.00</b>               | <b>\$5,274,331.04</b>                     | <b>\$36,173.61</b>               | <b>\$618,195.35</b>   | <b>88.96%</b>                     |
| COUNTY CLERK & RECORDER STIPENDS                           | \$812,500.00                        | \$35,404.20                               | \$0.00                           | \$777,095.80          | 4.36%                             |
| ELECTION JUDGE REIMBURSEMENT                               | \$3,740,000.00                      | \$3,310,949.22                            | \$0.00                           | \$429,050.78          | 88.53%                            |
| VOTER REGISTRATION TAPES                                   | \$20,250.00                         | \$12,258.17                               | \$0.00                           | \$7,991.83            | 60.53%                            |
| <b>SUB-TOTAL (GRANTS)</b>                                  | <b>\$4,572,750.00</b>               | <b>\$3,358,611.59</b>                     | <b>\$0.00</b>                    | <b>\$1,214,138.41</b> | <b>73.45%</b>                     |
| <b>TOTAL APPROPRIATION</b>                                 | <b>\$10,501,450.00</b>              | <b>\$8,632,942.63</b>                     | <b>\$36,173.61</b>               | <b>\$1,832,333.76</b> | <b>82.21%</b>                     |

**STATE BOARD OF ELECTIONS**  
**FY07 MONTHLY FISCAL REPORT**  
**General Revenue Fund**

**BOARD**  
**MONTH ENDING: June 30, 2007**

|  | <u>FY07</u>          | <u>YEAR TO DATE</u> |                | <u>% OF</u>        |
|--|----------------------|---------------------|----------------|--------------------|
|  | <u>APPROPRIATION</u> | <u>EXPENDITURE</u>  | <u>BALANCE</u> | <u>EXPENDITURE</u> |
| <b>CONTRACTUAL SERVICE</b>                     |                      |                     |                |                    |
| 1205 Freight Express & Drayage                 | \$2,000.00           | \$1,797.95          | \$202.05       | 89.90%             |
| 1221 Repair/Maint. Furn./Office Equipment      |                      |                     | \$0.00         | 0.00%              |
| 1232 Rental Motor Vehicles                     | \$1,000.00           | \$1,000.00          | \$0.00         | 100.00%            |
| 1239 Rental, NEC                               |                      |                     | \$0.00         | 0.00%              |
| 1243 Book Binding Services                     |                      |                     | \$0.00         | 0.00%              |
| 1266 Court Reporting & Filing Services         | \$15,100.00          | \$11,460.75         | \$3,639.25     | 75.90%             |
| 1274 Reg. Fees & Conf. Expenses (Vendor)       | \$500.00             | \$425.00            | \$75.00        | 85.00%             |
| 1275 Subscriptions                             | \$0.00               |                     | \$0.00         | 0.00%              |
| 1276 Reg. Fees & Conf. Expenses (Employee)     |                      |                     | \$0.00         | 0.00%              |
| 1277 Association Dues                          |                      |                     | \$0.00         | 0.00%              |
| 1280 Copying, Photographic & Printing Services | \$200.00             | \$155.48            | \$44.52        | 0.00%              |
| 1286 Travel, Non-State Employee                |                      |                     | \$0.00         | 0.00%              |
| 1289 Contractual Services, NEC                 | \$200.00             | \$150.47            | \$49.53        | 75.24%             |
| <b>TRAVEL</b>                                  | \$19,100.00          | \$15,627.73         | \$3,472.27     | 81.82%             |
| <b>EQUIPMENT</b>                               |                      |                     |                |                    |
| 1510 Office Furniture & Equipment              | \$500.00             | \$364.00            | \$136.00       | 0.00%              |

|                            | <u>FY07</u>          | <u>YEAR TO DATE</u> | <u>OBLIGATED</u> |                   | <u>% OF</u>        |
|----------------------------|----------------------|---------------------|------------------|-------------------|--------------------|
| <u>BOARD GRAND TOTAL</u>   | <u>APPROPRIATION</u> | <u>EXPENDITURE</u>  | <u>MONEY</u>     | <u>BALANCE</u>    | <u>EXPENDITURE</u> |
| <b>CONTRACTUAL SERVICE</b> | \$19,000.00          | \$14,989.65         |                  | \$4,010.35        | 78.89%             |
| <b>TRAVEL</b>              | \$19,100.00          | \$15,627.73         |                  | \$3,472.27        | 81.82%             |
| <b>EQUIPMENT</b>           | \$500.00             | \$364.00            |                  | \$136.00          | 0.00%              |
| <b>TOTAL</b>               | <b>\$38,600.00</b>   | <b>\$30,981.38</b>  | <b>\$0.00</b>    | <b>\$7,618.62</b> | <b>80.26%</b>      |

**STATE BOARD OF ELECTIONS**  
**FY07 MONTHLY FISCAL REPORT**  
**General Revenue Fund**

| ADMINISTRATION                             | FY07                  | YEAR TO DATE                | % OF               |                        |
|--|-----------------------|-----------------------------|--------------------|------------------------|
| MONTH ENDING: June 30, 2007                | APPROPRIATION         | EXPENDITURE                 | BALANCE            | EXPENDITURE            |
| PERSONAL SERVICE                           | \$562,300.00          | \$535,819.00                | \$26,481.00        | 95.29%                 |
| 1129 State Paid Retirement                 | \$22,600.00           | \$21,315.69                 | \$1,284.31         | 94.32%                 |
| 1161 Retirement                            | \$62,000.00           | \$61,900.02                 | \$99.98            | 99.84%                 |
| 1170 Social Security                       | \$43,100.00           | \$38,454.90                 | \$4,645.10         | 89.22%                 |
| CONTRACTUAL SERVICE                        | \$500.00              | \$151.92                    | \$348.08           | 30.38%                 |
| 1201 Petty Cash                            | \$600.00              | \$550.00                    | \$50.00            | 91.67%                 |
| 1205 Freight Express & Drayage             | \$12,500.00           | \$10,455.29                 | \$2,044.71         | 83.64%                 |
| 1221 Repair/Maint. Furn./Office Equipment  | \$4,200.00            | \$3,920.46                  | \$279.54           | 93.34%                 |
| 1223 Repair/Maint. Real Property           | \$100.00              |                             | \$100.00           | 0.00%                  |
| 1230 In-House Repair & Maintenance         | \$23,300.00           | \$11,912.85                 | \$11,387.15        | 51.13%                 |
| 1231 Rental, Office Equipment              | \$4,600.00            | \$4,600.00                  | \$0.00             | 100.00%                |
| 1232 Rental, Motor Vehicles                | \$197,400.00          | \$197,321.99                | \$78.01            | 99.96%                 |
| 1233 Rental, Real Property                 |                       |                             |                    |                        |
| 1234 Rental, Machinery & Mechanical Eqmt   | \$1,400.00            | \$1,163.00                  | \$237.00           | 83.07%                 |
| 1239 Rental, NEC                           | \$9,600.00            | \$8,006.97                  | \$1,593.03         | 83.41%                 |
| 1240 Statistical & Tabulation Services     | \$12,500.00           | \$11,155.41                 | \$1,344.59         | 89.24%                 |
| 1248 Building & Grounds Maintenance        | \$7,600.00            | \$7,499.17                  | \$100.83           | 98.67%                 |
| 1251 Gas                                   | \$35,000.00           | \$34,966.46                 | \$33.54            | 99.90%                 |
| 1252 Electricity                           | \$700.00              | \$617.86                    | \$82.14            | 88.27%                 |
| 1253 Water                                 | \$600.00              | \$502.75                    | \$97.25            | 83.79%                 |
| 1255 Utilities, NEC                        | \$68,000.00           | \$41,490.12                 | \$26,509.88        | 61.01%                 |
| 1261 Postage                               |                       |                             |                    |                        |
| 1266 Court Reporting                       |                       |                             |                    |                        |
| 1274 Reg Fees & Conf. Expenses (Vendor)    | \$800.00              | \$425.00                    | \$375.00           | 53.13%                 |
| 1275 Subscription & Information Services   | \$2,500.00            | \$1,947.70                  | \$552.30           | 77.91%                 |
| 1276 Reg.Fees & Conf. Expenses (Employee)  | \$500.00              | \$75.00                     | \$425.00           | 15.00%                 |
| 1277 Association Dues                      | \$1,700.00            | \$1,460.00                  | \$240.00           | 85.88%                 |
| 1281 Interviewee Expense - To Vendors      | \$100.00              |                             | \$100.00           | 0.00%                  |
| 1285 Taxes, Licenses & Fees                | \$1,300.00            | \$320.00                    | \$980.00           | 24.62%                 |
| 1289 Contractual Services, NEC             |                       |                             |                    |                        |
| TRAVEL                                     | \$16,900.00           | \$12,647.28                 | \$4,252.72         | 74.84%                 |
| 1291 In-State                              | \$1,600.00            | \$751.66                    | \$848.34           | 46.98%                 |
| 1292 Out-of-State                          | \$10,600.00           | \$7,752.00                  | \$2,848.00         | 73.13%                 |
| PRINTING                                   |                       |                             |                    |                        |
| COMMODITIES                                | \$13,600.00           | \$7,465.82                  | \$6,134.18         | 54.90%                 |
| 1304 Office/Library Supplies               | \$1,700.00            | \$1,623.51                  | \$76.49            | 95.50%                 |
| 1391 Household & Cleaning Supplies         |                       |                             | \$0.00             | 0.00%                  |
| 1394 Office/Library Equip., Not exc. \$100 | \$700.00              | \$648.80                    | \$51.20            | 92.69%                 |
| 1398 Equipment, NEC                        | \$400.00              | \$86.00                     | \$314.00           | 21.50%                 |
| 1399 Commodities, NEC                      |                       |                             |                    |                        |
| EQUIPMENT                                  | \$2,000.00            | \$589.48                    | \$1,410.52         | 29.47%                 |
| 1510 Office Furniture & Equipment          |                       |                             |                    |                        |
| TELECOMMUNICATIONS                         |                       |                             |                    |                        |
| 1710 Repair/Maintenance Telecom            | \$45,600.00           | \$37,090.80                 | \$8,509.20         | 81.34%                 |
| 1721 Rental, Telephone Serv. & Equip.      | \$61,800.00           | \$51,285.11                 | \$10,514.89        | 82.99%                 |
| 1722 Rental, Data Comm. Serv. & Equip.     | \$1,000.00            | \$570.28                    | \$429.72           | 57.03%                 |
| 1728 Videoconferencing                     | \$3,200.00            | \$1,210.00                  | \$1,990.00         | 37.81%                 |
| 1729 Rental, Other Comm. Serv. & Equip.    | \$800.00              | \$778.35                    | \$21.65            | 97.29%                 |
| 1730 Parts & Supplies for Telephone        |                       |                             |                    |                        |
| OPERATION OF AUTO EQUIPMENT                | \$1,000.00            | \$987.87                    | \$12.13            | 98.79%                 |
| 1893 Repair & Maint., Auto. Equipment      | \$160.00              | \$156.31                    | \$3.69             | 97.69%                 |
| 1894 Parts & Fittings, Auto Equipment      | \$1,840.00            | \$1,814.64                  | \$25.36            | 98.62%                 |
| 1896 Gasoline, Oil & Antifreeze            | \$0.00                |                             | \$0.00             | 0.00%                  |
| 1899 Auto. Expenses, NEC                   |                       |                             |                    |                        |
| ADMINISTRATION GRAND TOTAL                 | FY07<br>APPROPRIATION | YEAR TO DATE<br>EXPENDITURE | OBLIGATED<br>MONEY | % OF<br>EXPENDITURE    |
| PERSONAL SERVICE                           | \$562,300.00          | \$535,819.00                | \$26,481.00        | 95.29%                 |
| STATE PAID RETIREMENT                      | \$22,600.00           | \$21,315.69                 | \$1,284.31         | 94.32%                 |
| RETIREMENT                                 | \$62,000.00           | \$61,900.02                 | \$99.98            | 99.84%                 |
| SOCIAL SECURITY                            | \$43,100.00           | \$38,454.90                 | \$4,645.10         | 89.22%                 |
| CONTRACTUAL SERVICE                        | \$385,500.00          | \$338,541.95                | \$46,958.05        | 87.82%                 |
| TRAVEL                                     | \$18,500.00           | \$13,398.94                 | \$5,101.06         | 72.43%                 |
| PRINTING                                   | \$10,600.00           | \$7,752.00                  | \$2,848.00         | 73.13%                 |
| COMMODITIES                                | \$16,400.00           | \$9,824.13                  | \$6,575.87         | 59.90%                 |
| EQUIPMENT                                  | \$2,000.00            | \$589.48                    | \$1,410.52         | 29.47%                 |
| TELECOMMUNICATIONS                         | \$112,400.00          | \$90,934.54                 | \$21,465.46        | 80.90%                 |
| OPERATION OF AUTO EQUIPMENT                | \$3,000.00            | \$2,958.82                  | \$41.18            | 98.63%                 |
| TOTAL                                      | \$1,238,400.00        | \$1,121,489.47              | \$0.00             | \$116,910.53<br>90.56% |

**STATE BOARD OF ELECTIONS**  
**FY07 MONTHLY FISCAL REPORT**  
**General Revenue Fund**

| <b>ELECTIONS</b><br><b>MONTH ENDING: June 30, 2007</b> | <b>FY07</b><br><b>APPROPRIATION</b> | <b>YEAR TO DATE</b><br><b>EXPENDITURE</b> | <b>BALANCE</b> | <b>% OF</b><br><b>EXPENDITURE</b> |
|--|-------------------------------------|---|----------------|-----------------------------------|
| <b>PERSONAL SERVICE</b>                                | \$1,422,300.00                      | \$1,243,654.62                            | \$178,645.38   | 87.44%                            |
| 1129 State Paid Retirement                             | \$57,000.00                         | \$48,120.40                               | \$8,879.60     | 84.42%                            |
| 1161 Retirement  | \$143,800.00                        | \$143,200.10                              | \$599.90       | 99.58%                            |
| 1170 Social Security                                   | \$108,900.00                        | \$91,446.89                               | \$17,453.11    | 83.97%                            |
| <b>CONTRACTUAL SERVICE</b>                             |                                     |   |                |                                   |
| 1205 Freight Express & Drayage                         | \$3,300.00                          | \$2,913.49                                | \$386.51       | 88.29%                            |
| 1221 Repair/Maint. Furn./Office Equipment              | \$200.00                            | \$120.00                                  | \$80.00        | 60.00%                            |
| 1231 Rental, Office Equipment                          |                                     |   |                | 0.00%                             |
| 1232 Rental, Motor Vehicles                            |                                     |   |                | 0.00%                             |
| 1237 Rental, Film & Audio/Visual Aids                  | \$100.00                            |   | \$100.00       | 0.00%                             |
| 1239 Rental, NEC                                       | \$500.00                            | \$100.00                                  | \$400.00       | 20.00%                            |
| 1242 Auditing & Management Services                    |                                     |   | \$0.00         | 0.00%                             |
| 1245 Professional & Artistic Services, NEC             | \$1,100.00                          |   | \$1,100.00     | 0.00%                             |
| 1266 Court Reporting & Filing Services                 |                                     |   |                |                                   |
| 1273 Advertising                                       |                                     |   |                |                                   |
| 1274 Reg Fees & Conf. Expenses (Vendor)                | \$1,000.00                          | \$975.00                                  | \$25.00        | 97.50%                            |
| 1275 Subscription & Information Services               | \$2,100.00                          | \$1,100.00                                | \$1,000.00     | 52.38%                            |
| 1276 Reg. Fees & Conf. Expenses (Employee)             | \$1,600.00                          | \$654.00                                  | \$946.00       | 40.88%                            |
| 1277 Association Dues                                  | \$800.00                            | \$539.00                                  | \$261.00       | 67.38%                            |
| 1279 Employee Tuition & Fees                           | \$2,300.00                          | \$2,250.00                                | \$50.00        | 97.83%                            |
| 1280 Copying, Photographic & Printing Services         | \$1,700.00                          | \$1,624.42                                | \$75.58        | 95.55%                            |
| 1285 Operating Taxes, Licenses & Fees                  |                                     |   | \$0.00         | 0.00%                             |
| 1289 Contractual Services, NEC                         | \$9,700.00                          | \$1,591.63                                | \$8,108.37     | 16.41%                            |
| <b>TRAVEL</b>  | \$43,600.00                         | \$43,073.52                               | \$526.48       | 98.79%                            |
| <b>PRINTING</b>  | \$28,900.00                         | \$25,415.40                               | \$3,484.60     | 87.94%                            |
| <b>EQUIPMENT</b>                                       |                                     |   |                |                                   |
| 1510 Office Furniture & Equipment                      | \$5,200.00                          | \$4,311.18                                | \$888.82       | 82.91%                            |
| <b>HAVA - Maintenance of Effort</b>                    | \$550,000.00                        | \$550,000.00                              | \$0.00         | 100.00%                           |
| <b>ELECTION CODE BOOKS</b>                             | \$15,000.00                         | \$13,436.80                               | \$1,563.20     | 89.58%                            |

| <b>ELECTIONS GRAND TOTAL</b>        | <b>FY07</b><br><b>APPROPRIATION</b> | <b>YEAR TO DATE</b><br><b>EXPENDITURE</b> | <b>OBLIGATED</b><br><b>MONEY</b> | <b>BALANCE</b>      | <b>% OF</b><br><b>EXPENDITURE</b> |
|-------------------------------------|-------------------------------------|---|----------------------------------|---------------------|-----------------------------------|
| <b>PERSONAL SERVICE</b>             | \$1,422,300.00                      | \$1,243,654.62                            |                                  | \$178,645.38        | 87.44%                            |
| <b>STATE PAID RETIREMENT</b>        | \$57,000.00                         | \$48,120.40                               |                                  | \$8,879.60          | 84.42%                            |
| <b>RETIREMENT</b>                   | \$143,800.00                        | \$143,200.10                              |                                  | \$599.90            | 99.58%                            |
| <b>SOCIAL SECURITY</b>              | \$108,900.00                        | \$91,446.89                               |                                  | \$17,453.11         | 83.97%                            |
| <b>CONTRACTUAL SERVICE</b>          | \$24,400.00                         | \$11,867.54                               |                                  | \$12,532.46         | 48.64%                            |
| <b>TRAVEL</b>                       | \$43,600.00                         | \$43,073.52                               |                                  | \$526.48            | 98.79%                            |
| <b>PRINTING</b>                     | \$28,900.00                         | \$25,415.40                               |                                  | \$3,484.60          | 87.94%                            |
| <b>EQUIPMENT</b>                    | \$5,200.00                          | \$4,311.18                                |                                  | \$888.82            | 82.91%                            |
| <b>HAVA - Maintenance of Effort</b> | \$550,000.00                        | \$550,000.00                              |                                  | \$0.00              | 100.00%                           |
| <b>ELECTION CODE BOOKS</b>          | \$15,000.00                         | \$13,436.80                               |                                  | \$1,563.20          | 0.00%                             |
| <b>TOTAL</b>                        | <b>\$2,399,100.00</b>               | <b>\$2,174,526.45</b>                     | <b>\$0.00</b>                    | <b>\$224,573.55</b> | <b>90.64%</b>                     |

**STATE BOARD OF ELECTIONS**  
**FY07 MONTHLY FISCAL REPORT**  
**General Revenue Fund**

| <b>GENERAL COUNSEL</b><br><b>MONTH ENDING: June 30, 2007</b> | <b>FY07</b><br><b>APPROPRIATION</b> | <b>YEAR TO DATE</b><br><b>EXPENDITURE</b> | <b>BALANCE</b> | <b>% OF</b><br><b>EXPENDITURE</b> |
|--|-------------------------------------|---|----------------|-----------------------------------|
| <b>PERSONAL SERVICE</b>                                      | \$249,500.00                        | \$231,881.12                              | \$17,618.88    | 92.94%                            |
| 1129 State Paid Retirement                                   | \$10,000.00                         | \$9,277.24                                | \$722.76       | 92.77%                            |
| 1161 Retirement  | \$27,000.00                         | \$26,730.39                               | \$269.61       | 99.00%                            |
| 1170 Social Security   | \$19,200.00                         | \$17,321.26                               | \$1,878.74     | 90.21%                            |
| <b>CONTRACTUAL SERVICE</b>                                   |                                     |   | \$0.00         | 0.00%                             |
| 1145 Contractual Payroll                                     |                                     | \$1,907.70                                | \$692.30       | 73.37%                            |
| 1205 Freight Express & Drayage                               | \$2,600.00                          |   | \$0.00         | 0.00%                             |
| 1221 Repair/Maint. Furn./Office Equipment                    |                                     | \$30,316.39                               | \$32,583.61    | 48.20%                            |
| 1244 Legal Fees  | \$62,900.00                         |   | \$6,000.00     | 0.00%                             |
| 1245 Professional & Artistic Services, NEC                   | \$6,000.00                          | \$8,474.00                                | \$17,026.00    | 33.23%                            |
| 1266 Court Reporting & Filing Services                       | \$25,500.00                         |   |                |                                   |
| 1273 Advertising   |                                     | \$425.00                                  | \$1,575.00     | 21.25%                            |
| 1274 Reg Fees & Conf. Expenses (Vendor)                      | \$2,000.00                          | \$2,359.66                                | \$1,640.34     | 58.99%                            |
| 1275 Subscription & Information Services                     | \$4,000.00                          |   |                |                                   |
| 1276 Reg. Fees & Conf. Expenses (Employee)                   |                                     | \$628.00                                  | \$172.00       | 78.50%                            |
| 1277 Association Dues  | \$800.00                            | \$390.00                                  | \$110.00       | 78.00%                            |
| 1279 Employee Tuition & Fees                                 | \$500.00                            | \$71.57                                   | \$28.43        | 71.57%                            |
| 1280 Copying, Photographic & Printing Services               | \$100.00                            |   | \$800.00       | 0.00%                             |
| 1284 Computer Software                                       | \$800.00                            |   |                |                                   |
| 1289 Contractual Services, NEC                               | \$35,000.00                         | \$4,860.12                                | \$30,139.88    | 13.89%                            |
| <b>TRAVEL</b>  | \$10,300.00                         | \$8,571.06                                | \$1,728.94     | 83.21%                            |
| <b>EQUIPMENT</b>   |                                     |   |                |                                   |
| 1510 Office Furniture & Equipment                            | \$500.00                            |   | \$500.00       | 0.00%                             |

| <b>GENERAL COUNSEL GRAND TOTAL</b> | <b>FY07</b><br><b>APPROPRIATION*</b> | <b>YEAR TO DATE</b><br><b>EXPENDITURE</b> | <b>OBLIGATED</b><br><b>MONEY</b> | <b>BALANCE</b>     | <b>% OF</b><br><b>EXPENDITURE</b> |
|------------------------------------|--------------------------------------|---|----------------------------------|--------------------|-----------------------------------|
| <b>PERSONAL SERVICE</b>            | \$249,500.00                         | \$231,881.12                              |                                  | \$17,618.88        | 92.94%                            |
| <b>STATE PAID RETIREMENT</b>       | \$10,000.00                          | \$9,277.24                                |                                  | \$722.76           | 92.77%                            |
| <b>RETIREMENT</b>                  | \$27,000.00                          | \$26,730.39                               |                                  | \$269.61           | 99.00%                            |
| <b>SOCIAL SECURITY</b>             | \$19,200.00                          | \$17,321.26                               |                                  | \$1,878.74         | 90.21%                            |
| <b>CONTRACTUAL SERVICE</b>         | \$140,200.00                         | \$49,432.44                               | 33,683.61                        | \$57,083.95        | 35.26%                            |
| <b>TRAVEL</b>                      | \$10,300.00                          | \$8,571.06                                |                                  | \$1,728.94         | 83.21%                            |
| <b>EQUIPMENT</b>                   | \$500.00                             | \$0.00                                    |                                  | \$500.00           | 0.00%                             |
| <b>TOTAL</b>                       | <b>\$456,700.00</b>                  | <b>\$343,213.51</b>                       | <b>\$33,683.61</b>               | <b>\$79,802.88</b> | <b>75.15%</b>                     |

**STATE BOARD OF ELECTIONS**  
**FY07 MONTHLY FISCAL REPORT**  
**General Revenue Fund**

| <b>CAMPAIGN DISCLOSURE</b><br><b>MONTH ENDING: June 30, 2007</b> | <b>FY07</b><br><b>APPROPRIATION</b> | <b>YEAR TO DATE</b><br><b>EXPENDITURE</b> | <b>BALANCE</b> | <b>% OF</b><br><b>EXPENDITURE</b> |
|--|-------------------------------------|---|----------------|-----------------------------------|
| <b>PERSONAL SERVICE</b>  | \$692,400.00                        | \$650,841.66                              | \$41,558.34    | 94.00%                            |
| 1129 State Paid Retirement                                       | \$27,700.00                         | \$23,951.10                               | \$3,748.90     | 86.47%                            |
| 1161 Retirement  | \$75,300.00                         | \$75,054.77                               | \$245.23       | 99.67%                            |
| 1170 Social Security   | \$53,100.00                         | \$47,501.30                               | \$5,598.70     | 89.46%                            |
| <b>CONTRACTUAL SERVICE</b>                                       | \$100.00                            | \$15.32                                   | \$84.68        | 15.32%                            |
| 1205 Freight Express & Drayage                                   | \$500.00                            | \$480.00                                  | \$20.00        | 96.00%                            |
| 1221 Repair & Maint, Furn & Office Equipment                     | \$800.00                            | \$621.00                                  | \$179.00       | 77.63%                            |
| 1225 Repair & Maint, EDP   |                                     |   |                |                                   |
| 1229 Repair & Maint, NEC   |                                     |   |                |                                   |
| 1232 Rental, Motor Vehicles                                      |                                     |   |                |                                   |
| 1239 Rental, NEC   | \$3,000.00                          | \$1,646.25                                | \$1,353.75     | 54.88%                            |
| 1245 Professional & Artistic Services, NEC                       | \$4,700.00                          | \$140.00                                  | \$4,560.00     | 2.98%                             |
| 1248 Building & Ground Maintenance                               |                                     |   |                |                                   |
| 1266 Court Reporting & Filing Services                           |                                     |   |                |                                   |
| 1274 Reg Fees & Conf. Expenses (Vendor)                          | \$900.00                            | \$850.00                                  | \$50.00        | 94.44%                            |
| 1275 Subscription & Information Services                         |                                     |   |                |                                   |
| 1276 Reg. Fees & Conf. Expenses (Employee)                       | \$500.00                            | \$130.00                                  | \$370.00       | 26.00%                            |
| 1277 Association Dues  |                                     |   |                |                                   |
| 1279 Employee Tuition and Fees                                   | \$600.00                            |   | \$600.00       | 0.00%                             |
| 1285 Operating Taxes, Licenses & Fees                            |                                     |   |                |                                   |
| 1286 Travel, Non-State Employee                                  |                                     |   |                |                                   |
| 1289 Contractual Services, NEC                                   |                                     |   |                |                                   |
| <b>TRAVEL</b>  | \$8,000.00                          | \$2,797.50                                | \$5,202.50     | 34.97%                            |
| 1291 In-State  | \$3,300.00                          | \$2,910.37                                | \$389.63       | 88.19%                            |
| 1292 Out-of-State  | \$17,400.00                         | \$7,840.00                                | \$9,560.00     | 45.06%                            |
| <b>PRINTING</b>  |                                     |   |                |                                   |
| <b>EQUIPMENT</b>   | \$9,100.00                          | \$3,189.60                                | \$5,910.40     | 35.05%                            |
| 1510 Office Furniture & Equipment                                |                                     |   |                |                                   |

| <b>CAMPAIGN DISCLOSURE GRAND TOTAL</b> | <b>FY07</b><br><b>APPROPRIATION</b> | <b>YEAR TO DATE</b><br><b>EXPENDITURE</b> | <b>OBLIGATED</b><br><b>MONEY</b> | <b>% OF</b><br><b>BALANCE</b> | <b>EXPENDITURE</b> |
|--|-------------------------------------|---|----------------------------------|-------------------------------|--------------------|
| <b>PERSONAL SERVICE</b>                | \$692,400.00                        | \$650,841.66                              |                                  | \$41,558.34                   | 94.00%             |
| <b>STATE PAID RETIREMENT</b>           | \$27,700.00                         | \$23,951.10                               |                                  | \$3,748.90                    | 86.47%             |
| <b>RETIREMENT</b>                      | \$75,300.00                         | \$75,054.77                               |                                  | \$245.23                      | 99.67%             |
| <b>SOCIAL SECURITY</b>                 | \$53,100.00                         | \$47,501.30                               |                                  | \$5,598.70                    | 89.46%             |
| <b>CONTRACTUAL SERVICE</b>             | \$11,100.00                         | \$3,882.57                                |                                  | \$7,217.43                    | 34.98%             |
| <b>TRAVEL</b>                          | \$11,300.00                         | \$5,707.87                                |                                  | \$5,592.13                    | 50.51%             |
| <b>PRINTING</b>                        | \$17,400.00                         | \$7,840.00                                |                                  | \$9,560.00                    | 45.06%             |
| <b>EQUIPMENT</b>                       | \$9,100.00                          | \$3,189.60                                |                                  | \$5,910.40                    | 35.05%             |
| <b>TOTAL</b>                           | <b>\$897,400.00</b>                 | <b>\$817,968.87</b>                       | <b>\$0.00</b>                    | <b>\$79,431.13</b>            | <b>91.15%</b>      |



**STATE BOARD OF ELECTIONS**  
**FY07 MONTHLY FISCAL REPORT**  
**General Revenue Fund**

**INFORMATION TECHNOLOGY**  
**MONTH ENDING: June 30, 2007**

|  | <u>FY07</u><br><u>APPROPRIATION</u> | <u>YEAR TO DATE</u><br><u>EXPENDITURE</u> | <u>BALANCE</u> | <u>% OF</u><br><u>EXPENDITURE</u> |
|--|-------------------------------------|---|----------------|-----------------------------------|
| <b>PERSONAL SERVICE</b>                      | \$411,900.00                        | \$405,469.03                              | \$6,430.97     | 98.44%                            |
| 1129 State Paid Retirement                   | \$16,500.00                         | \$16,193.64                               | \$306.36       | 98.14%                            |
| 1161 Retirement                              | \$47,000.00                         | \$46,740.23                               | \$259.77       | 99.45%                            |
| 1170 Social Security                         | \$35,200.00                         | \$34,499.93                               | \$700.07       | 98.01%                            |
| <b>CONTRACTUAL SERVICE</b>                   |                                     |   |                |                                   |
| 1145 Contractual Payroll                     | \$57,600.00                         | \$57,369.39                               | \$230.61       | 99.60%                            |
| 1205 Freight Express & Drayage               |                                     |   | \$12,900.00    | 0.00%                             |
| 1221 Repair/Maint. Furn./Office Equipment    | \$12,900.00                         |   | \$309.11       | 95.24%                            |
| 1223 Repair/Maint. Real Property             | \$6,500.00                          | \$6,190.89                                |                |                                   |
| 1225 Repair/Maint. EDP Equipment             | \$25,150.00                         | \$9,118.50                                | \$16,031.50    | 36.26%                            |
| 1230 In-House Repair of Equipment            |                                     |   |                |                                   |
| 1234 Rental, Machinery and Mech. Equip       |                                     |   |                |                                   |
| 1239 Rental, NEC                             |                                     |   |                |                                   |
| 1242 Auditing & Management Services          | \$58,700.00                         | \$24,940.00                               | \$33,760.00    | 42.49%                            |
| 1244 Legal Fees                              |                                     |   |                |                                   |
| 1245 Professional & Artistic Services, NEC   | \$20,000.00                         | \$20,000.00                               | \$0.00         | 100.00%                           |
| 1271 Surety Bond & Ins. Prem.                | \$600.00                            | \$197.00                                  | \$403.00       | 32.83%                            |
| 1272 Travel & Expense Reimbursement (Vendor) |                                     |   |                |                                   |
| 1274 Reg Fees & Conf. Expenses (Vendor)      | \$6,000.00                          | \$5,380.00                                | \$620.00       | 89.67%                            |
| 1275 Subscription & Information Services     | \$3,050.00                          | \$2,398.00                                | \$652.00       | 78.62%                            |
| 1276 Reg. Fees & Conf. Expenses (Employee)   |                                     |   |                |                                   |
| 1277 Association Dues                        |                                     |   |                |                                   |
| 1279 Employee Tuition and Fees               | \$5,000.00                          |   | \$5,000.00     | 0.00%                             |
| 1284 Computer Software                       | \$58,500.00                         | \$39,885.94                               | \$18,614.06    | 68.18%                            |
| 1285 Operating Taxes, Licenses & Fees        |                                     |   |                |                                   |
| 1286 Travel, Non-State Employee              |                                     |   |                |                                   |
| 1289 Contractual Services, NEC               | \$1,000.00                          | \$145.40                                  | \$854.60       | 14.54%                            |
| <b>TRAVEL</b>                                |                                     |   |                |                                   |
| 1291 In-State                                | \$5,500.00                          | \$3,941.61                                | \$1,558.39     | 71.67%                            |
| 1292 Out-of-State                            | \$6,100.00                          | \$5,040.63                                | \$1,059.37     | 82.63%                            |
| <b>PRINTING</b>                              | \$700.00                            |   | \$700.00       | 0.00%                             |
| <b>COMMODITIES</b>                           |                                     |   |                |                                   |
| 1304 Office/Library Supplies                 | \$12,000.00                         | \$7,768.03                                | \$4,231.97     | 64.73%                            |
| 1332 Industrial & Shop Materials             |                                     |   |                |                                   |
| 1394 Office/Library Equip. under \$100       |                                     |   |                |                                   |
| 1398 Equipment, NEC                          | \$2,100.00                          | \$1,957.96                                | \$142.04       | 93.24%                            |
| 1399 Commodities, NEC                        | \$3,000.00                          | \$2,760.00                                | \$240.00       | 92.00%                            |
| <b>EQUIPMENT</b>                             |                                     |   |                |                                   |
| 1510 Office Furniture & Equipment            |                                     |   |                |                                   |
| 1515 EDP Equipment                           | \$103,500.00                        | \$96,155.18                               | \$7,344.82     | 92.90%                            |

| <u>INFORMATION TECHNOLOGY GRAND TOTAL</u> | <u>FY07</u><br><u>APPROPRIATION</u> | <u>YEAR TO DATE</u><br><u>EXPENDITURE</u> | <u>OBLIGATED</u><br><u>MONEY</u> | <u>BALANCE</u>      | <u>% OF</u><br><u>EXPENDITURE</u> |
|---|-------------------------------------|---|----------------------------------|---------------------|-----------------------------------|
| <b>PERSONAL SERVICE</b>                   | \$411,900.00                        | \$405,469.03                              |                                  | \$6,430.97          | 98.44%                            |
| <b>STATE PAID RETIREMENT</b>              | \$16,500.00                         | \$16,193.64                               |                                  | \$306.36            | 98.14%                            |
| <b>RETIREMENT</b>                         | \$47,000.00                         | \$46,740.23                               |                                  | \$259.77            | 99.45%                            |
| <b>SOCIAL SECURITY</b>                    | \$35,200.00                         | \$34,499.93                               |                                  | \$700.07            | 98.01%                            |
| <b>CONTRACTUAL SERVICE</b>                | \$255,000.00                        | \$165,625.12                              | \$2,490.00                       | \$86,884.88         | 64.95%                            |
| <b>TRAVEL</b>                             | \$11,600.00                         | \$8,982.24                                |                                  | \$2,617.76          | 77.43%                            |
| <b>PRINTING</b>                           | \$700.00                            | \$0.00                                    |                                  | \$700.00            | 0.00%                             |
| <b>COMMODITIES</b>                        | \$17,100.00                         | \$12,485.99                               |                                  | \$4,614.01          | 73.02%                            |
| <b>EQUIPMENT</b>                          | \$103,500.00                        | \$96,155.18                               | \$0.00                           | \$7,344.82          | 92.90%                            |
| <b>TOTAL</b>                              | <b>\$898,500.00</b>                 | <b>\$786,151.36</b>                       | <b>\$2,490.00</b>                | <b>\$109,858.64</b> | <b>87.50%</b>                     |

**STATE BOARD OF ELECTIONS**  
**FY07 MONTHLY FISCAL REPORT**  
General Revenue Fund

| <b>AGENCY TOTALS</b><br><b>MONTH ENDING: July 31, 2007</b> | <b>FY07</b><br><b>APPROPRIATION</b> | <b>YEAR TO DATE</b><br><b>EXPENDITURE</b> | <b>OBLIGATED</b><br><b>MONEY</b> | <b>BALANCE</b>        | <b>% OF</b><br><b>EXPENDITURE</b> |
|--|-------------------------------------|---|----------------------------------|-----------------------|-----------------------------------|
| PERSONAL SERVICE   | \$3,338,400.00                      | \$3,068,263.75                            | \$0.00                           | \$270,136.25          | 91.91%                            |
| STATE PAID RETIREMENT                                      | \$133,800.00                        | \$118,882.00                              | \$0.00                           | \$14,918.00           | 88.85%                            |
| RETIREMENT   | \$355,100.00                        | \$353,694.47                              | \$0.00                           | \$1,405.53            | 99.60%                            |
| SOCIAL SECURITY  | \$259,500.00                        | \$229,335.19                              | \$0.00                           | \$30,164.81           | 88.38%                            |
| CONTRACTUAL SERVICE  | \$835,200.00                        | \$636,076.76                              | \$36,173.61                      | \$162,949.63          | 76.16%                            |
| TRAVEL   | \$114,400.00                        | \$96,570.60                               | \$0.00                           | \$17,829.40           | 84.41%                            |
| PRINTING   | \$57,600.00                         | \$41,007.40                               | \$0.00                           | \$16,592.60           | 71.19%                            |
| COMMODITIES  | \$33,500.00                         | \$24,405.98                               | \$0.00                           | \$9,094.02            | 72.85%                            |
| EQUIPMENT  | \$120,800.00                        | \$107,641.44                              | \$0.00                           | \$13,158.56           | 89.11%                            |
| TELECOMMUNICATIONS   | \$112,400.00                        | \$94,381.82                               | \$0.00                           | \$18,018.18           | 83.97%                            |
| OPERATION OF AUTOMOTIVE EQUIPMENT                          | \$3,000.00                          | \$2,958.82                                | \$0.00                           | \$41.18               | 98.63%                            |
| HAVA MAINTENANCE OF EFFORT                                 | \$550,000.00                        | \$550,000.00                              | \$0.00                           | \$0.00                | 100.00%                           |
| ELECTION CODE BOOKS  | \$15,000.00                         | \$13,436.80                               | \$0.00                           | \$1,563.20            | 0.00%                             |
| <b>SUB-TOTAL (OPERATIONS)</b>                              | <b>\$5,928,700.00</b>               | <b>\$5,336,655.03</b>                     | <b>\$36,173.61</b>               | <b>\$555,871.36</b>   | <b>90.01%</b>                     |
| COUNTY CLERK & RECORDER STIPENDS                           | \$812,500.00                        | \$802,134.36                              | \$0.00                           | \$10,365.64           | 98.72%                            |
| ELECTION JUDGE REIMBURSEMENT                               | \$3,740,000.00                      | \$3,310,949.22                            | \$0.00                           | \$429,050.78          | 88.53%                            |
| VOTER REGISTRATION TAPES                                   | \$20,250.00                         | \$12,258.17                               | \$0.00                           | \$7,991.83            | 60.53%                            |
| <b>SUB-TOTAL (GRANTS)</b>                                  | <b>\$4,572,750.00</b>               | <b>\$4,125,341.75</b>                     | <b>\$0.00</b>                    | <b>\$447,408.25</b>   | <b>90.22%</b>                     |
| <b>TOTAL APPROPRIATION</b>                                 | <b>\$10,501,450.00</b>              | <b>\$9,461,996.78</b>                     | <b>\$36,173.61</b>               | <b>\$1,003,279.61</b> | <b>90.10%</b>                     |

**STATE BOARD OF ELECTIONS**  
**FY07 MONTHLY FISCAL REPORT**  
**General Revenue Fund**

| BOARD<br>MONTH ENDING: July 31, 2007           | FY07<br>APPROPRIATION | YEAR TO DATE<br>EXPENDITURE | BALANCE    | % OF<br>EXPENDITURE |
|--|-----------------------|-----------------------------|------------|---------------------|
| <b>CONTRACTUAL SERVICE</b>                     |                       |                             |            |                     |
| 1205 Freight Express & Drayage                 | \$2,000.00            | \$1,911.91                  | \$88.09    | 95.60%              |
| 1221 Repair/Maint. Furn./Office Equipment      |                       |                             | \$0.00     | 0.00%               |
| 1232 Rental Motor Vehicles                     | \$1,000.00            | \$1,000.00                  | \$0.00     | 100.00%             |
| 1239 Rental, NEC                               |                       |                             | \$0.00     | 0.00%               |
| 1243 Book Binding Services                     |                       |                             | \$0.00     | 0.00%               |
| 1266 Court Reporting & Filing Services         | \$15,100.00           | \$12,007.25                 | \$3,092.75 | 79.52%              |
| 1274 Reg. Fees & Conf. Expenses (Vendor)       | \$500.00              | \$425.00                    | \$75.00    | 85.00%              |
| 1275 Subscriptions                             | \$0.00                |                             | \$0.00     | 0.00%               |
| 1276 Reg. Fees & Conf. Expenses (Employee)     |                       |                             | \$0.00     | 0.00%               |
| 1277 Association Dues                          |                       |                             | \$0.00     | 0.00%               |
| 1280 Copying, Photographic & Printing Services | \$200.00              | \$155.48                    | \$44.52    | 0.00%               |
| 1286 Travel, Non-State Employee                |                       |                             | \$0.00     | 0.00%               |
| 1289 Contractual Services, NEC                 | \$200.00              | \$179.60                    | \$20.40    | 89.80%              |
| <b>TRAVEL</b>                                  | \$19,100.00           | \$16,426.52                 | \$2,673.48 | 86.00%              |
| <b>EQUIPMENT</b>                               |                       |                             |            |                     |
| 1510 Office Furniture & Equipment              | \$500.00              | \$364.00                    | \$136.00   | 0.00%               |

| BOARD GRAND TOTAL   | FY07<br>APPROPRIATION | YEAR TO DATE<br>EXPENDITURE | OBLIGATED<br>MONEY | BALANCE           | % OF<br>EXPENDITURE |
|---------------------|-----------------------|-----------------------------|--------------------|-------------------|---------------------|
| CONTRACTUAL SERVICE | \$19,000.00           | \$15,679.24                 |                    | \$3,320.76        | 82.52%              |
| TRAVEL              | \$19,100.00           | \$16,426.52                 |                    | \$2,673.48        | 86.00%              |
| EQUIPMENT           | \$500.00              | \$364.00                    |                    | \$136.00          | 0.00%               |
| <b>TOTAL</b>        | <b>\$38,600.00</b>    | <b>\$32,469.76</b>          | <b>\$0.00</b>      | <b>\$6,130.24</b> | <b>84.12%</b>       |

**STATE BOARD OF ELECTIONS**  
**FY07 MONTHLY FISCAL REPORT**  
**General Revenue Fund**

| ADMINISTRATION<br>MONTH ENDING: July 31, 2007 | FY07<br>APPROPRIATION | YEAR TO DATE<br>EXPENDITURE | BALANCE            | % OF<br>EXPENDITURE |        |
|---|-----------------------|-----------------------------|--------------------|---------------------|--------|
| PERSONAL SERVICE                              | \$562,300.00          | \$535,819.00                | \$26,481.00        | 95.29%              |        |
| 1129 State Paid Retirement                    | \$22,600.00           | \$21,315.69                 | \$1,284.31         | 94.32%              |        |
| 1161 Retirement                               | \$62,000.00           | \$61,900.02                 | \$99.98            | 99.84%              |        |
| 1170 Social Security                          | \$43,100.00           | \$38,454.90                 | \$4,645.10         | 89.22%              |        |
| CONTRACTUAL SERVICE                           | \$500.00              | \$199.74                    | \$300.26           | 39.95%              |        |
| 1201 Petty Cash                               | \$600.00              | \$550.00                    | \$50.00            | 91.67%              |        |
| 1205 Freight Express & Drayage                | \$12,500.00           | \$10,990.80                 | \$1,509.20         | 87.93%              |        |
| 1221 Repair/Maint. Furn./Office Equipment     | \$4,200.00            | \$3,920.46                  | \$279.54           | 93.34%              |        |
| 1223 Repair/Maint. Real Property              | \$100.00              |                             | \$100.00           | 0.00%               |        |
| 1230 In-House Repair & Maintenance            | \$23,300.00           | \$12,444.46                 | \$10,855.54        | 53.41%              |        |
| 1231 Rental, Office Equipment                 | \$4,600.00            | \$4,600.00                  | \$0.00             | 100.00%             |        |
| 1232 Rental, Motor Vehicles                   | \$197,400.00          | \$197,321.99                | \$78.01            | 99.96%              |        |
| 1233 Rental, Real Property                    |                       |                             |                    |                     |        |
| 1234 Rental, Machinery & Mechanical Eqmt      | \$1,400.00            | \$1,163.00                  | \$237.00           | 83.07%              |        |
| 1239 Rental, NEC                              | \$9,600.00            | \$8,897.41                  | \$702.59           | 92.68%              |        |
| 1240 Statistical & Tabulation Services        | \$12,500.00           | \$11,155.41                 | \$1,344.59         | 89.24%              |        |
| 1248 Building & Grounds Maintenance           | \$7,700.00            | \$7,676.47                  | \$23.53            | 99.69%              |        |
| 1251 Gas                                      | \$39,000.00           | \$38,888.59                 | \$111.41           | 99.71%              |        |
| 1252 Electricity                              | \$700.00              | \$671.67                    | \$28.33            | 95.95%              |        |
| 1253 Water                                    | \$600.00              | \$543.25                    | \$56.75            | 90.54%              |        |
| 1255 Utilities, NEC                           | \$63,400.00           | \$41,620.30                 | \$21,779.70        | 65.65%              |        |
| 1261 Postage                                  |                       |                             |                    |                     |        |
| 1266 Court Reporting                          |                       |                             |                    |                     |        |
| 1274 Reg Fees & Conf. Expenses (Vendor)       | \$800.00              | \$425.00                    | \$375.00           | 53.13%              |        |
| 1275 Subscription & Information Services      | \$2,500.00            | \$1,947.70                  | \$552.30           | 77.91%              |        |
| 1276 Reg.Fees & Conf. Expenses (Employee)     | \$500.00              | \$75.00                     | \$425.00           | 15.00%              |        |
| 1277 Association Dues                         | \$2,200.00            | \$2,110.00                  | \$90.00            | 95.91%              |        |
| 1281 Interviewee Expense - To Vendors         |                       |                             |                    |                     |        |
| 1285 Taxes, Licenses & Fees                   | \$100.00              |                             | \$100.00           | 0.00%               |        |
| 1289 Contractual Services, NEC                | \$1,300.00            | \$320.00                    | \$980.00           | 24.62%              |        |
| TRAVEL  | \$16,900.00           | \$12,773.28                 | \$4,126.72         | 75.58%              |        |
| 1291 In-State                                 | \$1,600.00            | \$751.66                    | \$848.34           | 46.98%              |        |
| 1292 Out-of-State                             | \$10,600.00           | \$7,752.00                  | \$2,848.00         | 73.13%              |        |
| PRINTING                                      |                       |                             |                    |                     |        |
| COMMODITIES                                   | \$13,500.00           | \$7,764.37                  | \$5,735.63         | 57.51%              |        |
| 1304 Office/Library Supplies                  | \$1,800.00            | \$1,740.10                  | \$59.90            | 96.67%              |        |
| 1391 Household & Cleaning Supplies            |                       |                             | \$0.00             | 0.00%               |        |
| 1394 Office/Library Equip., Not exc. \$100    | \$700.00              | \$683.79                    | \$16.21            | 97.68%              |        |
| 1398 Equipment, NEC                           | \$400.00              | \$86.00                     | \$314.00           | 21.50%              |        |
| 1399 Commodities, NEC                         |                       |                             |                    |                     |        |
| EQUIPMENT                                     | \$2,000.00            | \$589.48                    | \$1,410.52         | 29.47%              |        |
| 1510 Office Furniture & Equipment             |                       |                             |                    |                     |        |
| TELECOMMUNICATIONS                            |                       |                             |                    |                     |        |
| 1710 Repair/Maintenance Telecom               | \$45,600.00           | \$39,940.25                 | \$5,659.75         | 87.59%              |        |
| 1721 Rental, Telephone Serv. & Equip.         | \$61,600.00           | \$51,285.11                 | \$10,314.89        | 83.26%              |        |
| 1722 Rental, Data Comm. Serv. & Equip.        | \$1,200.00            | \$1,168.11                  | \$31.89            | 97.34%              |        |
| 1728 Videoconferencing                        | \$3,200.00            | \$1,210.00                  | \$1,990.00         | 37.81%              |        |
| 1729 Rental, Other Comm. Serv. & Equip.       | \$800.00              | \$778.35                    | \$21.65            | 97.29%              |        |
| 1730 Parts & Supplies for Telephone           |                       |                             |                    |                     |        |
| OPERATION OF AUTO EQUIPMENT                   | \$1,000.00            | \$987.87                    | \$12.13            | 98.79%              |        |
| 1893 Repair & Maint., Auto. Equipment         | \$160.00              | \$156.31                    | \$3.69             | 97.69%              |        |
| 1894 Parts & Fittings, Auto Equipment         | \$1,840.00            | \$1,814.64                  | \$25.36            | 98.62%              |        |
| 1896 Gasoline, Oil & Antifreeze               | \$0.00                |                             | \$0.00             | 0.00%               |        |
| 1899 Auto. Expenses, NEC                      |                       |                             |                    |                     |        |
| ADMINISTRATION GRAND TOTAL                    | FY07<br>APPROPRIATION | YEAR TO DATE<br>EXPENDITURE | OBLIGATED<br>MONEY | % OF<br>EXPENDITURE |        |
| PERSONAL SERVICE                              | \$562,300.00          | \$535,819.00                | \$26,481.00        | 95.29%              |        |
| STATE PAID RETIREMENT                         | \$22,600.00           | \$21,315.69                 | \$1,284.31         | 94.32%              |        |
| RETIREMENT                                    | \$62,000.00           | \$61,900.02                 | \$99.98            | 99.84%              |        |
| SOCIAL SECURITY                               | \$43,100.00           | \$38,454.90                 | \$4,645.10         | 89.22%              |        |
| CONTRACTUAL SERVICE                           | \$385,500.00          | \$345,521.25                | \$39,978.75        | 89.63%              |        |
| TRAVEL  | \$18,500.00           | \$13,524.94                 | \$4,975.06         | 73.11%              |        |
| PRINTING                                      | \$10,600.00           | \$7,752.00                  | \$2,848.00         | 73.13%              |        |
| COMMODITIES                                   | \$16,400.00           | \$10,274.26                 | \$6,125.74         | 62.65%              |        |
| EQUIPMENT                                     | \$2,000.00            | \$589.48                    | \$1,410.52         | 29.47%              |        |
| TELECOMMUNICATIONS                            | \$112,400.00          | \$94,381.82                 | \$18,018.18        | 83.97%              |        |
| OPERATION OF AUTO EQUIPMENT                   | \$3,000.00            | \$2,958.82                  | \$41.18            | 98.63%              |        |
| TOTAL   | \$1,238,400.00        | \$1,132,492.18              | \$0.00             | \$105,907.82        | 91.45% |

**STATE BOARD OF ELECTIONS**  
**FY07 MONTHLY FISCAL REPORT**  
**General Revenue Fund**

| <b>ELECTIONS</b><br><b>MONTH ENDING: July 31, 2007</b> | <b>FY07</b><br><b>APPROPRIATION</b> | <b>YEAR TO DATE</b><br><b>EXPENDITURE</b> | <b>BALANCE</b> | <b>% OF</b><br><b>EXPENDITURE</b> |
|--|-------------------------------------|---|----------------|-----------------------------------|
| <b>PERSONAL SERVICE</b>                                | \$1,422,300.00                      | \$1,243,654.62                            | \$178,645.38   | 87.44%                            |
| 1129 State Paid Retirement                             | \$57,000.00                         | \$48,120.40                               | \$8,879.60     | 84.42%                            |
| 1161 Retirement  | \$143,800.00                        | \$143,200.10                              | \$599.90       | 99.58%                            |
| 1170 Social Security                                   | \$108,900.00                        | \$91,467.55                               | \$17,432.45    | 83.99%                            |
| <b>CONTRACTUAL SERVICE</b>                             |                                     |   |                |                                   |
| 1145 Contractual Payroll                               | \$500.00                            | \$270.00                                  | \$230.00       | 54.00%                            |
| 1205 Freight Express & Drayage                         | \$3,300.00                          | \$2,913.49                                | \$386.51       | 88.29%                            |
| 1221 Repair/Maint. Furn./Office Equipment              | \$200.00                            | \$120.00                                  | \$80.00        | 60.00%                            |
| 1231 Rental, Office Equipment                          |                                     |   |                | 0.00%                             |
| 1232 Rental, Motor Vehicles                            |                                     |   |                | 0.00%                             |
| 1237 Rental, Film & Audio/Visual Aids                  | \$100.00                            |   | \$100.00       | 0.00%                             |
| 1239 Rental, NEC                                       | \$500.00                            | \$100.00                                  | \$400.00       | 20.00%                            |
| 1242 Auditing & Management Services                    |                                     |   | \$0.00         | 0.00%                             |
| 1245 Professional & Artistic Services, NEC             | \$1,100.00                          |   | \$1,100.00     | 0.00%                             |
| 1266 Court Reporting & Filing Services                 |                                     |   |                |                                   |
| 1273 Advertising                                       |                                     |   |                |                                   |
| 1274 Reg Fees & Conf. Expenses (Vendor)                | \$1,000.00                          | \$975.00                                  | \$25.00        | 97.50%                            |
| 1275 Subscription & Information Services               | \$2,100.00                          | \$1,100.00                                | \$1,000.00     | 52.38%                            |
| 1276 Reg. Fees & Conf. Expenses (Employee)             | \$1,600.00                          | \$654.00                                  | \$946.00       | 40.88%                            |
| 1277 Association Dues                                  | \$800.00                            | \$539.00                                  | \$261.00       | 67.38%                            |
| 1279 Employee Tuition & Fees                           | \$2,300.00                          | \$2,250.00                                | \$50.00        | 97.83%                            |
| 1280 Copying, Photographic & Printing Services         | \$1,700.00                          | \$1,624.42                                | \$75.58        | 95.55%                            |
| 1285 Operating Taxes, Licenses & Fees                  |                                     |   | \$0.00         | 0.00%                             |
| 1289 Contractual Services, NEC                         | \$9,200.00                          | \$1,591.63                                | \$7,608.37     | 17.30%                            |
| <b>TRAVEL</b>  | \$43,600.00                         | \$43,334.38                               | \$265.62       | 99.39%                            |
| <b>PRINTING</b>  | \$28,900.00                         | \$25,415.40                               | \$3,484.60     | 87.94%                            |
| <b>EQUIPMENT</b>                                       |                                     |   |                |                                   |
| 1510 Office Furniture & Equipment                      | \$5,200.00                          | \$4,555.18                                | \$644.82       | 87.60%                            |
| <b>HAVA - Maintenance of Effort</b>                    | \$550,000.00                        | \$550,000.00                              | \$0.00         | 100.00%                           |
| <b>ELECTION CODE BOOKS</b>                             | \$15,000.00                         | \$13,436.80                               | \$1,563.20     | 89.58%                            |

| <b>ELECTIONS GRAND TOTAL</b>        | <b>FY07</b><br><b>APPROPRIATION</b> | <b>YEAR TO DATE</b><br><b>EXPENDITURE</b> | <b>OBLIGATED</b><br><b>MONEY</b> | <b>BALANCE</b>      | <b>% OF</b><br><b>EXPENDITURE</b> |
|-------------------------------------|-------------------------------------|---|----------------------------------|---------------------|-----------------------------------|
| <b>PERSONAL SERVICE</b>             | \$1,422,300.00                      | \$1,243,654.62                            |                                  | \$178,645.38        | 87.44%                            |
| <b>STATE PAID RETIREMENT</b>        | \$57,000.00                         | \$48,120.40                               |                                  | \$8,879.60          | 84.42%                            |
| <b>RETIREMENT</b>                   | \$143,800.00                        | \$143,200.10                              |                                  | \$599.90            | 99.58%                            |
| <b>SOCIAL SECURITY</b>              | \$108,900.00                        | \$91,467.55                               |                                  | \$17,432.45         | 83.99%                            |
| <b>CONTRACTUAL SERVICE</b>          | \$24,400.00                         | \$12,137.54                               |                                  | \$12,262.46         | 49.74%                            |
| <b>TRAVEL</b>                       | \$43,600.00                         | \$43,334.38                               |                                  | \$265.62            | 99.39%                            |
| <b>PRINTING</b>                     | \$28,900.00                         | \$25,415.40                               |                                  | \$3,484.60          | 87.94%                            |
| <b>EQUIPMENT</b>                    | \$5,200.00                          | \$4,555.18                                |                                  | \$644.82            | 87.60%                            |
| <b>HAVA - Maintenance of Effort</b> | \$550,000.00                        | \$550,000.00                              |                                  | \$0.00              | 100.00%                           |
| <b>ELECTION CODE BOOKS</b>          | \$15,000.00                         | \$13,436.80                               |                                  | \$1,563.20          | 0.00%                             |
| <b>TOTAL</b>                        | <b>\$2,399,100.00</b>               | <b>\$2,175,321.97</b>                     | <b>\$0.00</b>                    | <b>\$223,778.03</b> | <b>90.67%</b>                     |

**STATE BOARD OF ELECTIONS**  
**FY07 MONTHLY FISCAL REPORT**  
**General Revenue Fund**

| <b>GENERAL COUNSEL<br/>MONTH ENDING: July 31, 2007</b> | <b>FY07<br/>APPROPRIATION</b> | <b>YEAR TO DATE<br/>EXPENDITURE</b> | <b>BALANCE</b> | <b>% OF<br/>EXPENDITURE</b> |
|--|-------------------------------|-------------------------------------|----------------|-----------------------------|
| <b>PERSONAL SERVICE</b>                                | \$249,500.00                  | \$231,881.12                        | \$17,618.88    | 92.94%                      |
| 1129 State Paid Retirement                             | \$10,000.00                   | \$9,277.24                          | \$722.76       | 92.77%                      |
| 1161 Retirement  | \$27,000.00                   | \$26,730.39                         | \$269.61       | 99.00%                      |
| 1170 Social Security                                   | \$19,200.00                   | \$17,321.26                         | \$1,878.74     | 90.21%                      |
| <b>CONTRACTUAL SERVICE</b>                             |                               |                                     |                |                             |
| 1145 Contractual Payroll                               |                               |                                     |                |                             |
| 1205 Freight Express & Drayage                         | \$2,600.00                    | \$1,907.70                          | \$692.30       | 73.37%                      |
| 1221 Repair/Maint. Furn./Office Equipment              |                               |                                     |                |                             |
| 1244 Legal Fees  | \$62,700.00                   | \$30,316.39                         | \$32,383.61    | 48.35%                      |
| 1245 Professional & Artistic Services, NEC             | \$6,000.00                    |                                     | \$6,000.00     | 0.00%                       |
| 1266 Court Reporting & Filing Services                 | \$25,500.00                   | \$8,998.50                          | \$16,501.50    | 35.29%                      |
| 1273 Advertising                                       |                               |                                     |                |                             |
| 1274 Reg Fees & Conf. Expenses (Vendor)                | \$2,000.00                    | \$425.00                            | \$1,575.00     | 21.25%                      |
| 1275 Subscription & Information Services               | \$4,000.00                    | \$2,460.41                          | \$1,539.59     | 61.51%                      |
| 1276 Reg. Fees & Conf. Expenses (Employee)             |                               |                                     |                |                             |
| 1277 Association Dues                                  | \$1,000.00                    | \$917.00                            | \$83.00        | 91.70%                      |
| 1279 Employee Tuition & Fees                           | \$500.00                      | \$390.00                            | \$110.00       | 78.00%                      |
| 1280 Copying, Photographic & Printing Services         | \$100.00                      | \$71.57                             | \$28.43        | 71.57%                      |
| 1284 Computer Software                                 | \$800.00                      |                                     | \$800.00       | 0.00%                       |
| 1289 Contractual Services, NEC                         | \$35,000.00                   | \$5,296.88                          | \$29,703.12    | 15.13%                      |
| <b>TRAVEL</b>  | \$10,300.00                   | \$8,571.06                          | \$1,728.94     | 83.21%                      |
| <b>EQUIPMENT</b>                                       |                               |                                     |                |                             |
| 1510 Office Furniture & Equipment                      | \$500.00                      |                                     | \$500.00       | 0.00%                       |

| <b>GENERAL COUNSEL GRAND TOTAL</b> | <b>FY07<br/>APPROPRIATION</b> | <b>YEAR TO DATE<br/>EXPENDITURE</b> | <b>OBLIGATED<br/>MONEY</b> | <b>BALANCE</b>     | <b>% OF<br/>EXPENDITURE</b> |
|------------------------------------|-------------------------------|-------------------------------------|----------------------------|--------------------|-----------------------------|
| <b>PERSONAL SERVICE</b>            | \$249,500.00                  | \$231,881.12                        |                            | \$17,618.88        | 92.94%                      |
| <b>STATE PAID RETIREMENT</b>       | \$10,000.00                   | \$9,277.24                          |                            | \$722.76           | 92.77%                      |
| <b>RETIREMENT</b>                  | \$27,000.00                   | \$26,730.39                         |                            | \$269.61           | 99.00%                      |
| <b>SOCIAL SECURITY</b>             | \$19,200.00                   | \$17,321.26                         |                            | \$1,878.74         | 90.21%                      |
| <b>CONTRACTUAL SERVICE</b>         | \$140,200.00                  | \$50,783.45                         | 33,683.61                  | \$55,732.94        | 36.22%                      |
| <b>TRAVEL</b>                      | \$10,300.00                   | \$8,571.06                          |                            | \$1,728.94         | 83.21%                      |
| <b>EQUIPMENT</b>                   | \$500.00                      | \$0.00                              |                            | \$500.00           | 0.00%                       |
| <b>TOTAL</b>                       | <b>\$456,700.00</b>           | <b>\$344,564.52</b>                 | <b>\$33,683.61</b>         | <b>\$78,451.87</b> | <b>75.45%</b>               |

**STATE BOARD OF ELECTIONS**  
**FY07 MONTHLY FISCAL REPORT**  
**General Revenue Fund**

| <b>CAMPAIGN DISCLOSURE<br/>MONTH ENDING: July 31, 2007</b> | <b>FY07<br/>APPROPRIATION</b> | <b>YEAR TO DATE<br/>EXPENDITURE</b> | <b>BALANCE</b> | <b>% OF<br/>EXPENDITURE</b> |
|--|-------------------------------|-------------------------------------|----------------|-----------------------------|
| <b>PERSONAL SERVICE</b>                                    | \$692,400.00                  | \$650,841.66                        | \$41,558.34    | 94.00%                      |
| 1129 State Paid Retirement                                 | \$27,700.00                   | \$23,951.10                         | \$3,748.90     | 86.47%                      |
| 1161 Retirement  | \$75,300.00                   | \$75,054.77                         | \$245.23       | 99.67%                      |
| 1170 Social Security                                       | \$53,100.00                   | \$47,501.30                         | \$5,598.70     | 89.46%                      |
| <b>CONTRACTUAL SERVICE</b>                                 |                               |                                     |                |                             |
| 1205 Freight Express & Drayage                             | \$100.00                      | \$40.86                             | \$59.14        | 40.86%                      |
| 1221 Repair & Maint, Furn & Office Equipment               | \$500.00                      | \$480.00                            | \$20.00        | 96.00%                      |
| 1225 Repair & Maint, EDP                                   | \$800.00                      | \$621.00                            | \$179.00       | 77.63%                      |
| 1229 Repair & Maint, NEC                                   |                               |                                     |                |                             |
| 1232 Rental, Motor Vehicles                                |                               |                                     |                |                             |
| 1239 Rental, NEC   | \$3,000.00                    | \$1,646.25                          | \$1,353.75     | 54.88%                      |
| 1245 Professional & Artistic Services, NEC                 | \$2,700.00                    | \$140.00                            | \$2,560.00     | 5.19%                       |
| 1248 Building & Ground Maintenance                         |                               |                                     |                |                             |
| 1266 Court Reporting & Filing Services                     |                               |                                     |                |                             |
| 1274 Reg Fees & Conf. Expenses (Vendor)                    | \$900.00                      | \$850.00                            | \$50.00        | 94.44%                      |
| 1275 Subscription & Information Services                   |                               |                                     |                |                             |
| 1276 Reg. Fees & Conf. Expenses (Employee)                 | \$500.00                      | \$130.00                            | \$370.00       | 26.00%                      |
| 1277 Association Dues                                      |                               |                                     |                |                             |
| 1279 Employee Tuition and Fees                             | \$600.00                      |                                     | \$600.00       | 0.00%                       |
| 1285 Operating Taxes, Licenses & Fees                      |                               |                                     |                |                             |
| 1286 Travel, Non-State Employee                            |                               |                                     |                |                             |
| 1289 Contractual Services, NEC                             | \$2,000.00                    | \$1,632.50                          | \$367.50       | 81.63%                      |
| <b>TRAVEL</b>  |                               |                                     |                |                             |
| 1291 In-State  | \$8,000.00                    | \$2,821.09                          | \$5,178.91     | 35.26%                      |
| 1292 Out-of-State  | \$3,300.00                    | \$2,910.37                          | \$389.63       | 88.19%                      |
| <b>PRINTING</b>  | \$17,400.00                   | \$7,840.00                          | \$9,560.00     | 45.06%                      |
| <b>EQUIPMENT</b>   |                               |                                     |                |                             |
| 1510 Office Furniture & Equipment                          | \$9,100.00                    | \$3,189.60                          | \$5,910.40     | 35.05%                      |

| <b>CAMPAIGN DISCLOSURE GRAND TOTAL</b> | <b>FY07<br/>APPROPRIATION</b> | <b>YEAR TO DATE<br/>EXPENDITURE</b> | <b>OBLIGATED<br/>MONEY</b> | <b>BALANCE</b>     | <b>% OF<br/>EXPENDITURE</b> |
|--|-------------------------------|-------------------------------------|----------------------------|--------------------|-----------------------------|
| <b>PERSONAL SERVICE</b>                | \$692,400.00                  | \$650,841.66                        |                            | \$41,558.34        | 94.00%                      |
| <b>STATE PAID RETIREMENT</b>           | \$27,700.00                   | \$23,951.10                         |                            | \$3,748.90         | 86.47%                      |
| <b>RETIREMENT</b>                      | \$75,300.00                   | \$75,054.77                         |                            | \$245.23           | 99.67%                      |
| <b>SOCIAL SECURITY</b>                 | \$53,100.00                   | \$47,501.30                         |                            | \$5,598.70         | 89.46%                      |
| <b>CONTRACTUAL SERVICE</b>             | \$11,100.00                   | \$5,540.61                          |                            | \$5,559.39         | 49.92%                      |
| <b>TRAVEL</b>                          | \$11,300.00                   | \$5,731.46                          |                            | \$5,568.54         | 50.72%                      |
| <b>PRINTING</b>                        | \$17,400.00                   | \$7,840.00                          |                            | \$9,560.00         | 45.06%                      |
| <b>EQUIPMENT</b>                       | \$9,100.00                    | \$3,189.60                          |                            | \$5,910.40         | 35.05%                      |
| <b>TOTAL</b>                           | <b>\$897,400.00</b>           | <b>\$819,650.50</b>                 | <b>\$0.00</b>              | <b>\$77,749.50</b> | <b>91.34%</b>               |

**STATE BOARD OF ELECTIONS**  
**FY07 MONTHLY FISCAL REPORT**  
**General Revenue Fund**

**INFORMATION TECHNOLOGY**  
**MONTH ENDING: July 31, 2007**

|  | <u>FY07</u><br><u>APPROPRIATION</u> | <u>YEAR TO DATE</u><br><u>EXPENDITURE</u> | <u>BALANCE</u> | <u>% OF</u><br><u>EXPENDITURE</u> |
|--|-------------------------------------|---|----------------|-----------------------------------|
| <b>PERSONAL SERVICE</b>                      | \$411,900.00                        | \$406,067.35                              | \$5,832.65     | 98.58%                            |
| 1129 State Paid Retirement                   | \$16,500.00                         | \$16,217.57                               | \$282.43       | 98.29%                            |
| 1161 Retirement                              | \$47,000.00                         | \$46,809.19                               | \$190.81       | 99.59%                            |
| 1170 Social Security                         | \$35,200.00                         | \$34,590.18                               | \$609.82       | 98.27%                            |
| <b>CONTRACTUAL SERVICE</b>                   |                                     |   |                |                                   |
| 1145 Contractual Payroll                     | \$58,000.00                         | \$57,950.64                               | \$49.36        | 99.91%                            |
| 1205 Freight Express & Drayage               |                                     |   |                |                                   |
| 1221 Repair/Maint. Furn./Office Equipment    | \$12,900.00                         |   | \$12,900.00    | 0.00%                             |
| 1223 Repair/Maint. Real Property             | \$6,500.00                          | \$6,394.89                                | \$105.11       | 98.38%                            |
| 1225 Repair/Maint. EDP Equipment             | \$25,150.00                         | \$9,118.50                                | \$16,031.50    | 36.26%                            |
| 1230 In-House Repair of Equipment            |                                     |   |                |                                   |
| 1234 Rental, Machinery and Mech. Equip       |                                     |   |                |                                   |
| 1239 Rental, NEC                             |                                     |   |                |                                   |
| 1242 Auditing & Management Services          | \$40,300.00                         | \$29,150.00                               | \$11,150.00    | 72.33%                            |
| 1244 Legal Fees                              |                                     |   |                |                                   |
| 1245 Professional & Artistic Services, NEC   | \$20,000.00                         | \$20,000.00                               | \$0.00         | 100.00%                           |
| 1271 Surety Bond & Ins. Prem.                | \$600.00                            | \$197.00                                  | \$403.00       | 32.83%                            |
| 1272 Travel & Expense Reimbursement (Vendor) |                                     |   |                |                                   |
| 1274 Reg Fees & Conf. Expenses (Vendor)      | \$6,000.00                          | \$5,380.00                                | \$620.00       | 89.67%                            |
| 1275 Subscription & Information Services     | \$3,050.00                          | \$2,398.00                                | \$652.00       | 78.62%                            |
| 1276 Reg. Fees & Conf. Expenses (Employee)   |                                     |   |                |                                   |
| 1277 Association Dues                        |                                     |   |                |                                   |
| 1279 Employee Tuition and Fees               | \$5,000.00                          |   | \$5,000.00     | 0.00%                             |
| 1284 Computer Software                       | \$76,500.00                         | \$75,680.24                               | \$819.76       | 98.93%                            |
| 1285 Operating Taxes, Licenses & Fees        |                                     |   |                |                                   |
| 1286 Travel, Non-State Employee              |                                     |   |                |                                   |
| 1289 Contractual Services, NEC               | \$1,000.00                          | \$145.40                                  | \$854.60       | 14.54%                            |
| <b>TRAVEL</b>                                |                                     |   |                |                                   |
| 1291 In-State                                | \$5,500.00                          | \$3,941.61                                | \$1,558.39     | 71.67%                            |
| 1292 Out-of-State                            | \$6,100.00                          | \$5,040.63                                | \$1,059.37     | 82.63%                            |
| <b>PRINTING</b>                              | \$700.00                            |   | \$700.00       | 0.00%                             |
| <b>COMMODITIES</b>                           |                                     |   |                |                                   |
| 1304 Office/Library Supplies                 | \$11,800.00                         | \$8,952.77                                | \$2,847.23     | 75.87%                            |
| 1332 Industrial & Shop Materials             |                                     |   |                |                                   |
| 1394 Office/Library Equip. under \$100       |                                     |   |                |                                   |
| 1398 Equipment, NEC                          | \$2,200.00                          | \$2,142.95                                | \$57.05        | 97.41%                            |
| 1399 Commodities, NEC                        | \$3,100.00                          | \$3,036.00                                | \$64.00        | 97.94%                            |
| <b>EQUIPMENT</b>                             |                                     |   |                |                                   |
| 1510 Office Furniture & Equipment            |                                     |   |                |                                   |
| 1515 EDP Equipment                           | \$103,500.00                        | \$98,943.18                               | \$4,556.82     | 95.60%                            |

|   | <u>FY07</u><br><u>APPROPRIATION</u> | <u>YEAR TO DATE</u><br><u>EXPENDITURE</u> | <u>OBLIGATED</u><br><u>MONEY</u> | <u>% OF</u><br><u>BALANCE</u> | <u>EXPENDITURE</u> |
|---|-------------------------------------|---|----------------------------------|-------------------------------|--------------------|
| <b>INFORMATION TECHNOLOGY GRAND TOTAL</b> |                                     |   |                                  |                               |                    |
| <b>PERSONAL SERVICE</b>                   | \$411,900.00                        | \$406,067.35                              |                                  | \$5,832.65                    | 98.58%             |
| <b>STATE PAID RETIREMENT</b>              | \$16,500.00                         | \$16,217.57                               |                                  | \$282.43                      | 98.29%             |
| <b>RETIREMENT</b>                         | \$47,000.00                         | \$46,809.19                               |                                  | \$190.81                      | 99.59%             |
| <b>SOCIAL SECURITY</b>                    | \$35,200.00                         | \$34,590.18                               |                                  | \$609.82                      | 98.27%             |
| <b>CONTRACTUAL SERVICE</b>                | \$255,000.00                        | \$206,414.67                              | \$2,490.00                       | \$46,095.33                   | 80.95%             |
| <b>TRAVEL</b>                             | \$11,600.00                         | \$8,982.24                                |                                  | \$2,617.76                    | 77.43%             |
| <b>PRINTING</b>                           | \$700.00                            | \$0.00                                    |                                  | \$700.00                      | 0.00%              |
| <b>COMMODITIES</b>                        | \$17,100.00                         | \$14,131.72                               |                                  | \$2,968.28                    | 82.64%             |
| <b>EQUIPMENT</b>                          | \$103,500.00                        | \$98,943.18                               | \$0.00                           | \$4,556.82                    | 95.60%             |
| <b>TOTAL</b>                              | <b>\$898,500.00</b>                 | <b>\$832,156.10</b>                       | <b>\$2,490.00</b>                | <b>\$63,853.90</b>            | <b>92.62%</b>      |



# **STATE BOARD OF ELECTIONS**

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## **MEMORANDUM**

*From the desk of:*  
*Daniel W. White, Executive Director*

**TO:** Members of the Board

**SUBJECT:** FY07 HAVA Fiscal Reports

**DATE:** August 8, 2007

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Attached for your information are HAVA reports for months ending June 30 and July 31. With the exception of two large vouchers for voting equipment to Cook County (\$5.5 million) and the City of Chicago (\$2.6 million) there was very little HAVA activity.

Attachments

**STATE BOARD OF ELECTIONS**  
**FY07 MONTHLY FISCAL REPORT**  
 Help Illinois Vote Fund

| AGENCY TOTALS                                   | SECTION 101                | SECTION 102                 | SECTION 261               | SECTION 251            | TOTAL                  |
|---|----------------------------|-----------------------------|---------------------------|------------------------|------------------------|
|   | <u>Discretionary Funds</u> | <u>Entitlement Payments</u> | <u>EAID Disbursements</u> | <u>Requirements</u>    | <u>Fund Activity</u>   |
| <u>MONTH ENDING: June 30, 2007</u>              |                            |                             |                           |                        |                        |
| <b>CASH BALANCE AT BEGINNING OF YEAR</b>        | <b>\$6,255,329.80</b>      | <b>\$4,249,322.24</b>       | <b>\$32,379.72</b>        | <b>\$52,897,617.69</b> | <b>\$63,434,649.45</b> |
| Program Revenues from Federal Govt              | \$0.00                     | \$0.00                      | \$142,863.96              | \$0.00                 | \$142,863.96           |
| Miscellaneous Revenues                          |                            |                             |                           |                        | \$0.00                 |
| Interest Earned on Comptroller Balances         | \$341,474.12               | \$0.00                      | \$0.00                    | \$2,070,457.88         | \$2,411,932.00         |
| <b>TOTAL CASH REVENUES</b>                      | <b>\$341,474.12</b>        | <b>\$0.00</b>               | <b>\$142,863.96</b>       | <b>\$2,070,457.88</b>  | <b>\$2,554,795.96</b>  |
| <b>YEAR TO DATE CASH EXPENDITURES</b>           |                            |                             |                           |                        |                        |
| PERSONAL SERVICE                                | \$49,481.00                |                             |                           | \$0.00                 | \$49,481.00            |
| STATE-PAID RETIREMENT                           | \$1,979.24                 |                             |                           | \$0.00                 | \$1,979.24             |
| RETIREMENT                                      | \$5,702.84                 |                             |                           | \$0.00                 | \$5,702.84             |
| SOCIAL SECURITY                                 | \$3,635.92                 |                             |                           | \$0.00                 | \$3,635.92             |
| GROUP INSURANCE                                 | \$15,266.52                |                             |                           | \$0.00                 | \$15,266.52            |
| CONTRACTUAL SERVICE                             | \$36,140.85                |                             |                           | \$1,562,780.29         | \$1,598,921.14         |
| TRAVEL  | \$2,454.53                 |                             |                           | \$0.00                 | \$2,454.53             |
| PRINTING  | \$538.00                   |                             |                           | \$0.00                 | \$538.00               |
| COMMODITIES                                     | \$0.00                     |                             |                           | \$0.00                 | \$0.00                 |
| EQUIPMENT                                       | \$12,756.00                |                             |                           | \$0.00                 | \$12,756.00            |
| TELECOMMUNICATIONS                              | \$11,056.07                |                             |                           | \$0.00                 | \$11,056.07            |
| OPERATION OF AUTO. EQUIPMENT                    | \$0.00                     |                             |                           | \$0.00                 | \$0.00                 |
| <b>SUB-TOTAL CASH EXPENDITURES (OPERATIONS)</b> | <b>\$139,010.97</b>        | <b>\$0.00</b>               | <b>\$0.00</b>             | <b>\$1,562,780.29</b>  | <b>\$1,701,791.26</b>  |
| AWARDS & GRANTS                                 | \$2,453,693.94             | \$4,113,273.24              | \$174,923.28              | \$21,750,093.35        | \$28,491,983.81        |
| <b>TOTAL CASH EXPENDITURES</b>                  | <b>\$2,592,704.91</b>      | <b>\$4,113,273.24</b>       | <b>\$174,923.28</b>       | <b>\$23,312,873.64</b> | <b>\$30,193,775.07</b> |
| <b>CASH BALANCE AT END OF MONTH</b>             | <b>\$4,004,099.01</b>      | <b>\$136,049.00</b>         | <b>\$320.40</b>           | <b>\$31,655,201.93</b> | <b>\$35,795,670.34</b> |

**STATE BOARD OF ELECTIONS**  
**FY07 MONTHLY FISCAL REPORT**  
 Help Illinois Vote Fund

**SECTION 101 - DISCRETIONARY FUNDS**  
**MONTH ENDING: June 30, 2007**

|  | <u>FY07</u><br><u>APPROPRIATION</u> | <u>YEAR TO DATE</u><br><u>EXPENDITURE</u> |                                  |
|--|-------------------------------------|---|----------------------------------|
| <b>PERSONAL SERVICE</b>                        | \$49,481.00                         | \$49,481.00                               |                                  |
| 1129 State Paid Retirement                     | \$1,979.24                          | \$1,979.24                                |                                  |
| 1161 Retirement                                | \$5,702.84                          | \$5,702.84                                |                                  |
| 1170 Social Security                           | \$3,635.92                          | \$3,635.92                                |                                  |
| 1180 Group Insurance                           | \$15,266.52                         | \$15,266.52                               |                                  |
| <b>CONTRACTUAL SERVICE</b>                     |                                     |   |                                  |
| 1205 Freight Express/Drayage                   | \$119.05                            | \$119.05                                  |                                  |
| 1223 Repair & Maintenance Real Property        |                                     |   |                                  |
| 1225 Repair & Maintenance, EDP Equip.          |                                     |   |                                  |
| 1231 Rental, Office Equipment                  |                                     |   |                                  |
| 1232 Rental, Motor Vehicles                    |                                     |   |                                  |
| 1237 Rental, Film & Audio/Visual Aids          |                                     |   |                                  |
| 1239 Rental, NEC                               |                                     |   |                                  |
| 1242 Auditing & Management Services            | \$34,521.80                         | \$34,521.80                               |                                  |
| 1243 Book Binding & Processing Services        |                                     |   |                                  |
| 1244 Legal Fees                                |                                     |   |                                  |
| 1245 Professional & Technical Services, NEC    |                                     |   |                                  |
| 1261 Postage                                   |                                     |   |                                  |
| 1266 Court Reporting & Filing Services         |                                     |   |                                  |
| 1273 Advertising                               | \$1,500.00                          | \$1,500.00                                |                                  |
| 1274 Registration Fees & Conf. Exp. (Vendor)   |                                     |   |                                  |
| 1276 Registration Fees & Conf. Exp. (Employee) |                                     |   |                                  |
| 1280 Copying, Photographic & Printing Serv.    |                                     |   |                                  |
| 1284 Computer Software                         |                                     |   |                                  |
| 1286 Travel, Reimb. to Non-State Employees     |                                     |   |                                  |
| 1289 Contractual Services, NEC                 |                                     |   |                                  |
| <b>TRAVEL</b>                                  |                                     |   |                                  |
| 1291 In-State                                  | \$709.31                            | \$709.31                                  |                                  |
| 1292 Out-of-State                              | \$1,745.22                          | \$1,745.22                                |                                  |
| <b>PRINTING</b>                                | \$538.00                            | \$538.00                                  |                                  |
| <b>COMMODITIES</b>                             |                                     |   |                                  |
| 1304 Office/Library Supplies                   |                                     |   |                                  |
| 1308 Educational & Instructional Materials     |                                     |   |                                  |
| 1394 Office/Library Equip., Not Exceed. \$100  |                                     |   |                                  |
| 1398 Equipment, NEC                            |                                     |   |                                  |
| 1399 Commodities, NEC                          |                                     |   |                                  |
| <b>EQUIPMENT</b>                               |                                     |   |                                  |
| 1510 Office Furniture & Equipment              |                                     |   |                                  |
| 1515 EDP Equipment                             | \$12,756.00                         | \$12,756.00                               |                                  |
| 1561 Training Equipment                        |                                     |   |                                  |
| 6625 Leasehold Improvements                    |                                     |   |                                  |
| <b>TELECOMMUNICATIONS</b>                      |                                     |   |                                  |
| 1721 Rental, Telephone Serv. & Equip.          | \$406.51                            | \$406.51                                  |                                  |
| 1722 Rental, Data Comm. Serv. & Equip.         | \$10,649.56                         | \$10,649.56                               |                                  |
| 1728 Video Conferencing                        |                                     |   |                                  |
| 1729 Rental, Other Comm. Serv. & Equip.        |                                     |   |                                  |
| 1730 Parts & Supplies for Telephone            |                                     |   |                                  |
| 1740 Answering & Pag. Comm. Serv. Equip.       |                                     |   |                                  |
| 1750 Telephone, Data, Radio & Other Equip.     |                                     |   |                                  |
| 1799 Telecommunication Services, NEC           |                                     |   |                                  |
| <b>OPERATION OF AUTO EQUIPMENT</b>             |                                     |   |                                  |
| 1893 Repair & Maint., Automotive Equipment     |                                     |   |                                  |
| 1894 Parts & Fittings, Automotive Equipment    |                                     |   |                                  |
| 1896 Gasoline, Oil & Antifreeze                |                                     |   |                                  |
| 1898 Automotive Services, NEC                  |                                     |   |                                  |
| 1899 Automotive Expenses, NEC                  |                                     |   |                                  |
| <b>GRANTS</b>                                  |                                     |   |                                  |
| 4453 Reimbursement to Governmental Units       | \$275.33                            | \$275.33                                  |                                  |
| 4458 Services, NEC                             |                                     |   |                                  |
| 4470 Grants to Local Governments (PPA)         | -\$22,135.19                        | -\$22,135.19                              |                                  |
| 4470 Grants to Local Governments (EAI)         | \$452,521.46                        | \$452,521.46                              |                                  |
| 4470 Grants to Local Governments (AAG)         | \$2,366,274.21                      | \$2,366,274.21                            |                                  |
| 4470 Grants to Local Governments               |                                     |   |                                  |
| 4479 Payments to Other State Agencies          | -\$343,241.87                       | -\$343,241.87                             |                                  |
| <b>SECTION 101 GRAND TOTAL</b>                 | <b>FY07</b><br><b>APPROPRIATION</b> | <b>YEAR TO DATE</b><br><b>EXPENDITURE</b> | <b>OBLIGATED</b><br><b>MONEY</b> |
| <b>PERSONAL SERVICE</b>                        | \$49,481.00                         | \$49,481.00                               |                                  |
| <b>STATE PAID RETIREMENT</b>                   | \$1,979.24                          | \$1,979.24                                |                                  |
| <b>RETIREMENT</b>                              | \$5,702.84                          | \$5,702.84                                |                                  |
| <b>SOCIAL SECURITY</b>                         | \$3,635.92                          | \$3,635.92                                |                                  |
| <b>GROUP INSURANCE</b>                         | \$15,266.52                         | \$15,266.52                               |                                  |
| <b>CONTRACTUAL SERVICE</b>                     | \$36,140.85                         | \$36,140.85                               | \$3,185.00                       |
| <b>TRAVEL</b>                                  | \$2,454.53                          | \$2,454.53                                |                                  |
| <b>PRINTING</b>                                | \$538.00                            | \$538.00                                  |                                  |
| <b>COMMODITIES</b>                             | \$0.00                              | \$0.00                                    |                                  |
| <b>EQUIPMENT</b>                               | \$12,756.00                         | \$12,756.00                               |                                  |
| <b>TELECOMMUNICATIONS</b>                      | \$11,056.07                         | \$11,056.07                               |                                  |
| <b>OPERATION OF AUTO EQUIPMENT</b>             | \$0.00                              | \$0.00                                    |                                  |
| <b>GRANTS</b>                                  | \$2,453,693.94                      | \$2,453,693.94                            |                                  |
| <b>TOTAL</b>                                   | <b>\$2,592,704.91</b>               | <b>\$2,592,704.91</b>                     | <b>\$3,185.00</b>                |

**STATE BOARD OF ELECTIONS**  
**FY07 MONTHLY FISCAL REPORT**  
**Help Illinois Vote Fund**

**SECTION 102 - ENTITLEMENT PAYMENTS**  
**MONTH ENDING: June 30, 2007**

**FY07**  
**APPROPRIATION**

**YEAR TO DATE**  
**EXPENDITURE**

**PERSONAL SERVICE**

1129 State Paid Retirement  
 1161 Retirement  
 1170 Social Security

**CONTRACTUAL SERVICE**

1205 Freight Express/Drayage  
 1225 Repair & Maintenance, EDP Equip.  
 1231 Rental, Office Equipment  
 1237 Rental, Film & Audio/Visual Aids  
 1239 Rental, NEC  
 1242 Auditing & Management Services  
 1243 Book Binding & Processing Services  
 1244 Legal Fees  
 1245 Professional & Technical Services, NEC  
 1261 Postage  
 1266 Court Reporting & Filing Services  
 1274 Registration Fees & Conf. Exp. (Vendor)  
 1276 Registration Fees & Conf. Exp. (Employee)  
 1280 Copying, Photographic & Printing Serv.  
 1284 Computer Software  
 1289 Contractual Services, NEC

**TRAVEL**

1291 In-State  
 1292 Out-of-State

**PRINTING**

**COMMODITIES**

1304 Office/Library Supplies  
 1394 Office/Library Equip., Not Exceed. \$100  
 1398 Equipment, NEC  
 1399 Commodities, NEC

**EQUIPMENT**

1510 Office Furniture & Equipment  
 1515 EDP Equipment  
 1599 Equipment, NEC

**TELECOMMUNICATIONS**

1721 Rental, Telephone Serv. & Equip.  
 1722 Rental, Data Comm. Serv. & Equip.  
 1728 Video Conferencing  
 1729 Rental, Other Comm. Serv. & Equip.  
 1730 Parts & Supplies for Telephone  
 1740 Answering & Pag. Comm. Serv. Equip.  
 1750 Telephone, Data, Radio & Other Equip.  
 1799 Telecommunication Services, NEC

**OPERATION OF AUTO EQUIPMENT**

1893 Repair & Maint., Automotive Equipment  
 1894 Parts & Fittings, Automotive Equipment  
 1896 Gasoline, Oil & Antifreeze  
 1898 Automotive Services, NEC  
 1899 Automotive Expenses, NEC

**GRANTS**

4453 Reimbursement to Governmental Units  
 4458 Services, NEC  
 4470 Grants to Local Governments, NEC

\$4,113,273.24

\$4,113,273.24

**SECTION 102 GRAND TOTAL**

**FY07**  
**APPROPRIATION**

**YEAR TO DATE**  
**EXPENDITURE**

**OBLIGATED**  
**MONEY**

**PERSONAL SERVICE**  
**STATE PAID RETIREMENT**  
**RETIREMENT**  
**SOCIAL SECURITY**  
**CONTRACTUAL SERVICE**  
**TRAVEL**  
**PRINTING**  
**COMMODITIES**  
**EQUIPMENT**  
**TELECOMMUNICATIONS**  
**OPERATION OF AUTO EQUIPMENT**  
**GRANTS**

\$0.00  
 \$0.00  
 \$0.00  
 \$0.00  
 \$0.00  
 \$0.00  
 \$0.00  
 \$0.00  
 \$0.00  
 \$0.00  
 \$0.00  
 \$4,113,273.24

\$0.00  
 \$0.00  
 \$0.00  
 \$0.00  
 \$0.00  
 \$0.00  
 \$0.00  
 \$0.00  
 \$0.00  
 \$0.00  
 \$0.00  
 \$4,113,273.24

\$0.00

**TOTAL**

034

\$4,113,273.24

\$4,113,273.24

**STATE BOARD OF ELECTIONS**  
**FY07 MONTHLY FISCAL REPORT**  
**Help Illinois Vote Fund**

**SECTION 261 - EAID DISBURSEMENTS**  
**MONTH ENDING: June 30, 2007**

**FY07**  
**APPROPRIATION**

**YEAR TO DATE**  
**EXPENDITURE**

**PERSONAL SERVICE**

1129 State Paid Retirement  
1161 Retirement  
1170 Social Security

**CONTRACTUAL SERVICE**

1205 Freight Express/Drayage  
1225 Repair & Maintenance, EDP Equip.  
1231 Rental, Office Equipment  
1237 Rental, Film & Audio/Visual Aids  
1239 Rental, NEC  
1242 Auditing & Management Services  
1243 Book Binding & Processing Services  
1244 Legal Fees  
1245 Professional & Technical Services, NEC  
1261 Postage  
1266 Court Reporting & Filing Services  
1274 Registration Fees & Conf. Exp. (Vendor)  
1276 Registration Fees & Conf. Exp. (Employee)  
1280 Copying, Photographic & Printing Serv.  
1284 Computer Software  
1289 Contractual Services, NEC

**TRAVEL**

1291 In-State  
1292 Out-of-State

**PRINTING**

**COMMODITIES**

1304 Office/Library Supplies  
1394 Office/Library Equip., Not exc. \$100  
1398 Equipment, NEC  
1399 Commodities, NEC

**EQUIPMENT**

1510 Office Furniture & Equipment  
1515 EDP Equipment  
1599 Equipment, NEC

**TELECOMMUNICATIONS**

1721 Rental, Telephone Serv. & Equip.  
1722 Rental, Data Comm. Serv. & Equip.  
1728 Video Conferencing  
1729 Rental, Other Comm. Serv. & Equip.  
1730 Parts & Supplies for Telephone  
1740 Answering & Pag. Comm. Serv. Equip.  
1750 Telephone, Data, Radio & Other Equip.  
1799 Telecommunication Services, NEC

**OPERATION OF AUTO EQUIPMENT**

1893 Repair & Maint., Automotive Equipment  
1894 Parts & Fittings, Automotive Equipment  
1896 Gasoline, Oil & Antifreeze  
1898 Automotive Services, NEC  
1899 Automotive Expenses, NEC

**GRANTS**

4453 Reimbursement to Governmental Units  
4458 Services, NEC  
4470 Grants to Local Governments, NEC

**SECTION 261 GRAND TOTAL**

\$174,923.28  
FY07  
APPROPRIATION

\$174,923.28  
YEAR TO DATE  
EXPENDITURE

**OBLIGATED  
MONEY**

|                             |              |              |
|-----------------------------|--------------|--------------|
| PERSONAL SERVICE            | \$0.00       | \$0.00       |
| STATE PAID RETIREMENT       | \$0.00       | \$0.00       |
| RETIREMENT                  | \$0.00       | \$0.00       |
| SOCIAL SECURITY             | \$0.00       | \$0.00       |
| CONTRACTUAL SERVICE         | \$0.00       | \$0.00       |
| TRAVEL                      | \$0.00       | \$0.00       |
| PRINTING                    | \$0.00       | \$0.00       |
| COMMODITIES                 | \$0.00       | \$0.00       |
| EQUIPMENT                   | \$0.00       | \$0.00       |
| TELECOMMUNICATIONS          | \$0.00       | \$0.00       |
| OPERATION OF AUTO EQUIPMENT | \$0.00       | \$0.00       |
| GRANTS                      | \$174,923.28 | \$174,923.28 |

**TOTAL**

**\$174,923.28**

**035**

**\$174,923.28**

**\$0.00**

**STATE BOARD OF ELECTIONS**  
**FY07 MONTHLY FISCAL REPORT**  
**Help Illinois Vote Fund**

**SECTION 251 - REQUIREMENTS**  
**MONTH ENDING: June 30, 2007**

**FY07**  
**APPROPRIATION**

**YEAR TO DATE**  
**EXPENDITURE**

**PERSONAL SERVICE**

1129 State Paid Retirement  
 1161 Retirement  
 1170 Social Security  
 1180 Group Insurance

**CONTRACTUAL SERVICE**

1205 Freight Express/Drayage  
 1223 Repair & Maintenance Real Property  
 1225 Repair & Maintenance, EDP Equip.  
 1231 Rental, Office Equipment  
 1232 Rental, Motor Vehicles  
 1237 Rental, Film & Audio/Visual Aids  
 1239 Rental, NEC  
 1242 Auditing & Management Services  
 1243 Book Binding & Processing Services  
 1244 Legal Fees  
 1245 Professional & Technical Services, NEC  
 1261 Postage  
 1266 Court Reporting & Filing Services  
 1273 Advertising  
 1274 Registration Fees & Conf. Exp. (Vendor)  
 1276 Registration Fees & Conf. Exp. (Employee)  
 1280 Copying, Photographic & Printing Serv.  
 1284 Computer Software  
 1289 Contractual Services, NEC

\$1,478,940.08

\$1,478,940.08

\$83,840.21

\$83,840.21

**TRAVEL**

1291 In-State  
 1292 Out-of-State

**PRINTING**

**COMMODITIES**

1304 Office/Library Supplies  
 1308 Educational & Instructional Materials  
 1394 Office/Library Equip., Not Exceed. \$100  
 1398 Equipment, NEC  
 1399 Commodities, NEC

**EQUIPMENT**

1510 Office Furniture & Equipment  
 1515 EDP Equipment  
 1561 Training Equipment  
 6625 Leasehold Improvements

**TELECOMMUNICATIONS**

1721 Rental, Telephone Serv. & Equip.  
 1722 Rental, Data Comm. Serv. & Equip.  
 1728 Video Conferencing  
 1729 Rental, Other Comm. Serv. & Equip.  
 1730 Parts & Supplies for Telephone  
 1740 Answering & Pag. Comm. Serv. Equip.  
 1750 Telephone, Data, Radio & Other Equip.  
 1799 Telecommunication Services, NEC

**OPERATION OF AUTO EQUIPMENT**

1893 Repair & Maint., Automotive Equipment  
 1894 Parts & Fittings, Automotive Equipment  
 1896 Gasoline, Oil & Antifreeze  
 1898 Automotive Services, NEC  
 1899 Automotive Expenses, NEC

**GRANTS**

4453 Reimbursement to Govt Units (AVE)  
 4453 Reimbursement to Govt Units (Phase II)  
 4453 Reimbursement to Govt Units (ALA)  
 4453 Reimbursement to Govt Units (EDG)  
 4470 Grants to Local Governments (VRS)  
 4479 Payments to Other State Agencies

\$3,548,934.70

\$3,548,934.70

\$14,820,452.89

\$14,820,452.89

\$938,052.75

\$938,052.75

\$503,577.95

\$503,577.95

\$1,939,075.06

\$1,939,075.06

**SECTION 101 GRAND TOTAL**

**FY07**  
**APPROPRIATION**

**YEAR TO DATE**  
**EXPENDITURE**

**OBLIGATED**  
**MONEY**

PERSONAL SERVICE  
 STATE PAID RETIREMENT  
 RETIREMENT  
 SOCIAL SECURITY  
 GROUP INSURANCE  
 CONTRACTUAL SERVICE  
 TRAVEL  
 PRINTING  
 COMMODITIES  
 EQUIPMENT  
 TELECOMMUNICATIONS  
 OPERATION OF AUTO EQUIPMENT  
 GRANTS

\$0.00  
 \$0.00  
 \$0.00  
 \$0.00  
 \$0.00  
 \$1,562,780.29  
 \$0.00  
 \$0.00  
 \$0.00  
 \$0.00  
 \$0.00  
 \$0.00  
 \$21,750,093.35

\$0.00  
 \$0.00  
 \$0.00  
 \$0.00  
 \$0.00  
 \$1,562,780.29  
 \$0.00  
 \$0.00  
 \$0.00  
 \$0.00  
 \$0.00  
 \$0.00  
 \$21,750,093.35

\$380,824.71

**TOTAL**

**\$23,312,873.64**

**036**

**\$23,312,873.64**

**\$380,824.71**

**STATE BOARD OF ELECTIONS**  
**FY07 MONTHLY FISCAL REPORT**  
 Help Illinois Vote Fund

| AGENCY TOTALS                                   | SECTION 101                | SECTION 102                 | SECTION 261              | SECTION 251            | TOTAL                  |
|---|----------------------------|-----------------------------|--------------------------|------------------------|------------------------|
|   | <u>Discretionary Funds</u> | <u>Entitlement Payments</u> | <u>EAD Disbursements</u> | <u>Requirements</u>    | <u>Fund Activity</u>   |
| <b>MONTH ENDING: July 31, 2007</b>              |                            |                             |                          |                        |                        |
| <b>CASH BALANCE AT BEGINNING OF YEAR</b>        | <b>\$6,255,329.80</b>      | <b>\$4,249,322.24</b>       | <b>\$32,379.72</b>       | <b>\$52,897,617.69</b> | <b>\$63,434,649.45</b> |
| Program Revenues from Federal Govt              | \$0.00                     | \$0.00                      | \$142,863.96             | \$0.00                 | \$142,863.96           |
| Miscellaneous Revenues                          |                            |                             |                          |                        | \$0.00                 |
| Interest Earned on Comptroller Balances         | \$358,248.05               | \$0.00                      | \$0.00                   | \$2,198,699.95         | \$2,556,948.00         |
| <b>TOTAL CASH REVENUES</b>                      | <b>\$358,248.05</b>        | <b>\$0.00</b>               | <b>\$142,863.96</b>      | <b>\$2,198,699.95</b>  | <b>\$2,699,811.96</b>  |
| <b>YEAR TO DATE CASH EXPENDITURES</b>           |                            |                             |                          |                        |                        |
| PERSONAL SERVICE                                | \$49,481.00                |                             |                          | \$0.00                 | \$49,481.00            |
| STATE-PAID RETIREMENT                           | \$1,979.24                 |                             |                          | \$0.00                 | \$1,979.24             |
| RETIREMENT                                      | \$5,702.84                 |                             |                          | \$0.00                 | \$5,702.84             |
| SOCIAL SECURITY                                 | \$3,635.92                 |                             |                          | \$0.00                 | \$3,635.92             |
| GROUP INSURANCE                                 | \$15,266.52                |                             |                          | \$0.00                 | \$15,266.52            |
| CONTRACTUAL SERVICE                             | \$38,903.35                |                             |                          | \$1,729,365.71         | \$1,768,269.06         |
| TRAVEL  | \$2,454.53                 |                             |                          | \$0.00                 | \$2,454.53             |
| PRINTING  | \$538.00                   |                             |                          | \$0.00                 | \$538.00               |
| COMMODITIES                                     | \$0.00                     |                             |                          | \$0.00                 | \$0.00                 |
| EQUIPMENT                                       | \$12,756.00                |                             |                          | \$0.00                 | \$12,756.00            |
| TELECOMMUNICATIONS                              | \$11,092.27                |                             |                          | \$0.00                 | \$11,092.27            |
| OPERATION OF AUTO. EQUIPMENT                    | \$0.00                     |                             |                          | \$0.00                 | \$0.00                 |
| <b>SUB-TOTAL CASH EXPENDITURES (OPERATIONS)</b> | <b>\$141,809.67</b>        | <b>\$0.00</b>               | <b>\$0.00</b>            | <b>\$1,729,365.71</b>  | <b>\$1,871,175.38</b>  |
| AWARDS & GRANTS                                 | \$2,453,693.94             | \$4,113,273.24              | \$174,923.28             | \$29,964,587.17        | \$36,706,477.63        |
| <b>TOTAL CASH EXPENDITURES</b>                  | <b>\$2,595,503.61</b>      | <b>\$4,113,273.24</b>       | <b>\$174,923.28</b>      | <b>\$31,693,952.88</b> | <b>\$38,577,653.01</b> |
| <b>CASH BALANCE AT END OF MONTH</b>             | <b>\$4,018,074.24</b>      | <b>\$136,049.00</b>         | <b>\$320.40</b>          | <b>\$23,402,364.76</b> | <b>\$27,556,808.40</b> |

**STATE BOARD OF ELECTIONS**  
**FY07 MONTHLY FISCAL REPORT**  
**Help Illinois Vote Fund**

**SECTION 101 - DISCRETIONARY FUNDS**  
**MONTH ENDING: July 31, 2007**

|  | FY07<br>APPROPRIATION         | YEAR TO DATE<br>EXPENDITURE         |                            |
|--|-------------------------------|-------------------------------------|----------------------------|
| <b>PERSONAL SERVICE</b>                        | \$49,481.00                   | \$49,481.00                         |                            |
| 1129 State Paid Retirement                     | \$1,979.24                    | \$1,979.24                          |                            |
| 1161 Retirement                                | \$5,702.84                    | \$5,702.84                          |                            |
| 1170 Social Security                           | \$3,635.92                    | \$3,635.92                          |                            |
| 1180 Group Insurance                           | \$15,266.52                   | \$15,266.52                         |                            |
| <b>CONTRACTUAL SERVICE</b>                     |                               |                                     |                            |
| 1205 Freight Express/Drayage                   | \$119.05                      | \$119.05                            |                            |
| 1223 Repair & Maintenance Real Property        |                               |                                     |                            |
| 1225 Repair & Maintenance, EDP Equip.          |                               |                                     |                            |
| 1231 Rental, Office Equipment                  |                               |                                     |                            |
| 1232 Rental, Motor Vehicles                    |                               |                                     |                            |
| 1237 Rental, Film & Audio/Visual Aids          |                               |                                     |                            |
| 1239 Rental, NEC                               |                               |                                     |                            |
| 1242 Auditing & Management Services            | \$37,284.30                   | \$37,284.30                         |                            |
| 1243 Book Binding & Processing Services        |                               |                                     |                            |
| 1244 Legal Fees                                |                               |                                     |                            |
| 1245 Professional & Technical Services, NEC    |                               |                                     |                            |
| 1261 Postage                                   |                               |                                     |                            |
| 1266 Court Reporting & Filing Services         |                               |                                     |                            |
| 1273 Advertising                               | \$1,500.00                    | \$1,500.00                          |                            |
| 1274 Registration Fees & Conf. Exp. (Vendor)   |                               |                                     |                            |
| 1276 Registration Fees & Conf. Exp. (Employee) |                               |                                     |                            |
| 1280 Copying, Photographic & Printing Serv.    |                               |                                     |                            |
| 1284 Computer Software                         |                               |                                     |                            |
| 1286 Travel, Reimb. to Non-State Employees     |                               |                                     |                            |
| 1289 Contractual Services, NEC                 |                               |                                     |                            |
| <b>TRAVEL</b>                                  |                               |                                     |                            |
| 1291 In-State                                  | \$709.31                      | \$709.31                            |                            |
| 1292 Out-of-State                              | \$1,745.22                    | \$1,745.22                          |                            |
| <b>PRINTING</b>                                | \$538.00                      | \$538.00                            |                            |
| <b>COMMODITIES</b>                             |                               |                                     |                            |
| 1304 Office/Library Supplies                   |                               |                                     |                            |
| 1308 Educational & Instructional Materials     |                               |                                     |                            |
| 1394 Office/Library Equip., Not Exceed. \$100  |                               |                                     |                            |
| 1398 Equipment, NEC                            |                               |                                     |                            |
| 1399 Commodities, NEC                          |                               |                                     |                            |
| <b>EQUIPMENT</b>                               |                               |                                     |                            |
| 1510 Office Furniture & Equipment              |                               |                                     |                            |
| 1515 EDP Equipment                             | \$12,756.00                   | \$12,756.00                         |                            |
| 1561 Training Equipment                        |                               |                                     |                            |
| 6625 Leasehold Improvements                    |                               |                                     |                            |
| <b>TELECOMMUNICATIONS</b>                      |                               |                                     |                            |
| 1721 Rental, Telephone Serv. & Equip.          | \$442.71                      | \$442.71                            |                            |
| 1722 Rental, Data Comm. Serv. & Equip.         | \$10,649.56                   | \$10,649.56                         |                            |
| 1728 Video Conferencing                        |                               |                                     |                            |
| 1729 Rental, Other Comm. Serv. & Equip.        |                               |                                     |                            |
| 1730 Parts & Supplies for Telephone            |                               |                                     |                            |
| 1740 Answering & Pag. Comm. Serv. Equip.       |                               |                                     |                            |
| 1750 Telephone, Data, Radio & Other Equip.     |                               |                                     |                            |
| 1799 Telecommunication Services, NEC           |                               |                                     |                            |
| <b>OPERATION OF AUTO EQUIPMENT</b>             |                               |                                     |                            |
| 1893 Repair & Maint., Automotive Equipment     |                               |                                     |                            |
| 1894 Parts & Fittings, Automotive Equipment    |                               |                                     |                            |
| 1896 Gasoline, Oil & Antifreeze                |                               |                                     |                            |
| 1898 Automotive Services, NEC                  |                               |                                     |                            |
| 1899 Automotive Expenses, NEC                  |                               |                                     |                            |
| <b>GRANTS</b>                                  |                               |                                     |                            |
| 4453 Reimbursement to Governmental Units       | \$275.33                      | \$275.33                            |                            |
| 4458 Services, NEC                             |                               |                                     |                            |
| 4470 Grants to Local Governments (PPA)         | -\$22,135.19                  | -\$22,135.19                        |                            |
| 4470 Grants to Local Governments (EAI)         | \$452,521.46                  | \$452,521.46                        |                            |
| 4470 Grants to Local Governments (AAG)         | \$2,366,274.21                | \$2,366,274.21                      |                            |
| 4470 Grants to Local Governments               |                               |                                     |                            |
| 4479 Payments to Other State Agencies          | -\$343,241.87                 | -\$343,241.87                       |                            |
| <b>SECTION 101 GRAND TOTAL</b>                 | <b>FY07<br/>APPROPRIATION</b> | <b>YEAR TO DATE<br/>EXPENDITURE</b> | <b>OBLIGATED<br/>MONEY</b> |
| <b>PERSONAL SERVICE</b>                        | \$49,481.00                   | \$49,481.00                         |                            |
| <b>STATE PAID RETIREMENT</b>                   | \$1,979.24                    | \$1,979.24                          |                            |
| <b>RETIREMENT</b>                              | \$5,702.84                    | \$5,702.84                          |                            |
| <b>SOCIAL SECURITY</b>                         | \$3,635.92                    | \$3,635.92                          |                            |
| <b>GROUP INSURANCE</b>                         | \$15,266.52                   | \$15,266.52                         |                            |
| <b>CONTRACTUAL SERVICE</b>                     | \$38,903.35                   | \$38,903.35                         | \$422.50                   |
| <b>TRAVEL</b>                                  | \$2,454.53                    | \$2,454.53                          |                            |
| <b>PRINTING</b>                                | \$538.00                      | \$538.00                            |                            |
| <b>COMMODITIES</b>                             | \$0.00                        | \$0.00                              |                            |
| <b>EQUIPMENT</b>                               | \$12,756.00                   | \$12,756.00                         |                            |
| <b>TELECOMMUNICATIONS</b>                      | \$11,092.27                   | \$11,092.27                         |                            |
| <b>OPERATION OF AUTO EQUIPMENT</b>             | \$0.00                        | \$0.00                              |                            |
| <b>GRANTS</b>                                  | \$2,453,693.94                | \$2,453,693.94                      |                            |
| <b>TOTAL</b>                                   | <b>\$2,595,503.81</b>         | <b>\$2,595,503.81</b>               | <b>\$422.50</b>            |



**STATE BOARD OF ELECTIONS**  
**FY07 MONTHLY FISCAL REPORT**  
 Help Illinois Vote Fund

**SECTION 102 - ENTITLEMENT PAYMENTS**  
**MONTH ENDING: July 31, 2007**

**FY07**  
**APPROPRIATION**

**YEAR TO DATE**  
**EXPENDITURE**

**PERSONAL SERVICE**

1129 State Paid Retirement  
 1161 Retirement  
 1170 Social Security

**CONTRACTUAL SERVICE**

1205 Freight Express/Drayage  
 1225 Repair & Maintenance, EDP Equip.  
 1231 Rental, Office Equipment  
 1237 Rental, Film & Audio/Visual Aids  
 1239 Rental, NEC  
 1242 Auditing & Management Services  
 1243 Book Binding & Processing Services  
 1244 Legal Fees  
 1245 Professional & Technical Services, NEC  
 1261 Postage  
 1266 Court Reporting & Filing Services  
 1274 Registration Fees & Conf. Exp. (Vendor)  
 1276 Registration Fees & Conf. Exp. (Employee)  
 1280 Copying, Photographic & Printing Serv.  
 1284 Computer Software  
 1289 Contractual Services, NEC

**TRAVEL**

1291 In-State  
 1292 Out-of-State

**PRINTING**

**COMMODITIES**

1304 Office/Library Supplies  
 1394 Office/Library Equip., Not Exceed. \$100  
 1398 Equipment, NEC  
 1399 Commodities, NEC

**EQUIPMENT**

1510 Office Furniture & Equipment  
 1515 EDP Equipment  
 1599 Equipment, NEC

**TELECOMMUNICATIONS**

1721 Rental, Telephone Serv. & Equip.  
 1722 Rental, Data Comm. Serv. & Equip.  
 1728 Video Conferencing  
 1729 Rental, Other Comm. Serv. & Equip.  
 1730 Parts & Supplies for Telephone  
 1740 Answering & Pag. Comm. Serv. Equip.  
 1750 Telephone, Data, Radio & Other Equip.  
 1799 Telecommunication Services, NEC

**OPERATION OF AUTO EQUIPMENT**

1893 Repair & Maint., Automotive Equipment  
 1894 Parts & Fittings, Automotive Equipment  
 1896 Gasoline, Oil & Antifreeze  
 1898 Automotive Services, NEC  
 1899 Automotive Expenses, NEC

**GRANTS**

4453 Reimbursement to Governmental Units  
 4458 Services, NEC  
 4470 Grants to Local Governments, NEC

**FY07**  
**APPROPRIATION**

**YEAR TO DATE**  
**EXPENDITURE**

**OBLIGATED**  
**MONEY**

**SECTION 102 GRAND TOTAL**

|                                    |                |                |
|------------------------------------|----------------|----------------|
| <b>PERSONAL SERVICE</b>            | \$0.00         | \$0.00         |
| <b>STATE PAID RETIREMENT</b>       | \$0.00         | \$0.00         |
| <b>RETIREMENT</b>                  | \$0.00         | \$0.00         |
| <b>SOCIAL SECURITY</b>             | \$0.00         | \$0.00         |
| <b>CONTRACTUAL SERVICE</b>         | \$0.00         | \$0.00         |
| <b>TRAVEL</b>                      | \$0.00         | \$0.00         |
| <b>PRINTING</b>                    | \$0.00         | \$0.00         |
| <b>COMMODITIES</b>                 | \$0.00         | \$0.00         |
| <b>EQUIPMENT</b>                   | \$0.00         | \$0.00         |
| <b>TELECOMMUNICATIONS</b>          | \$0.00         | \$0.00         |
| <b>OPERATION OF AUTO EQUIPMENT</b> | \$0.00         | \$0.00         |
| <b>GRANTS</b>                      | \$4,113,273.24 | \$4,113,273.24 |

**TOTAL**

039

\$4,113,273.24

\$4,113,273.24

\$0.00

**STATE BOARD OF ELECTIONS**  
**FY07 MONTHLY FISCAL REPORT**  
**Help Illinois Vote Fund**

**SECTION 261 - EAID DISBURSEMENTS**  
**MONTH ENDING: July 31, 2007**

**FY07**  
**APPROPRIATION**

**YEAR TO DATE**  
**EXPENDITURE**

**PERSONAL SERVICE**

1129 State Paid Retirement  
1161 Retirement  
1170 Social Security

**CONTRACTUAL SERVICE**

1205 Freight Express/Drayage  
1225 Repair & Maintenance, EDP Equip.  
1231 Rental, Office Equipment  
1237 Rental, Film & Audio/Visual Aids  
1239 Rental, NEC  
1242 Auditing & Management Services  
1243 Book Binding & Processing Services  
1244 Legal Fees  
1245 Professional & Technical Services, NEC  
1261 Postage  
1266 Court Reporting & Filing Services  
1274 Registration Fees & Conf. Exp. (Vendor)  
1276 Registration Fees & Conf. Exp. (Employee)  
1280 Copying, Photographic & Printing Serv.  
1284 Computer Software  
1289 Contractual Services, NEC

**TRAVEL**

1291 In-State  
1292 Out-of-State

**PRINTING**

**COMMODITIES**

1304 Office/Library Supplies  
1394 Office/Library Equip., Not exc. \$100  
1398 Equipment, NEC  
1399 Commodities, NEC

**EQUIPMENT**

1510 Office Furniture & Equipment  
1515 EDP Equipment  
1599 Equipment, NEC

**TELECOMMUNICATIONS**

1721 Rental, Telephone Serv. & Equip.  
1722 Rental, Data Comm. Serv. & Equip.  
1728 Video Conferencing  
1729 Rental, Other Comm. Serv. & Equip.  
1730 Parts & Supplies for Telephone  
1740 Answering & Pag. Comm. Serv. Equip.  
1750 Telephone, Data, Radio & Other Equip.  
1799 Telecommunication Services, NEC

**OPERATION OF AUTO EQUIPMENT**

1893 Repair & Maint., Automotive Equipment  
1894 Parts & Fittings, Automotive Equipment  
1896 Gasoline, Oil & Antifreeze  
1898 Automotive Services, NEC  
1899 Automotive Expenses, NEC

**GRANTS**

4453 Reimbursement to Governmental Units  
4458 Services, NEC  
4470 Grants to Local Governments, NEC

**SECTION 261 GRAND TOTAL**

\$174,923.28  
**FY07**  
**APPROPRIATION**

\$174,923.28  
**YEAR TO DATE**  
**EXPENDITURE**

**OBLIGATED**  
**MONEY**

|                             |              |              |  |
|-----------------------------|--------------|--------------|--|
| PERSONAL SERVICE            | \$0.00       | \$0.00       |  |
| STATE PAID RETIREMENT       | \$0.00       | \$0.00       |  |
| RETIREMENT                  | \$0.00       | \$0.00       |  |
| SOCIAL SECURITY             | \$0.00       | \$0.00       |  |
| CONTRACTUAL SERVICE         | \$0.00       | \$0.00       |  |
| TRAVEL                      | \$0.00       | \$0.00       |  |
| PRINTING                    | \$0.00       | \$0.00       |  |
| COMMODITIES                 | \$0.00       | \$0.00       |  |
| EQUIPMENT                   | \$0.00       | \$0.00       |  |
| TELECOMMUNICATIONS          | \$0.00       | \$0.00       |  |
| OPERATION OF AUTO EQUIPMENT | \$0.00       | \$0.00       |  |
| GRANTS                      | \$174,923.28 | \$174,923.28 |  |

**TOTAL**

040

\$174,923.28

\$174,923.28

\$0.00

**STATE BOARD OF ELECTIONS**  
**FY07 MONTHLY FISCAL REPORT**  
**Help Illinois Vote Fund**

**SECTION 251 - REQUIREMENTS**  
**MONTH ENDING: July 31, 2007**

**FY07**  
**APPROPRIATION**

**YEAR TO DATE**  
**EXPENDITURE**

**PERSONAL SERVICE**

1129 State Paid Retirement  
1161 Retirement  
1170 Social Security  
1180 Group Insurance

**CONTRACTUAL SERVICE**

1205 Freight Express/Drayage  
1223 Repair & Maintenance Real Property  
1225 Repair & Maintenance, EDP Equip.  
1231 Rental, Office Equipment  
1232 Rental, Motor Vehicles  
1237 Rental, Film & Audio/Visual Aids  
1239 Rental, NEC  
1242 Auditing & Management Services  
1243 Book Binding & Processing Services  
1244 Legal Fees  
1245 Professional & Technical Services, NEC  
1261 Postage  
1266 Court Reporting & Filing Services  
1273 Advertising  
1274 Registration Fees & Conf. Exp. (Vendor)  
1276 Registration Fees & Conf. Exp. (Employee)  
1280 Copying, Photographic & Printing Serv.  
1284 Computer Software  
1289 Contractual Services, NEC

\$1,639,699.08

\$1,639,699.08

\$89,666.63

\$89,666.63

**TRAVEL**

1291 In-State  
1292 Out-of-State

**PRINTING**

**COMMODITIES**

1304 Office/Library Supplies  
1308 Educational & Instructional Materials  
1394 Office/Library Equip., Not Exceed. \$100  
1398 Equipment, NEC  
1399 Commodities, NEC

**EQUIPMENT**

1510 Office Furniture & Equipment  
1515 EDP Equipment  
1561 Training Equipment  
6625 Leasehold Improvements

**TELECOMMUNICATIONS**

1721 Rental, Telephone Serv. & Equip.  
1722 Rental, Data Comm. Serv. & Equip.  
1728 Video Conferencing  
1729 Rental, Other Comm. Serv. & Equip.  
1730 Parts & Supplies for Telephone  
1740 Answering & Pag. Comm. Serv. Equip.  
1750 Telephone, Data, Radio & Other Equip.  
1799 Telecommunication Services, NEC

**OPERATION OF AUTO EQUIPMENT**

1893 Repair & Maint., Automotive Equipment  
1894 Parts & Fittings, Automotive Equipment  
1896 Gasoline, Oil & Antifreeze  
1898 Automotive Services, NEC  
1899 Automotive Expenses, NEC

**GRANTS**

4453 Reimbursement to Govt Units (AVE)  
4453 Reimbursement to Govt Units (Phase II)  
4453 Reimbursement to Govt Units (ALA)  
4453 Reimbursement to Govt Units (EDG)  
4470 Grants to Local Governments (VRS)  
4479 Payments to Other State Agencies

\$9,054,488.70

\$9,054,488.70

\$17,524,135.71

\$17,524,135.71

\$943,309.75

\$943,309.75

\$503,577.95

\$503,577.95

\$1,939,075.06

\$1,939,075.06

**SECTION 101 GRAND TOTAL**

**FY07**  
**APPROPRIATION**

**YEAR TO DATE**  
**EXPENDITURE**

**OBLIGATED**  
**MONEY**

**PERSONAL SERVICE**

\$0.00

\$0.00

**STATE PAID RETIREMENT**

\$0.00

\$0.00

**RETIREMENT**

\$0.00

\$0.00

**SOCIAL SECURITY**

\$0.00

\$0.00

**GROUP INSURANCE**

\$0.00

\$0.00

**CONTRACTUAL SERVICE**

\$1,729,365.71

\$1,729,365.71

\$220,065.71

**TRAVEL**

\$0.00

\$0.00

**PRINTING**

\$0.00

\$0.00

**COMMODITIES**

\$0.00

\$0.00

**EQUIPMENT**

\$0.00

\$0.00

**TELECOMMUNICATIONS**

\$0.00

\$0.00

**OPERATION OF AUTO EQUIPMENT**

\$0.00

\$0.00

**GRANTS**

\$29,964,587.17

\$29,964,587.17

**TOTAL**

041

\$31,693,952.88

\$31,693,952.88

\$220,065.71

# **STATE BOARD OF ELECTIONS**

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## **MEMORANDUM**

*From the desk of:*  
*Daniel W. White, Executive Director*

**TO:** Members of the Board  
**SUBJECT:** Two Year Plan of Activity  
**DATE:** August 9, 2007

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Attached is the two-year plan of staff activity for the months of August and September for your review and information.

Attachment

| <b>Start Date</b> | <b>End Date</b> | <b>Activity</b>   | <b>Division</b>       |
|-------------------|-----------------|---|-----------------------|
| 7 /20/2007        |                 | Last day political committees shall file their SEMI-ANNUAL REPORT OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES with the Board or the Board and county clerk. 10 ILCS 5/9-10   | CAMP DISC             |
| 7 /23/2007        |                 | Begin compilation of petition filing packets for 2008 General Primary Election. 10 ILCS 5/1A-8(4)   | EL INFO               |
| 8 /1 /2007        |                 | Complete draft of the 2008 ELECTION CALENDAR AND CAMPAIGN FINANCE CALENDAR. Prepare for printing. (Project began March 5.) 10 ILCS 5/1A-8 (1 13)  | EL INFO, ET&RD, LEGAL |
| 8 /1 /2007        |                 | Submit the 2008 CANDIDATE'S GUIDE for printing. (Printing to be completed by July 30.) 10 ILCS 5/1A-8 (1, 2, 4, 11)   | ET&RD                 |
| 8 /10/2007        |                 | Date upon which the State Board of Elections shall notify political committees that have failed to file a SEMI-ANNUAL REPORT OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES that an assessment will be levied against the committee for failure to file the report in a timely fashion. Rules and | CAMP DISC             |
| 8 /15/2007        |                 | Complete JUDGES' TRAINING PROGRAM and JUDGES' EXAM update. Project began March 7. 10 ILCS 5/1A-8 (1, 2, 6, 11)  | ET&RD                 |
| 8 /20/2007        |                 | BOARD MEETING. 10 ILCS 5/1A7  | EXEC DIR              |
| 8 /24/2007        |                 | The date that a second letter goes out to all delinquent committees advising them that an assessment for late filing is continuing and that a complaint will be filed if the committee fails to file a SEMI-ANNUAL REPORT OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES. Rules and Regulations   | CAMP DISC             |
| 8 /31/2007        |                 | Make certain that all county clerks have a sufficient inventory of campaign financing material for the October petition filing for local candidates. 10 ILCS 5/9-15   | CAMP DISC             |
| 9 /4 /2007        |                 | Begin to develop 2008 SBE Legislative Program – 95th General Assembly - for the upcoming legislative session, beginning January, 2008. 10 ILCS 5/1A-8 (8)   | LEG                   |

| Start Date | End Date   | Activity   | Division  |
|------------|------------|--|-----------|
| 9 /6 /2007 |            | Distribute 2008 CANDIDATE'S GUIDE to State Library Documents Section and to those on our mailing list. (Project began January 16, 2007.) 10 ILCS 5/1A-8 (1, 2, 4, 7, 11)                                       | ET&RD     |
| 9 /7 /2007 |            | Begin to update the FEDERAL AND STATE OFFICERS book for the year 2008. Submit for printing by November 5. (Target completion date: December 3, 2007.) 10 ILCS  | ET&RD     |
| 9 /10/2007 |            | Begin to update the COUNTY OFFICERS book for the year 2008. Submit for printing by November 5. (Target completion date: December 3, 2007.) 10 ILCS 5/1A-8 (1, 2, 4,  | ET&RD     |
| 9 /14/2007 |            | BOARD MEETING. 10 ILCS 5/1A7   | EXEC DIR  |
| 9 /17/2007 |            | Complete updating and printing all agency BROCHURES. (Project began July 9, 2007.) 10 ILCS 5/1A-8 (1, 2, 4, 11)  | ET&RD     |
| 10/1 /2007 |            | Begin planning for the printing and distribution of campaign financing material for political committees for February 5, 2008 General Primary Election.  | CAMP DISC |
| 10/1 /2007 |            | Begin planning for the printing and distribution of Campaign Financing materials to political committees for the December 31, 2007 Semi-Annual Report. (Packets to be mailed December 1, 2007.) 10 ILCS 5/9-10 | CAMP DISC |
| 10/9 /2007 |            | Call Department of Public Health to obtain LISTING OF FACILITIES licensed or certified under the NURSING HOME CARE REFORM ACT OF 1979. Send listing to election authorities by December 9. 10 ILCS 5/19-12.2   | ET&RD     |
| 10/15/2007 |            | BOARD MEETING. 10 ILCS 5/1A7   | EXEC DIR  |
| 10/29/2007 |            | Send to all election authorities a LIST OF FACILITIES licensed or certified pursuant to the NURSING HOME CARE REFORM ACT OF 1979. 10 ILCS 5/19-12.2  | ET&RD     |
| 10/29/2007 | 11/5 /2007 | Candidate filing period. 10 ILCS 5/7-12  | EL INFO   |
| 11/1 /2007 |            | Start review and update Military/Overseas booklet. Submit to printer by Nov 29 10 ILCS 5/1A-8 (1,2,3,7,11)   | ET&RD     |
| 11/4 /2007 |            | Submit COUNTY OFFICERS book for printing. Books should be printed by December 3. 10 ILCS 5/1A-8 (1, 2, 3, 11)  | ET&RD     |

# STATE BOARD OF ELECTIONS

1020 South Spring Street  
Springfield, Illinois 62704  
217/782-4141

**Rupert T. Borgsmiller**

**Director, Campaign Disclosure**

To: Steve Sandvoss, General Counsel

Re: Committee to Elect John Johnsen

Date: 7/10/07

It has been brought to my attention that an assessment letter sent on May 11, 2004 to the above committee contained an incorrect penalty amount. Their December 2003 Semi-annual report was filed 2 days late, however the amount of the penalty that we assessed the committee was \$600.00, which was incorrect. This was a third violation of the Semi-annual report and the amount should actually have been \$150.00 (2 days at \$75 per day). The board issued a Final Order on July 19, 2004 denying the appeal and indicating a total penalty of \$775.00 due and owing within 30 days. I am asking that the board issue an amended order which correctly reflects the total civil penalty amount of \$325.00 (see chart below).

| <u>Reporting Period</u> | <u>Report Type</u> | <u>Fine Amount</u> |
|-------------------------|--------------------|--------------------|
| 7/1/2000 – 12/31/2000   | Semi-annual        | \$25.00            |
| 1/1/2002 – 6/30/2002    | Semi-annual        | \$150.00           |
| 7/1/2003 – 12/31/2003   | Semi-annual        | \$150.00           |
| <b>Total Due</b>        |                    | <b>\$325.00</b>    |

STATE OF ILLINOIS                    )  
  ) SS  
COUNTY OF SANGAMON                )

STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

In the Matter Of:                        )  
Illinois State Board of Elections        )  
      Complainant(s),                    )  
vs.   ) 04 DS 083  
  )  
Comittee to Elect John W. Johnsen       )  
      Respondent(s).                     )

**ORDER**

TO:   Comittee to Elect John W. Johnsen  
      25815 Lorenzo Road  
      PO Box 596  
      Wilmington, IL 60481

This matter coming to be heard this 19<sup>th</sup> day of July, 2004, as an appeal of the imposition of a civil penalty under Article 9 of the Illinois Election Code (10 ILCS 5/9-1 *et. seq.*), and the State Board of Elections having read the report of the Hearing Officer and hearing the recommendation of the General Counsel and now being fully advised in the premises,

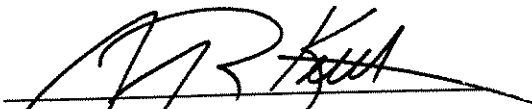
**THE BOARD FINDS:**

1. In case number 04 DS 083, a \$600.00 penalty was assessed against the Respondent for the late filing of the December 2003 Semi-annual report; appeal was taken from this assessment, and
2. The committee was previously assessed a penalty of \$25.00 for the late filing of the December 2000 Semi-annual report and a penalty of \$150.00 for the late filing of the June 2002 Semi-annual report that were not appealed, and
3. The recommendation of the Hearing Officer, in which the General Counsel concurs, is that the appeal be denied for lack of adequate defense.

**IT IS ORDERED:**

1. That the recommendation of the Hearing Officer is adopted and the appeal is DENIED; and
2. The total penalty of \$775.00 is now due and owing within 30 days.

DATED: 7/19/2004

  
John R. Keith, Chairman



**STATE OF ILLINOIS  
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS**

Illinois State Board of Elections  
**Complainant**

v.

**04 DS 083**

Cmte. To Elect John W. Johnsen  
**Respondent**

L 1170

**REPORT OF HEARING EXAMINER**  
Appeal of Civil Penalty Assessment  
For Delinquently Filing a Semi Annual Report

This Committee delinquently filed the 2003 December Semi Annual Report on February 4, 2004, 2 days late. The assessed penalty is \$600.

John W. Johnsen, the Chairman filed a Waiver of Appearance and submitted on the Appeal Affidavit the following:

Mr. Johnsen stated that normally the report is mailed to the Chicago office. This report was inadvertently mailed to the Springfield office. Had it been mailed to Chicago as usual it would have been on time.

Section 125.10(c) states that a report is filed when it is delivered to either the Springfield or Chicago office by the close of business of the prescribed filing date, "or deposited with the United States Postal Service, postage prepaid, in sufficient time so that the mailed documents will arrive by the close of business of the prescribed filing date." It is unfortunate that the report was inadvertently mailed to the Springfield rather than the Chicago office, but the Committee takes a chance by waiting until late in the filing period to file its report. The responsibility to file reports prior to the deadline lies with the Committee. I therefore recommend that the appeal be denied.



Sharon Steward – Hearing Examiner  
June 15, 2004

State of Illinois )

County of Will )

CHICAGO

'04 JUN 10 PM 1:43

STATE BOARD OF ELECTIONS

BEFORE THE STATE BOARD OF ELECTIONS  
OF THE STATE OF ILLINOIS

IN THE MATTER OF; )

ILLINOIS STATE BOARD OF ELECTIONS, )

Complainant )

vs. )

No. 0415083

COMMITTEE TO ELECT JOHNSON  
Respondent(s).

APPEAL AFFIDAVIT

I, JOHN W JOHNSON, the CHAIRMAN of the  
(Name) (Chairman/Treasurer)

COMMITTEE TO ELECT JOHN W JOHNSON  
(Name of the Committee)

Committee, first being duly sworn deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

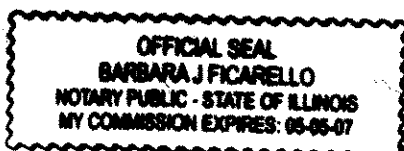
NORMALLY THE REPORT IS MAILED TO  
THE CHICAGO OFFICE. THIS REPORT WAS INADVERTENTLY  
MAILED TO THE SPRINGFIELD OFFICE. HAD IT  
BEEN MAILED TO CHICAGO AS USUAL IT WOULD  
HAVE BEEN ON TIME.

Signed and Sworn to by: John W. Johnson

before me this 8th Day of  
June, 2004.

Barbara J Ficarelo  
Notary Public

(Signature of Chairman/Treasurer)



STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187  
Springfield, Illinois 62708  
217/782-4141  
Fax: 217/782-5959

James R. Thompson Center  
100 West Randolph, Suite 14-100  
Chicago Illinois 60601  
312/814-6440  
Fax: 312/814-6485



BOARD MEMBERS  
John Keith, Chairman  
Jesse Smart, Vice Chairman  
William McGuffage  
David Murray  
Albert Porter  
Wanda Rednour  
Elaine Roupas

EXECUTIVE DIRECTOR  
Daniel W. White  
May 11, 2004

Cmte. to Elect John W. Johnsen  
John Johnsen  
25354 Cottage Rd.  
Wilmington, IL 60481

L1170

Dear Cmte. to Elect John W. Johnsen:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

|                |   |
|----------------|---|
| Report Type:   | Semiannual Report of Campaign Contribution and Expenditures |
| Report Period: | July 1, 2003 through December 31, 2003                      |
| Filing Period: | January 2, 2004 through February 2, 2004                    |

Based upon this committee's failure to comply with the provision of 10 ILCS 5-9-10 of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on February 4, 2004, 2 day(s) late. As such, this committee has been assessed a fine of \$600.00.

Enclosed please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. Notice of Appeal must be filed within 30 days of the mailing of this assessment notice. If you fail to file a Notice of Appeal within this 30-day period, you forfeit the right to contest this assessment.

If the above listed violation is not appealed and since this is a subsequent violation, the previously stayed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days:

| Reporting Period            | Report Type | Previous Fine Amount |
|-----------------------------|-------------|----------------------|
| 7/1/2000 – 12/31/2000       | Semi-annual | \$25.00              |
| 1/1/2002 – 6/30/2002        | Semi-annual | \$150.00             |
| <b>TOTAL AMOUNT NOW DUE</b> |             | <b>\$775.00</b>      |

If you have any questions regarding the appeal process, please call Sue McArthur at 217/782-1543.

Sincerely,

A handwritten signature in black ink, reading "Robert T. Borgsmiller".

Robert T. Borgsmiller  
Director, Division of Campaign Disclosure

RTB:sm  
cc: Officer(s), Candidate(s)  
Enclosure(s) appeal documents

**STATE OF ILLINOIS  
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS**

Illinois State Board of Elections

**Complainant**

v.

**07 AC 028**

Friends of Larry M Doody

L 13501

**Respondent**

**REPORT OF HEARING EXAMINER**

Appeal of Civil Penalty Assessment for Failure to File a  
Schedule A-1 for 2007 Consolidated Primary Election

This Committee received 2 contributions, one of \$2500 on 1/29/07, and a second of \$2000 on 1/31/07, but failed to list them on a Schedule A-1, resulting in a civil penalty assessment of \$4500. In addition, the Committee had previously been assessed civil penalties totaling \$276 (appealed, appeal denied, paid in full) for delinquently filing 4 Schedule A-1 reports for the 2007 Consolidated Primary Election. The total assessment is \$4500.

Mark R. Valley, the Treasurer of the Committee, filed a Waiver of Appearance and submitted on the Appeal Affidavit the following:

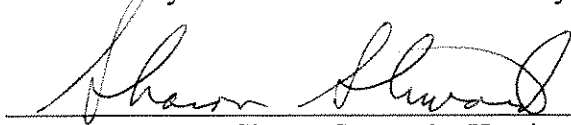
On the Affidavit, Mr. Valley referenced an attached narrative. In it, he stated that the Friends of Larry M. Doody held a fundraiser on January 25, 2007 at Carmichael's Chicago Steak House. On that date, the Committee received a check number 7364 (attached) dated 1/25/07 from Morgan F. Murphy, Jr., in the amount of \$2500. Also on January 25, 2007, Carmichael's Chicago Steak House made an In-kind Contribution to the Committee in the amount of \$2000 (receipt attached). Larry Doody was on the February 27<sup>th</sup> ballot. These two contributions were received prior to the Schedule A-1 reporting period. The Committee treasurer inadvertently used the date of deposit of Mr. Murphy's check, not the date received. Relative to the in-kind contribution made by Carmichael's Chicago Steak House, the Committee treasurer inadvertently used the date they received the notification of the in-kind contribution, not the date the actual contribution was made. He states that no intentional or technical violation of the reporting requirements occurred. The penalty is a financial hardship on the Committee and they respectfully request that the civil penalty be cancelled.

In regards to the \$2500 check, since the date of the check was the same as the date of the fundraiser it is entirely logical that this check dated 1/25/07 was received on that date. I therefore, recommend that the appeal be granted in regards to this \$2500 contribution from Morgan F. Murphy, Jr., as this was prior to the Schedule A-1 reporting period.

In regards to the in-kind contribution from Carmichael's Chicago Steak House, Section 100.120(c) of the Board's Rules and Regulations reads in part: "...A contribution of goods

actually received by the committee is deemed to be made on the date the goods are transferred to the possession of the recipient. A contribution of services is deemed to be made on the date the services are actually performed..." Attached is a bill for the fundraiser held on 1/25/07, noting the \$2000 donated towards the bill by the House. Therefore, I recommend that the appeal be granted in regards to this \$2000 in-kind contribution, as the date of receipt was prior to the Schedule A-1 reporting period.

In addition, I also recommend that this Committee amend its 2007 June Semi-Annual Report within 30 days of the Final Board Order to show the correct receipt dates for those contributions as well as any others which were incorrectly reported.

A handwritten signature in cursive script, appearing to read "Sharon Steward", is written over a horizontal line.

Sharon Steward – Hearing Officer  
August 1, 2007

STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187  
Springfield, Illinois 62708  
217/782-4141  
Fax: 217/782-5959

James R. Thompson Center  
100 West Randolph, Suite 14-100  
Chicago Illinois 60601  
312/814-6440  
Fax: 312/814-6485



BOARD MEMBERS  
Jesse Smart, Chairman  
Wanda Rednour, Vice Chairman  
Patrick Brady  
John Keith  
William McGuffage  
Albert Porter  
Bryan Schneider  
Robert Walters

EXECUTIVE DIRECTOR  
Daniel W. White  
July 9, 2007

Friends of Larry M Doody  
Mark Valley  
221 N LaSalle St, Ste 764  
Chicago, IL 60601

L13501

Dear Friends of Larry M Doody;

This committee has failed to file the following Schedule A-1's, Report of Campaign contributions of more than \$500.00, within 2 business days of receipt as required by the Illinois Campaign Disclosure Act:

| <u>Contributed By</u>         | <u>Date of Contribution</u> | <u>Fine Assessed</u> | <u>Amount of Contribution</u> | <u>Date A-1 Received</u> | <u>Days Late</u> |
|-------------------------------|-----------------------------|----------------------|-------------------------------|--------------------------|------------------|
| Morgan Murphy Jr Attny at Law | 1/29/07                     | \$2500.00            | \$2500.00                     | *not filed               | 17               |
| Carmichaels Steak House       | 1/31/07                     | \$2000.00            | \$2000.00                     | *not filed               | 15               |

The committee is fined a **total** of \$4500.00 for delinquent filing Schedule A-1 reports as required by the Illinois Campaign Disclosure Act. This total **does not** reflect any previously assessed fines.

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b-5) of the Election Code, the Board may impose fines for violations not to exceed 100% of the total amount of the contributions that were untimely reported, but in no case when a fine is imposed shall it be less than 10% of the total amount of the contributions that were untimely reported.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$450.00, (10% of the total amount reflected above) if you do not choose to file an appeal under the Board's present policy. The total amount due will be imposed with the issuance of a Final Board Order after the 30-day appeal period had expired. This amount must be paid (including any previously assessed fines), within 30 days of the issuance of the Order.

Enclosed please find Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fines if you believe the civil penalties have been assessed in error. ***If you have a legitimate reason for your delinquent filing, it would behoove you to send in the appeal form since the Board has the discretion to take extenuating and other circumstances into account in determining the amount of your penalty.*** Notice of Appeal must be filed within 30 days of the mailing of this assessment for it to be considered.

If you have any questions regarding the appeal procedure, please call Sue McArthur at 217/782-1543.

Sincerely,



Rupert T. Borgsmiller

Director, Division of Campaign Disclosure

RTB:sm

cc: Officer(s), Candidate(s)

\* This contribution was reported on the April 2007 Pre-election Report but should have been reported on a Schedule A-1 Report of Campaign Contributions of more than \$500.00, within 2 business days of receipt.

\*\* This contribution did not, by itself, necessitate filing of a Schedule A-1. No fine was assessed for this contribution – it is listed for informational purposes only. A subsequent contribution, when aggregated with this contribution, exceeded the "more than \$500.00" threshold and was used as the basis for the fine assessment.

State of Illinois )  
County of Cook )

STATE BOARD OF ELECTIONS  
07 JUL 23 AM 9:32

BEFORE THE STATE BOARD OF ELECTIONS  
OF THE STATE OF ILLINOIS

IN THE MATTER OF;  
ILLINOIS STATE BOARD OF ELECTIONS,

Complainant

Vs.

Case No. \_\_\_\_\_

Friends of Larry M. Doody

Respondent(s).

APPEAL AFFIDAVIT

I, Mark R. Valley, the Treasurer of the  
(Name) (Chairman/Treasurer)

Friends of Larry M. Doody

(Name of the Committee)

Committee, first being duly sworn deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

Please see attached.

Signed and Sworn to by:

Mark R. Valley

before me this 20th Day of

July 2007

Michelle A. Taranto

Notary Public

Mark R. Valley  
(Signature of Chairman/Treasurer)





Pursuant to the State Board of Elections Guide to Campaign Disclosure, Article IV, "A political committee that receives a contribution (including loans, personal monies and in-kind contributions) of more than \$500 in aggregate during the thirty days prior to an election (except for a General Primary Election) must file a Schedule A-1 within two business days of receipt." Friends of Larry M. Doody had a fundraiser on January 25, 2007 at Carmichael's Chicago Steak House. On that date, Friends of Larry M. Doody received check number 7364 from Morgan F. Murphy, Jr. in the amount of \$2,500.00. Morgan F. Murphy, Jr.'s check number 7364 is attached hereto as Exhibit A. Also on January 25, 2007 Carmichael's Chicago Steak House made an In-Kind Contribution to Friends of Larry M. Doody in the amount of \$2,000.00, see receipt from Carmichael's Chicago Steak House attached hereto as Exhibit B.

Larry M. Doody participated in the election on February 27, 2007. The thirty day time period to file Schedule A-1 reports started on January 26, 2007. Therefore, Friends of Larry M. Doody received the two above contributions thirty-one days prior to the election. Friends of Larry M. Doody's Treasurer's office inadvertently used the date of deposit of Mr. Murphy's check, not the date received. Relative to the In-Kind Contribution made by Carmichael's Chicago Steak House, Friends of Larry M. Doody's Treasurer's office inadvertently used the date they received the notification of the in-kind contribution, not the date the actual contribution was made.

No intentional or technical violation of the reporting requirements occurred. The penalty is a financial hardship on the Committee. The Committee respectfully requests that the civil penalty be cancelled. Thank you for your consideration of this appeal.

MORGAN F. MURPHY, JR.  
ATTORNEY AT LAW

221 N. LASALLE ST.  
CHICAGO, IL 60601

7364

2-1 301  
710

PAY  
TO THE  
ORDER OF


DATE Jan. 25, 2007

*Friends for Larry Dwyer*

\$ 2500.00

*Two thousand five hundred Dollars & no/100*

DOLLARS

 Security Features  
Embossed on Back

**BANK ONE.**

JPMorgan Chase Bank, N.A.  
Chicago, Illinois 60670

FOR

*Donation for Larry Dwyer*

*Morgan F. Murphy* MP

⑈007364⑈ ⑆071000013⑆

0068404⑆

EXHIBIT A

\*\*\*\*\*  
 CHECK # 91848                      DATE 1/25/07  
 TABLE # A                              TIME 9:08PM  
 \*\*\*\*\* DUPLICATE CHECK \*\*\*\*\*  
 =====

--                      BANQUET : BQT MIKE                      --

| ITEMS ORDERED    | AMOUNT  |
|------------------|---------|
| 1 BANQUET FOOD   | 1450.00 |
| 1 BANQUET BEER   | 605.00  |
| 1 BANQUET WINE   | 891.00  |
| 1 SERVICE CHARGE | 689.00  |
| 1 LABOR FEE      | 200.00  |

\*\*\*\*\*

|          |         |
|----------|---------|
| SUBTOTAL | 3835.00 |
| TAX      | 301.97  |

---

|           |         |
|-----------|---------|
| TOTAL DUE | 4136.97 |
|-----------|---------|

---

|           |         |
|-----------|---------|
| HOUSE     | 2000.00 |
| TOTAL DUE | 2136.97 |

# OF GUESTS                      0

Thank You for Having Your Party  
 With Us Today!  
 Keep Carmichael's Chicago Steak House  
 In Mind For Your Next Event!

EXHIBIT B

**STATE OF ILLINOIS  
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS**

Illinois State Board of Elections

**Complainant**

Vs.

**07 AE 010**

Melrose Freedom Political Committee

L 14055

**Respondent**

**REPORT OF HEARING EXAMINER**

Appeal of Civil Penalty Assessment

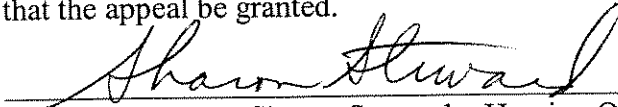
For Delinquently Filing a Schedule A-1 for the 2007 Consolidated Election

This Committee received a \$1000 contribution on 3/24/07 and listed it on a Schedule A-1 filed 3/29/07, 2 days late, resulting in a civil penalty assessment of \$1000.

Pat Allegrini, the Treasurer of the Committee, filed a Waiver of Appearance and submitted on the Appeal Affidavit the following:

On the Affidavit, Ms. Allegrini stated that she is not familiar with reporting campaign contributions and unfamiliar with computer software. The individual that assisted her with filing these reports was out of town at the time they received the check. She tried working with the software, but was very confused. As soon as she received assistance, she filed the appropriate A-1.

Since this contribution was received on the 24<sup>th</sup>, a Saturday, Ms. Allegrini had 4 days to seek assistance in order to file this Schedule A-1 in a timely manner. This seems sufficient time to seek assistance to report this contribution. However, in order to be consistent with prior Board decisions when an electronic filing issue is used as its defense for an initial violation, I recommend that the appeal be granted.



Sharon Steward – Hearing Officer

July 30, 2007

STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187  
Springfield, Illinois 62708  
217/782-4141  
Fax: 217/782-5959

James R. Thompson Center  
100 West Randolph, Suite 14-100  
Chicago Illinois 60601  
312/814-6440  
Fax: 312/814-6485



BOARD MEMBERS  
Jesse Smart, Chairman  
Wanda Rednour, Vice Chairman  
Patrick Brady  
John Keith  
William McGuffage  
Albert Porter  
Bryan Schneider  
Robert Walters

EXECUTIVE DIRECTOR  
Daniel W. White  
July 9, 2007

Melrose Freedom Political Committee  
PO Box 1451  
Melrose Park, IL 60160

L14055

Dear Melrose Freedom Political Committee;

This committee has failed to file the following Schedule A-1's, Report of Campaign contributions of more than \$500.00, within 2 business days of receipt as required by the Illinois Campaign Disclosure Act:

| <u>Contributed By</u> | <u>Date of Contribution</u> | <u>Fine Assessed</u> | <u>Amount of Contribution</u> | <u>Date A-1 Received</u> | <u>Days Late</u> |
|-----------------------|-----------------------------|----------------------|-------------------------------|--------------------------|------------------|
| Jorge Cavero          | 3/24/07                     | \$1000.00            | \$1000.00                     | 3/29/07                  | 2                |

The committee is fined a **total** of \$1000.00 for delinquently filing Schedule A-1 reports as required by the Illinois Campaign Disclosure Act. This total **does not** reflect any previously assessed fines.

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b-5) of the Election Code, the Board may impose fines for violations not to exceed 100% of the total amount of the contributions that were untimely reported, but in no case when a fine is imposed shall it be less than 10% of the total amount of the contributions that were untimely reported.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$100.00, (10% of the total amount reflected above) if you do not choose to file an appeal under the Board's present policy. The total amount due will be imposed with the issuance of a Final Board Order after the 30-day appeal period had expired. If your committee has no other civil penalty assessments and only one delinquent Schedule A-1 amount is listed above, the penalty will be **stayed** as a first violation and would only become due and owing upon any subsequent delinquent filings by the committee.

Enclosed please find Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fines if you believe the civil penalties have been assessed in error. ***If you have a legitimate reason for your delinquent filing, it would behoove you to send in the appeal form since the Board has the discretion to take extenuating and other circumstances into account in determining the amount of your penalty.*** Notice of Appeal must be filed within 30 days of the mailing of this assessment for it to be considered.

State of Illinois )  
County of Cook )

STATE BOARD OF ELECTIONS  
07 JUL 20 PM 12:03

BEFORE THE STATE BOARD OF ELECTIONS  
OF THE STATE OF ILLINOIS

IN THE MATTER OF; )  
ILLINOIS STATE BOARD OF ELECTIONS, )  
Complainant )  
Vs. )  
Melrose Freedom Political Committee )  
Respondent(s). )

Case No. 01 AEO10

APPEAL AFFIDAVIT

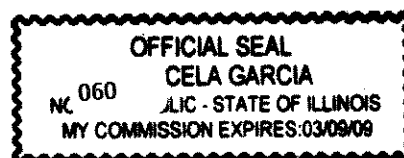
I, Pat Allegrini, the TREASURER of the  
(Name) (Chairman/Treasurer)  
Melrose Freedom Political Committee  
(Name of the Committee)

Committee, first being duly sworn deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

I am not familiar with reporting campaign contributions and unfamiliar with computer software. The individual that assisted me with filing these reports was out of town at the time we received the check. I tried working with the software but was very confused. As soon as I received assistance I filed the appropriate Ad.

Signed and Sworn to by:  
Maricela Garcia PAT Allegrini  
before me this 18<sup>th</sup> Day of  
July, 2007  
Maricela Garcia  
Notary Public

Pat Allegrini  
(Signature of Chairman/Treasurer)



**STATE OF ILLINOIS  
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS**

Illinois State Board of Elections

**Complainant**

Vs.

**06 AG 096**

Friends of John Bradley Inc

S 8471

**Respondent**

**REPORT OF HEARING EXAMINER**

Appeal of Civil Penalty Assessment  
For Failure to File a Schedule A-1 for the 2006 General Election

This Committee received 2 contributions on 10/9/06, each of \$1000, but failed to list them on a Schedule A-1, resulting in a civil penalty assessment of \$2000.

Ed Bradley, the Treasurer of the Committee, filed a Waiver of Appearance and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Bradley stated that due to a clerical error, the contribution dates were incorrectly posted. The correct contribution date for both Phillips Conoco and United Steelworkers of America Dist 7 is 11/9/06. Attached is a copy of each check or check stub along with correspondence received with the contribution.

Mr. Bradley attached copies of the checks and also the letters from the contributors which they had sent to the John Bradley campaign. The letters which accompanied the checks were date-stamped as being received on November 9, 2006, which was after the Schedule A-1 reporting period. Based upon this documentation as well as Mr. Bradley's affidavit, I recommend that the appeal be granted. In addition, I also recommend that this Committee file an amended 2006 December Semi-Annual Report within 30 days of the Final Order showing correct receipt dates of these two contributions.



Sharon Steward – Hearing Officer

July 30, 2007

STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187  
Springfield, Illinois 62708  
217/782-4141  
Fax: 217/782-5959

James R. Thompson Center  
100 West Randolph, Suite 14-100  
Chicago Illinois 60601  
312/814-6440  
Fax: 312/814-6485



BOARD MEMBERS  
Jesse Smart, Chairman  
Wanda Rednour, Vice Chairman  
Patrick Brady  
John Keith  
William McGuffage  
Albert Porter  
Bryan Schneider  
Robert Walters

EXECUTIVE DIRECTOR  
Daniel W. White  
July 9, 2007

Friends of John Bradley Inc.  
Ed Bradley  
1714 Melmar Dr.  
Marion, IL 62959

S8471

Dear Friends of John Bradley Inc.;

This committee has failed to file the following Schedule A-1's, Report of Campaign contributions of more than \$500.00, within 2 business days of receipt as required by the Illinois Campaign Disclosure Act:

| <u>Contributed By</u>                 | <u>Date of Contribution</u> | <u>Fine Assessed</u> | <u>Amount of Contribution</u> | <u>Date A-1 Received</u> | <u>Days Late</u> |
|---------------------------------------|-----------------------------|----------------------|-------------------------------|--------------------------|------------------|
| Phillips Conoco                       | 10/9/06                     | \$1000.00            | \$1000.00                     | *not filed               | 19               |
| United Steelworkers of America Dist 7 | 10/9/06                     | \$1000.00            | \$1000.00                     | *not filed               | 19               |

The committee is fined a **total** of \$2000.00 for delinquent filing Schedule A-1 reports as required by the Illinois Campaign Disclosure Act. This total **does not** reflect any previously assessed fines.

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b-5) of the Election Code, the Board may impose fines for violations not to exceed 100% of the total amount of the contributions that were untimely reported, but in no case when a fine is imposed shall it be less than 10% of the total amount of the contributions that were untimely reported.

Since this is the second delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$1000.00, (50% of the total amount reflected above) if you do not choose to file an appeal under the Board's present policy. The total amount due will be imposed with the issuance of a Final Board Order after the 30-day appeal period had expired. This amount must be paid (including any previously assessed fines), within 30 days of the issuance of the Order.

Enclosed please find Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fines if you believe the civil penalties have been assessed in error. *If you have a legitimate reason for your delinquent filing, it would behoove you to send in the appeal form since the Board has the discretion to take extenuating and other circumstances into account in determining the amount of your penalty.* Notice of Appeal must be filed within 30 days of the mailing of this assessment notice for it to be considered.



07 JUN 16 14 9:37

STATE OF ILLINOIS )  
 )  
COUNTY OF WILLIAMSON )

BEFORE THE STATE BOARD OF ELECTIONS  
OF THE STATE OF ILLINOIS

IN THE MATTER OF: )  
 )  
ILLINOIS STATE BOARD OF ELECTIONS, )  
 )  
Complainant, )  
 )  
VS. )  
 )  
FRIENDS OF JOHN BRADLEY, INC., )  
Respondent. )

Case No. 06 AG-096

## APPEAL AFFIDAVIT

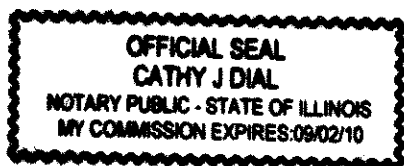
I, Ed Bradley, the treasurer of the Friends of John Bradley, Inc. Committee, first being duly sworn deposes and states that he represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

Due to a clerical error, the contribution dates were incorrectly posted. The correct contribution date for both Phillips Conoco and United Steelworkers of America Dist. 7 is 11/9/06. I have attached a copy of the check or check stub along with any correspondence that was received with the contribution.

Ed Bradley, Treasurer

Signed and Sworn to by Ed Bradley before me this  
12th day of July, 2007.

Cathy Deal  
Notary Public



# ConocoPhillips

201 N.W. 63rd Street, Suite 300  
Oklahoma City, Oklahoma 73116  
405.879.4887 Fax 405.879.4808  
Email: h.j.reed@conocophillips.com

State Government Affairs  
H. J. REED  
Manager  
Mid-West

October 2, 2006

Friends of John Bradley, Inc.  
PO Drawer 488  
404 N. Monroe St.  
Marion, IL 62959-2328

064

Dear Representative Bradley:

Please find enclosed a contribution from ConocoPhillips to your 2006 campaign.

ConocoPhillips appreciates your ongoing efforts in support of public policies, which create a positive climate for business, economic growth and job creation throughout Illinois. ConocoPhillips believes public policies built on these principles benefit all of the citizens of the state, as well as companies such as ours doing business in Illinois.

We appreciate your past support and look forward to continuing to work with you in the future.

Sincerely,



H. J. Reed

HJR:ses  
Enclosure

# ipc illinois petroleum council

DAVID A. SYKUTA  
Executive Director  
DAVID EICHHOLZ  
Associate Director

RECEIVED  
NOV 09 2006  
BY: [Signature]

October 4, 2006

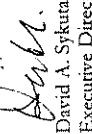
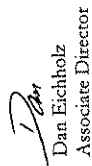
The Honorable John Bradley  
PO Drawer 488  
404 N. Monroe St.  
Marion, IL 62959-2328

Dear Representative Bradley:

Enclosed is a contribution in the amount of \$1,000.00 made payable to Friends of John Bradley, Inc., from our member company, ConocoPhillips.

The Illinois Petroleum Council is pleased to assist your re-election efforts in this manner.

Sincerely,

  
David A. Sykuta  
Executive Director  
Dan Eichholz  
Associate Director

Enc.

RECEIVED  
NOV 09 2006  
BY: [Signature]

*John,*  
*See you in November!*  
*Dan*

This check was issued by ConocoPhillips Company  
DATE INVOICE(DESCRPT) CO DOCUMENT NO.  
09/19/06 01 1200111171 USD  
CORPORATE POLITICAL CONTRIBUTION  
FOR 2006 GENERAL ELECTION

000045 235881

| GROSS    | DISCOUNT | NET      |
|----------|----------|----------|
| 1,000.00 | 0.00     | 1,000.00 |

PAYEE NUMBER 280231  
CHECK DATE 09/21/2006  
CHECK NO 00449503  
CHECK AMOUNT 1000.00  
If you have questions about this check, call (918)661-5746  
or logon to <https://vis.conocophillips.com>.

RECEIVED  
NOV 09 2006  
BY: *[Signature]*

17-15750 N, 11-05

UNITED STEELWORKERS



RECEIVED  
NOV 09 2006  
BY: C. Dial

District 7

Jim Robinson  
District Director

November 1, 2006

John Bradley  
State Representative 11<sup>th</sup> District  
(Personal Presentation)

Dear Representative Bradley:

On behalf of the active and retired members of the United Steelworkers of America living in Illinois (approximately 40,000 in number) please find enclosed our 2006 General Election contribution in the amount of \$1000.

Sincerely,

Frank Cavarretta  
Illinois Legislative Coordinator

FC:lj

Cc: Jim Robinson, Director, District 7 - USWA  
Sai Aguilar, Assistant Director, District 7 - USWA  
Jose Gudino, Sub District 1 Director, District 7 - USWA  
Dave Dowling, Sub District 2 Director, District 7 - USWA

United Steel, Paper and Forestry, Rubber, Manufacturing, Energy, Allied Industrial and Service Workers International Union

10 Central Industrial Drive, Suite 4, Granite City, IL 62040 • 618-452-1130 • 618-452-5366 [fax] • www.usw.org

USW District 7 - NORTHBRIDGE-ILL  
9/28/06  
No. 6  
982921166  
\*  
JOHN BRADLEY FOR STATE REP \$1000.00  
One thousand and no/100  
National City Bank of Indiana  
National City  
Pay to the order of  
1301 Texas Street, Rm 200  
Gary, IN 46402  
Back of this check contains an American National Bank check as shown on page 10 of the  
#000171107400000555  
RECEIVED  
NOV 09 2006  
BY: C. Dial

STATE OF ILLINOIS  
COUNTY OF COOK

BEFORE THE STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

Illinois State Board of Elections

Complainant

v.

07 AC 018

SEIU Illinois Council PAC

S-8810

Respondent

**REPORT OF HEARING EXAMINER**

Appeal of Civil Penalty Assessment for the Late Filing of a  
Schedule A-1 for the 2006 General Election

According to the political committee's Schedule A-1 report, filed February 23<sup>rd</sup>, 2007, it received a contribution from Change to Win, dated 2/20/07, in the amount of \$50,000.00, which was filed one (1) day late. The political committee also filed a Schedule A-1 report, on February 28<sup>th</sup>, 2007, indicating receipt of contributions from SEIU International Union, dated 2/20/07, in the amount of \$200,000.00, which was filed one (1) day late. The political committee has been fined a total of \$250,000.00 for delinquently filing these Schedule A-1 reports.

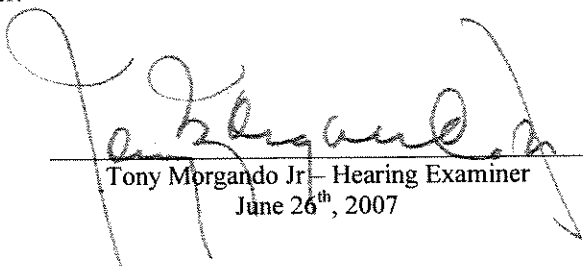
Mr. Gerald Morrison, Treasurer, filed a Request for Hearing and Appeal Affidavit, on May 29<sup>th</sup>, 2007, indicating "The Committee disclosed the questioned contributions within two business days of its having knowledge of the contributions in question, and made all possible efforts to gain that knowledge in a timely fashion".

Attached to the Appeal Affidavit was correspondence originating from Mr. Robert W. Page, Senior Vice President, Amalgamated Bank of Chicago, to Ms. Jennifer Holden, Administrative Director, SEIU Illinois Council, dated February 23<sup>rd</sup>, 2007, which states "Please be advised that SEIU had started inquiring on Tuesday, February 20, 2007 to see if two wires totaling \$250,000 were received. Receipt of these wires was not confirmed to SEIU until some time on Thursday, February 22, 2007".

Hearing in this matter was set for Wednesday, June 13<sup>th</sup>, 2007, rescheduled by request of Mr. Michael Krelof, counsel, to Monday, June 25<sup>th</sup>, 2007, to be conducted at the Chicago Board office, 100 W. Randolph, 14-100, at 9:00 a.m.

Ms. Genie Kastrup, Political Director/SEIU Illinois Council, and Mr. Michael Krelof, counsel appeared on behalf of the political committee. Respondent submitted (a) the sworn written Affidavit of Robert Page, Senior Vice President of Amalgamated Bank, indicating "On Thursday, February 22, 2007 the Bank confirmed to PAC agents, (Genie Kastrup, Political Director of SEIU Illinois Council), that the Wires, in fact had been received on February 20, 2007, and the wired sums constituted presently available funds in the Account"; and, (b) the sworn written Affidavit of Kathleen Benton, Administrative Director, SEIU Illinois Council, indicating "I was told by Genie (Kastrup) that the funds were confirmed to us, for the first time, on Thursday, February 22, 2007. The tardy confirmation to us from Amalgamated Bank prevented us from spending these monies until February 22, 2007".

Based upon a review of the documents/statements submitted, it is apparent the political committee received the contributions (Wired) on Thursday, February 22<sup>nd</sup>, 2007, rather than as indicated on the filed Schedule A-1, Tuesday, February 20<sup>th</sup>, 2007. As such, the Schedule A-1 was filed in a timely manner; therefore it is the recommendation of the Hearing Examiner that the appeal be GRANTED in this matter.

  
\_\_\_\_\_  
Tony Morgando Jr. - Hearing Examiner  
June 26<sup>th</sup>, 2007

STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187  
Springfield, Illinois 62708  
217/782-4141  
Fax: 217/782-5959

James R. Thompson Center  
100 West Randolph, Suite 14-100  
Chicago Illinois 60601  
312/814-6440  
Fax: 312/814-6485



BOARD MEMBERS  
Jesse Smart, Chairman  
Wanda Rednour, Vice Chairman  
Patrick Brady  
John Keith  
William McGuffage  
Albert Porter  
Bryan Schneider  
Robert Walters

EXECUTIVE DIRECTOR  
Daniel W. White  
April 30, 2007

SEIU Illinois Council PAC  
Thomas Balanoff, Christine Boardman, Gerald Morrison  
111 E Wacker, Ste 2500  
Chicago, IL 60601

S8810

Dear SEIU Illinois Council PAC;

This committee has failed to file the following Schedule A-1's, Report of Campaign contributions of more than \$500.00, within 2 business days of receipt as required by the Illinois Campaign Disclosure Act:

| <u>Contributed By</u>    | <u>Date of Contribution</u> | <u>Fine Assessed</u> | <u>Amount of Contribution</u> | <u>Date A-1 Received</u> | <u>Days Late</u> |
|--------------------------|-----------------------------|----------------------|-------------------------------|--------------------------|------------------|
| Change to Win            | 2/20/07                     | \$50000.00           | \$50000.00                    | 2/23/07                  | 1                |
| SEIU International Union | 2/20/07                     | \$200000.00          | \$2000000.00                  | 2/20/07                  | 1                |

The committee is fined a **total** of \$250,000.00 for delinquently filing Schedule A-1 reports as required by the Illinois Campaign Disclosure Act. This total **does not** reflect any previously assessed fines.

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b-5) of the Election Code, the Board may impose fines for violations not to exceed 100% of the total amount of the contributions that were untimely reported, but in no case when a fine is imposed shall it be less than 10% of the total amount of the contributions that were untimely reported.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$25,000.00, (10% of the total amount reflected above) if you do not choose to file an appeal under the Board's present policy. The total amount due will be imposed with the issuance of a Final Board Order after the 30-day appeal period had expired. This amount must be paid (including any previously assessed fines), within 30 days of the issuance of the Order.

Enclosed please find Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fines if you believe the civil penalties have been assessed in error. *If you have a legitimate reason for your delinquent filing, it would behoove you to send in the appeal form since the Board has the discretion to take extenuating and other circumstances into account in determining the amount of your penalty.* Notice of Appeal must be filed within 30 days of the mailing of this assessment for it to be considered.

CHICAGO

MAY 29 PM 3:25  
STATE BOARD OF ELECTIONS

State of Illinois )  
County of Cook )

BEFORE THE STATE BOARD OF ELECTIONS  
OF THE STATE OF ILLINOIS

IN THE MATTER OF; )  
ILLINOIS STATE BOARD OF ELECTIONS, )  
Complainant )  
vs. ) No. \_\_\_\_\_ )  
SEIU Illinois Council PAC )  
Respondent(s). )

APPEAL AFFIDAVIT

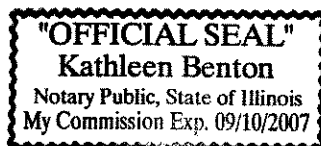
Gerald Morrison, the Treasurer of the  
(Name) (Chairman/Treasurer)

SEIU Illinois Council PAC

(Name of the Committee)

Committee, first being duly sworn deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

The Committee disclosed the questioned contributions within two business days of its having knowledge of the contributions in question, and made all possible efforts to gain that knowledge in a timely fashion. As indicated by the attached letter from the Senior Vice-President of Amalgamated Bank, the Committee did not know of the contributions until February 22, 2007. At hearing, the Committee will provide evidence of this defense, justifying a finding that the disclosures were timely and that no fine should be imposed.



Subscribed and Sworn to Before me  
This 29 Day Of May, 2007

Kathleen Benton 069  
NOTARY PUBLIC

Gerald Morrison  
(Signature of Chairman/Treasurer)

STATE OF ILLINOIS   )  
                                  ) SS.  
COUNTY OF COOK    )

BEFORE THE STATE BOARD OF ELECTIONS  
OF THE STATE OF ILLINOIS

IN THE MATTER OF:

ILLINOIS STATE BOARD OF ELECTIONS,

Complainant,

v.

SEIU ILLINOIS COUNCIL PAC,

Respondent.

No. \_\_\_\_\_

**AFFIDAVIT OF ROBERT W. PAGE**

I, Robert W. Page, being first duly sworn upon oath, state as follows:


1. My name is Robert W. Page, and I am Senior Vice President of Amalgamated Bank of Chicago (the "Bank"), One West Monroe, Chicago, Illinois 60603, and was so employed at all relevant times pertaining to this cause.
2. The Bank was a depository of an account maintained by SEIU Illinois Council PAC (the "PAC") during the month of February, 2007 (the "Account"). I am the Bank officer assigned to the Account. In such capacity I have dealt with agents for the PAC, including Kathleen Benton, and with the PAC's accountants, Bansley & Kiener, LLP, of Chicago ("Bansley").
3. I am aware of two February, 2007 wire transfers into the Account, one from Change to Win for \$50,000.00, and one from SEIU International Union for \$200,000.00 (collectively, the "Wires").
4. On Thursday, February 22, 2007 the Bank confirmed to PAC agents for the first time (to Genie Kastrup, the Political Director of SEIU Illinois Council), that the Wires, in fact, had been received on February 20, 2007, and the wired sums constituted presently available funds in the Account.



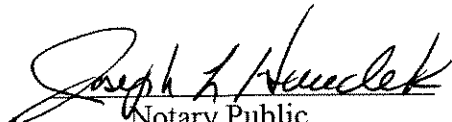
5. On Thursday, February 23, 2007, I was made aware by Ms. Benton in a telephone conversation of her prior telephone calls to the Bank, made on Tuesday, February 20, 2007, and Wednesday, February 21, 2007, seeking to determine if the Wires had been received by the Bank and if wired amounts were deemed to be Account funds available to draw upon. I was also made aware by Ms. Benton in our telephone conversation of February 23, 2007, that the Bank had not confirmed receipt of the Wires and the availability of wired amounts.

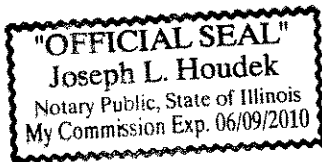
6. On Friday, February 23, 2007, I wrote and sent a letter to Tom Caplice and Jennifer Holden, accountants with Bansley. A true copy of this letter is attached as Exhibit 1 in further support of the statements made herein that PAC agents acted in a timely manner in inquiring as to the receipt of the Wires and the funds availability of wired sums.

7. If sworn as a witness, I can testify competently to the facts set forth above.

  
Robert W. Page

Signed and Sworn To Before Me  
By Robert W. Page,  
This 22ND Day of June, 2007.

  
Notary Public



[illegible]

BEFORE THE STATE BOARD OF ELECTIONS  
OF THE STATE OF ILLINOIS

IN THE MATTER OF: )  
 )  
ILLINOIS STATE BOARD OF ELECTIONS, )  
 )  
Complainant, )  
 )  
v. ) No. \_\_\_\_\_  
 )  
SEIU ILLINOIS COUNCIL PAC, )  
 )  
Respondent. )

**AFFIDAVIT OF KATHLEEN BENTON**

I, Kathleen Benton, being first duly sworn upon oath, state as follows:

1. My name is Kathleen Benton, and I am administrative director of SEIU Illinois Council and was so employed at all relevant times pertaining to this cause. In that capacity, along with Genie Kastrup and others at SEIU, and along with the accounting firm of Bansley & Kiener, LLP, I work on administrative matters related to the making of expenditures for SEIU Illinois Council PAC. I also am a contact person with Amalgamated Bank of Chicago for SEIU Illinois Council PAC, the bank which holds the funds of the PAC.
2. In February, 2007, the PAC was actively seeking contributions for and making expenditures on behalf of candidates running in ongoing political campaigns. On or about Tuesday, February 20, 2007, our office had discussions with both SEIU International Union and with Change to Win, seeking their financial support. They agreed to wire transfer contributions directly to our bank account, \$200,000 from SEIU International Union, and \$50,000 from Change to Win.
3. Because I am aware of the need for prompt disclosure to the State Board of Elections of such contributions, and because the PAC wanted to spend these funds as quickly as possible (as soon as the bank reported the funds were available to us, because the election was only one week away), I made a point of telephoning the office of Mr. Robert Page, Senior Vice-President of Amalgamated Bank, on Tuesday, February 20, 2007. I was told that the funds had not been received. I telephoned again on Wednesday, February 21, 2007, and was again told that the funds had not been received and were (obviously) not available to us for expending. I was off work on Thursday, February 22,

2007, but had agreed with Genie Kastrup that she would telephone the bank in my absence to determine if the funds were received and available to be expended. When I returned to the office on Friday, February 23, 2007, I was told by Genie that the funds were confirmed to us, for the first time, on Thursday, February 22, 2007. I promptly contacted our accountants, Bansley & Kiener, LLP, and provided them the necessary information to file the required A-1 filings the same day. Because we had not known the monies had been received into the account without our knowledge on Tuesday (despite our best efforts to obtain the receipt date), I asked Bansley & Kiener to contact Amalgamated Bank and explain the concern I had that the reporting of the monies could appear to be tardy, even though no one at SEIU Illinois Council PAC had any knowledge of receipt until February 22, 2007. I received a "cc" copy of the Bank's letter to the accountants, confirming the facts as set forth herein.


4. The A-1 notices relevant to this hearing were filed with the State Board of Elections one business day after receipt of the funds by the PAC was known to us for the very first time.

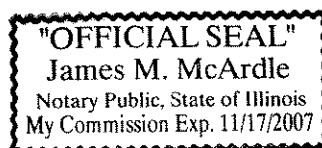
5. A review of all A-1 notices reveals absolutely no motive whatsoever to have "hidden" receipt of these funds. Indeed, the tardy confirmation to us from Amalgamated Bank prevented us from spending these monies until February 22, 2007.

6. If sworn as a witness, I can testify competently to the facts set forth above.

  
Kathleen Benton

Signed and sworn to before me  
by Kathleen Benton,  
this 18 day of June, 2007.

  
Notary Public



One West Monroe  
Chicago, Illinois 60603-5301  
312/ 822-3000



**Robert W. Page, CTP**  
Senior Vice President  
Office: 312/822-3061  
Fax: 312/541-7392  
E-Mail: [bpape@aboc.com](mailto:bpape@aboc.com)

COPY

February 23, 2007

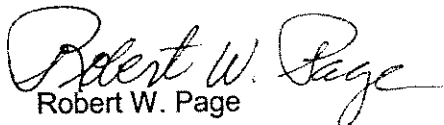
Tom Caplice  
Jennifer Holden  
Bansley & Kiener  
8745 W. Higgins  
Suite 200  
Chicago, IL 60631

Dear Tom and Jennifer:

Please be advised that SEIU had started inquiring on Tuesday, February 20, 2007 to see if two wires totaling \$250,000.00 were received. Receipt of these wires were not confirmed to SEIU until some time on Thursday, February 22, 2007.

I am more than willing to speak with appropriate individuals to confirm SEIU's efforts to identify receipt of these wires and to avoid any penalties due to the misunderstanding.

Sincerely,

  
Robert W. Page

RWP/jan

cc: Kathleen Benton

fax # Bansley & Kiener 312-263-6935

fax # Kathleen Benton 312-233-8837

**STATE OF ILLINOIS  
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS**

Illinois State Board of Elections

**Complainant**

Vs.

**07 AC 025**

Friends for Anna Klocek

S 9335

**Respondent**

**REPORT OF HEARING EXAMINER**

Appeal of Civil Penalty Assessment

For Delinquently Filing a Schedule A-1 for the 2007 Consolidated Primary Election

This Committee received 3 contributions on 3/20/07, one of \$775, a second of \$2000, and a third of \$1650. The total assessed penalty is \$4425.

Anna Klocek, the Chairman of the Committee, filed a Waiver of Appearance and submitted on the Appeal Affidavit the following:

On the Affidavit Ms. Klocek stated that the contributions reported by fax on February 23<sup>rd</sup> were reported by mistake as of the 20<sup>th</sup> for the following reasons: Idea Furniture owners promised to contribute to the elections mailing by sending a check for \$775. Since they did not do it in a timely manner Ms. Klocek picked it up personally the night of February 22<sup>nd</sup>. The contributions of \$2000 and \$1650 were actually charges to credit cards to cover costs of a mailing. The Kloceks approved the mailing with their marketing company, Grainger, on the 20<sup>th</sup>, but it took a couple of days to move balances between two credit cards to pay for the mailing. When Grainger Terry Company assured them that the mailing had been sent and credit charges got accepted, they included these charges on the A-1 form, containing the \$775 charge – all of them with the wrong date (February 20<sup>th</sup> instead of February 22<sup>nd</sup>).

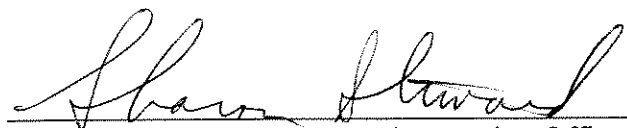
I contacted Ms. Klocek on June 6<sup>th</sup> in order to secure additional documentation in support of her defense. In regards to the \$775 contribution from Idea Furniture, she submitted a letter from Marek Kowalczyk, the President of Idea Furniture, which stated that Ms. Klocek did indeed pick up the \$775 contribution from Idea Furniture on February 22<sup>nd</sup>.

In regards to the two contributions from Andrzej Klocek, husband of the candidate, of \$2000 and \$1650 on 2/20/07, Jonathan Bedi of Grainger Terry, Inc, submitted two letters. These letters stated that two charges were made on two credit cards in the name of Andrzej Klocek, the first, \$2000, on 2/20/07, and the second, \$1650, on 2/21/07. The mailing was mailed after the second payment was received. Also in regards to these two contributions, Ms. Klocek stated in a letter that the credit cards were family personal credit cards. Ms. Klocek and her husband, Andrzej Klocek, are jointly making payments.

My recommendation follows. In regards to the \$775 contribution from Idea Furniture that was originally reported as being received on 2/20/07 with a Schedule A-1 filed on 2/23/07, I recommend that the appeal be granted. Ms. Klocek's affidavit and the letter from the President of Idea Furniture both state that Ms. Klocek picked up the check from Idea Furniture on 2/22/07. Therefore, the Schedule A-1 filing on 2/23/07 was timely.

In regards to the contributions of \$2000 and \$1650 reported as being received from Mr. Klocek on 2/20/07, I also recommend that the appeal be granted. I offer two separate justifications for making this recommendation. First, although the first contribution, the \$2000 amount, was charged on 2/20/07, the mailing did not occur until after 2/21/07; therefore, I believe the Schedule A-1 filed on 2/23/07 was a timely filing. Secondly, these two contributions were charges on credit cards for which both Mr. and Mrs. Klocek are responsible; i.e., it was the candidate's own money. Since it has been the policy of the Board not to assess penalties if a candidate fails to file a Schedule A-1 if it is the candidate's own money, and if it is a first time violation, I believe this policy should apply in this situation as well.

In conclusion, I recommend that the appeal be granted in regards to all three contributions. If this recommendation is accepted by the Board, I also recommend that this Committee file an amended 2007 Semi-Annual Report showing the correct receipt dates of these contributions.

A handwritten signature in cursive script, reading "Sharon Steward", is written over a horizontal line.

Sharon Steward – Hearing Officer

July 26, 2007

STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187  
Springfield, Illinois 62708  
217/782-4141  
Fax: 217/782-5959

James R. Thompson Center  
100 West Randolph, Suite 14-100  
Chicago Illinois 60601  
312/814-6440  
Fax: 312/814-6485



BOARD MEMBERS  
Jesse Smart, Chairman  
Wanda Rednour, Vice Chairman  
Patrick Brady  
John Keith  
William McGuffage  
Albert Porter  
Bryan Schneider  
Robert Walters

EXECUTIVE DIRECTOR  
Daniel W. White  
April 30, 2007

Friends for Anna Klocek  
Zbigniew Banas  
4156 W Berteau  
Chicago, IL 60641

S9335

Dear Friends for Anna Klocek;

This committee has failed to file the following Schedule A-1's, Report of Campaign contributions of more than \$500.00, within 2 business days of receipt as required by the Illinois Campaign Disclosure Act:

| <u>Contributed By</u> | <u>Date of Contribution</u> | <u>Fine Assessed</u> | <u>Amount of Contribution</u> | <u>Date A-1 Received</u> | <u>Days Late</u> |
|-----------------------|-----------------------------|----------------------|-------------------------------|--------------------------|------------------|
| Idea Furniture Co     | 2/20/07                     | \$775.00             | \$775.00                      | 2/23/07                  | 1                |
| Andrzej Klocek        | 2/20/07                     | \$2000.00            | \$2000.00                     | 2/23/07                  | 1                |
| Andrzej Klocek        | 2/20/07                     | \$1650.00            | \$1650.00                     | 2/23/07                  | 1                |

The committee is fined a **total** of \$4425.00 for delinquently filing Schedule A-1 reports as required by the Illinois Campaign Disclosure Act. This total **does not** reflect any previously assessed fines.

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b-5) of the Election Code, the Board may impose fines for violations not to exceed 100% of the total amount of the contributions that were untimely reported, but in no case when a fine is imposed shall it be less than 10% of the total amount of the contributions that were untimely reported.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$443.00, (10% of the total amount reflected above) if you do not choose to file an appeal under the Board's present policy. The total amount due will be imposed with the issuance of a Final Board Order after the 30-day appeal period had expired. This amount must be paid (including any previously assessed fines), within 30 days of the issuance of the Order.

Enclosed please find Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fines if you believe the civil penalties have been assessed in error. ***If you have a legitimate reason for your delinquent filing, it would behoove you to send in the appeal form since the Board has the discretion to take extenuating and other circumstances into account in determining the amount of your penalty.*** Notice of Appeal must be filed within 30 days of the mailing of this assessment for it to be considered.

State of Illinois )  
County of \_\_\_\_\_ )

04/06/08  
'07 APR 2 45

BEFORE THE STATE BOARD OF ELECTIONS  
OF THE STATE OF ILLINOIS

IN THE MATTER OF; )  
ILLINOIS STATE BOARD OF ELECTIONS, )  
Complainant )  
Vs. )  
FRIENDS FOR ANNA KLOCEK )  
Respondent(s). )

Case No. 07 AC 025

APPEAL AFFIDAVIT

I, ANNA KLOCEK, the CHAIRMAN of the  
(Name) (Chairman/Treasurer)  
FRIENDS FOR ANNA KLOCEK  
(Name of the Committee)

Committee, first being duly sworn deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

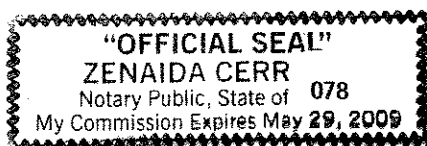
SEE ATTACHED LETTER OF EXPLANATION

Signed and Sworn to by:

ANNA KLOCEK  
before me this 25 Day of  
MAY, 2007

Zenaida Cerr  
Notary Public

[Signature]  
(Signature of Chairman/Treasurer)





STATE BOARD OF ELECTIONS  
07 JUL 10 AM 10:29

Friends For Anna Klocek  
5351 Forest Glen  
Chicago, IL 60630  
773-775-0775  
773-427-5771 fax

Chicago, July 3<sup>rd</sup> 2007

To State Board of Elections, State of Illinois

Thank you for allowing me to file the appeal regarding the errors on my Schedule A-1 filing.

In addition to my previous explanations, I would like to submit letters from my contributors ( Idea Furniture) and Grainger Terry, our marketing agent.

We would like to add, that this is our first campaign and it was run thanks to the help of volunteers and small amount of funds. That last contribution(\$3650) by Andrzej Klocek, husband of the candidate, Anna Klocek, was actually charge for the mailing from family personal credit cards and we are still paying interest on that charge.

If you need any additional explanations, please contact us at: 773-775-0775 or via e-mail: [annaklocek@usa.com](mailto:annaklocek@usa.com), or fax 773-427-5771

Anna Klocek, candidate and chairman of Friends for Anna Klocek





**GRAINGER TERRY, INC.**

100 North LaSalle Street, Suite 1616 • Chicago, IL 60602  
Phone: 312.541.1600 • Fax: 312.541.1605  
www.graingerterry.com

STATE BOARD OF ELECTIONS

07 JUL 16 PM 1:22

July 9, 2007

Re: Friends of Anna Klocek Political Mailer

To Whom It May Concern at the Illinois Board of Election:

Anna Klocek requested that I write to you and disclose the payment Grainger Terry, Inc. received from Andrzej Klocek for a mailing that the Friends of Anna Klocek purchased. Anna Klocek engaged Grainger Terry, Inc. to assist the Friends of Anna Klocek in her election campaign to the Chicago City Council for the February 2007 election.

As part of our arrangement, Grainger Terry, Inc. designed, wrote, processed and mailed a political mailer on the behalf of Friends of Anna Klocek. The total cost of the political mailer was \$3,650.00.

On February 20, the credit card company declined payment for the mailing. We informed Klocek that the mailing would not be mailed until total payment was received. At that time, Klocek decided to split the payment into two payments. Klocek paid for the mail in two (2) separate transactions, paying \$2,000 on an American Express Card with the last four digits of 1001 in the name of Andrzej Klocek on February 20, 2007. The second and final payment of the mailing was paid on February 21, 2007 for \$1650.00 on a MasterCard with the last four digits of 3961 in the name of Andrzej Klocek. The mailing was mailed after the second payment was received.

If you have any questions concerning this matter do not hesitate to contact me at the above number.

Sincerely,

  
Jonathan Bedi

**GRAINGER TERRY, INC.**

100 North LaSalle Street, Suite 1616 - Chicago, IL 60602  
Phone: 312.541.1600 • Fax: 312.541.1605  
www.graingerterry.com

STATE BOARD OF ELECTIONS

07 JUL 10 AM 10:29

July 5, 2007

Re: Friends of Anna Klocek Political Mailer

To Whom It May Concern at the Illinois Board of Election:

Anna Klocek requested that I write to you and disclose the payment Grainger Terry, Inc. received from Friends of Anna Klocek. Anna Klocek engaged Grainger Terry, Inc. to assist the Friends of Anna Klocek in her election campaign to the Chicago City Council for the February 2007 election.

As part of our arrangement, Grainger Terry, Inc. designed, wrote, processed and mailed a political mailer on the behalf of Friends of Anna Klocek. The total cost of the political mailer was \$3,650.00. Friends of Anna Klocek paid for the mail in two (2) separate transactions, paying \$2,000 on an American Express Card with the last four digits of 1001 on February 20, 2007 and \$1650.00 on a MasterCard with the last four digits of \$1,650.00 on February 21, 2007.

If you have any questions concerning this matter do not hesitate to contact me at the above number.

Sincerely,

  
Jonathan Bedi

The contributions reported by fax on 23<sup>rd</sup> of February were reported by mistake as of 20<sup>th</sup> for the following reasons:

Idea Furniture owners promised to contribute to the elections mailing by sending the check for \$775. Since they did not do it in timely manner I picked it up personally at night on 22<sup>nd</sup> of February (date when I signed A-1 form).

The contributions of \$2000.00 and \$1650.00 were actually charges to credit cards to cover costs of above-mentioned mailing. We approved the mailing with our marketing company, Grainger Terry on the 20<sup>th</sup>, but it took couple of days to move balances between two credit cards to pay for the mailing. When Grainger Terry Company assured us that mailing had been sent and credit charges got accepted, we included these charges on the form, containing the \$775.00 charge – all of them with the wrong date (February 20<sup>th</sup>. instead of February 22<sup>nd</sup>).

Please, contact us if you have any questions.

Anna Kloczek,  
Chairman of the Committee and a Candidate.  
773-775-0775

*Anna Kloczek, chairman*

Subscribed and sworn to before me

this 25 day of MAY 2007  
at Chicago, County of Cook, State of Illinois.

Notary Public

*Zenaida Cerrillo*





July 6, 2007

To Illinois Board of Elections:

Candidate for Alderman, Anna Klocek came to get our contribution for her campaign in the amount of \$775.00 on the night of February 22 2007.

If you have further questions, please call us.

Sincerely,

A handwritten signature in black ink, appearing to read "Marek Kowalczyk", is written over the typed name.

Marek Kowalczyk  
IDEA FURNITURE, CO.  
President

**STATE OF ILLINOIS  
COUNTY OF COOK**

**BEFORE THE STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS**

Illinois State Board of Elections

**Complainant**

v.

**07 MA 007**

Friends of Alderman Madeline Haithcock

L-5723

**Respondent**

**REPORT OF HEARING EXAMINER**

Appeal of Civil Penalty Assessment for the Failure to File the  
November 2006 Pre-Election Election Report; Failure to File Schedule  
A-1 Reports for the November, 2006 General Election, and Failure to  
File Schedule A-1 Reports for the February, 2007 Primary Election

According to the political committee's December 2006 Semi-Annual Report, it made an expenditure towards the candidacy of Todd Stroger on September 22<sup>nd</sup>, 2006, in the amount of \$750.00. Such expenditure would require the filing of a Pre-Election report for the November 7<sup>th</sup>, 2006 General Election, rather than a Non-Participation Report as filed by the political committee on October 23<sup>rd</sup>, 2006. A civil penalty in the amount of \$2,200.00 has therefore been assessed.

The political committee also failed to file required Schedule A-1's in relation to the November 7<sup>th</sup>, 2006 General Election, disclosing the following contributions; Chicago Community Development Corp, dated 10/13/06, in the amount of \$1,000.00; Larry Huggins, dated 10/17/06, in the amount of \$1,500.00, and Sam Sianis, dated 10/12/06, in the amount of \$1,000.00. A civil penalty in the amount of \$3,500.00 has therefore been assessed.

The political committee also failed to file in a timely fashion Schedule A-1's in relation to the February 27<sup>th</sup>, 2007 Consolidated Primary Election. The political committee filed seven (7) Schedule A-1's on Thursday, February 15<sup>th</sup>, 2007, which contained six (6) contributions received on Saturday, February 10<sup>th</sup>, 2007, and thirty-three (33) contributions received on Monday, February 12<sup>th</sup>, 2007 (Lincoln's Birthday), totally \$78,150.00. The filing of these Schedule A-1's were one (1) day late, causing a civil penalty in the amount of \$78,150.00 to be assessed.

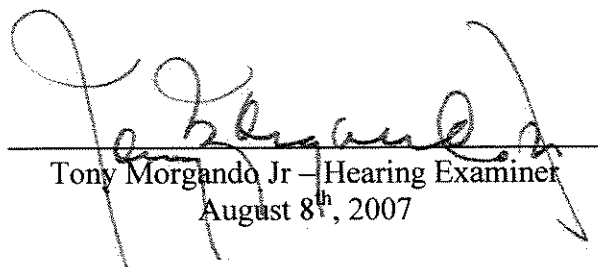
Mr. Spellman Jones, Treasurer, filed a Request for Hearing and Appeal Affidavit, on May 21<sup>st</sup>, 2007, indicating: "2/12/07 was a holiday (Lincoln's Birthday) and the office of the Alderman was closed. The received date for contributions should have been 2/13/07".

Hearing in this matter was set for Wednesday, June 13<sup>th</sup>, 2007, to be conducted at the Chicago Board office, 100 W. Randolph, 14-100, at 10:30 p.m.

Mr. Spellman Jones, Treasurer, appeared on behalf of the political committee. Mr. Jones indicated that he filed a Non-Participation Report in relation to the November 7<sup>th</sup>, 2006 General Election because the political committee expended no funds to support a candidate on the ballot prior to the close of the Pre-Election Reporting period. Mr. Jones stated it was his understanding the requirement to file Schedule A-1's did not apply to political committee's submitting a Non-Participation Report.

In terms of the Schedule A-1's, filed one (1) day late in relation to the February 27<sup>th</sup>, 2007 Consolidated Primary Election, which disclosed thirty-three (33) contributions, the Hearing Examiner extended the hearing to Wednesday, July 18<sup>th</sup>, 2007, at 10:30 a.m., to allow the Respondent the opportunity to secure copies of checks for examination to determine the actual date of receipt and deposit. The Respondent or their representative failed to appear at such hearing, nor any response received from telephonic messages.

Based upon a review of the documents/statements submitted in this matter, the Hearing Examiner finds that (a) The political committee "having filed a Statement of Non-Participation" made "an expenditure in excess of \$500 on behalf of or in opposition to a candidate that will appear on the ballot at the next election" did not "file a pre-election report within five days after making the expenditure", Rule 100.70(e). Therefore it is the recommendation of the Hearing Examiner that the appeal be denied, and the assessed fine of \$2,200.00 be due and owing; (b) The political committee being required to file a Pre-Election Report for the November 7<sup>th</sup>, 2006 General Election, failed to submit Schedule A-1's, as required in 10 ILCS 5/9-10(b-5). Therefore it is the recommendation of the Hearing Examiner that the appeal be denied. Considering this is the first delinquent Schedule A-1 filing by the political committee, it is the recommendation of the Hearing Examiner that the assessed penalty be reduced 10% or \$350.00, and is due and owing; (c) The political committee failed to appear Wednesday, July 18<sup>th</sup>, 2007, to allow an examination of contribution data by the Hearing Examiner to substantiate their appeal. Therefore a review of the Schedule A-1's filed in conjunction with the February 2007 Consolidated Primary election by the Hearing Examiner indicates such documents were filed one (1) day late. It is therefore the recommendation of the Hearing Examiner that the appeal be denied. Considering this is the second delinquent Schedule A-1 filing by the political committee, it is the recommendation of the Hearing Examiner that the assessed penalty be reduced 50% or \$39,075.00, and is due and owing, and (d) The political committee having filed a Final Report on Thursday, August 2<sup>nd</sup>, 2007, it is the recommendation of the Hearing Examiner that the total assessed civil penalty of \$41,625.00 be abated if the political committee remains dissolved for a period of two (2) years following the date of the Final Order of the Board imposing the penalty



Tony Morgando Jr - Hearing Examiner  
August 8<sup>th</sup>, 2007

STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187  
Springfield, Illinois 62708  
217/782-4141  
Fax: 217/782-5959

James R. Thompson Center  
100 West Randolph, Suite 14-100  
Chicago Illinois 60601  
312/814-6440  
Fax: 312/814-6485



BOARD MEMBERS  
Jesse Smart, Chairman  
Wanda Rednour, Vice Chairman  
Patrick Brady  
John Keith  
William McGuffage  
Albert Porter  
Bryan Schneider  
Robert Walters

EXECUTIVE DIRECTOR  
Daniel W. White  
April 30, 2007

Friends of Alderman Madeline Haithcock for Political Office  
1234 S Michigan Ave  
Chicago, IL 60605

L5723

Dear Friends of Alderman Madeline Haithcock for Political Office;

A review of your committee records has indicated that the Non-Participation Report filed for the November 7, 2006 General Election should have in fact been a Pre-election Report. Section 100.70(d) of the Rules and Regulations reads as follows:

*A committee which, having filed a Statement of Non-Participation, makes a subsequent contribution to a candidate who will appear on the ballot at the next election shall file a Pre-Election Report within five days after making the contribution, or if the contribution is made during the five days immediately prior to the election, within 24 hours after making the contribution.*

The December 2006 Semi-Annual Report filed on behalf of this committee, covering the last half of 2006 lists the following contributions to candidates who appeared on the ballot at the November 2006 General Election.

| Candidate Name | Date of Contribution | Amount of Contribution |
|----------------|----------------------|------------------------|
| Todd Stroger   | 9/22/06              | \$750.00               |

Consequently, this committee is deemed to have participated in this Election, and was therefore not eligible to file a Non-Participation Statement, but instead, should have filed a Pre-Election Report. Pursuant to Rule 100.70(d), this Report should have been filed no later than five business days following the issuance of the above referenced contribution. A civil penalty in the amount of \$2200.00 has therefore been assessed, based on the number of business days (11) between the due date of the Report and the date of the Election.

In addition, this committee failed to file the following documents during the requisite filing period:

This committee has failed to file the following Schedule A-1's, Report of Campaign contributions of more than \$500.00, within 2 business days of receipt as required by the Illinois Campaign Disclosure Act:

| <u>Contributed By</u>              | <u>Date of Contribution</u> | <u>Fine Assessed</u> | <u>Amount of Contribution</u> | <u>Date A-1 Received</u> | <u>Days Late</u> |
|------------------------------------|-----------------------------|----------------------|-------------------------------|--------------------------|------------------|
| Chicago Community Development Corp | 10/13/06                    | \$1000.00            | \$1000.00 ✓                   | *not filed               | 15               |
| Larry Huggins                      | 10/17/06                    | \$1500.00            | \$1500.00 ✓                   | *not filed               | 13               |
| Sam Sianis                         | 10/12/06                    | \$1000.00            | \$1000.00 ✓                   | *not filed               | 16               |

The committee is fined a **total** of \$3500.00 for delinquently filing Schedule A-1 reports as required by the Illinois Campaign Disclosure Act. This total **does not** reflect any previously assessed fines.



Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b-5) of the Election Code, the Board may impose fines for violations not to exceed 100% of the total amount of the contributions that were untimely reported, but in no case when a fine is imposed shall it be less than 10% of the total amount of the contributions that were untimely reported.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$350.00, (10% of the total amount reflected above) if you do not choose to file an appeal under the Board's present policy. The total amount due will be imposed with the issuance of a Final Board Order after the 30-day appeal period had expired. This amount must be paid (including any previously assessed fines), within 30 days of the issuance of the Order.

In addition, this committee failed to file the following documents during the requisite filing period:

This committee has failed to file the following Schedule A-1's, Report of Campaign contributions of more than \$500.00, within 2 business days of receipt as required by the Illinois Campaign Disclosure Act:

| <u>Contributed By</u>                     | <u>Date of Contribution</u> | <u>Fine Assessed</u> | <u>Amount of Contribution</u> | <u>Date A-1 Received</u> | <u>Days Late</u> |
|---|-----------------------------|----------------------|-------------------------------|--------------------------|------------------|
| Chernin's Shoe Outlet LLC                 | 2/12/07                     | \$1500.00            | \$1500.00                     | 2/15/07                  | 1                |
| First C Victory PAC                       | 2/12/07                     | \$28000.00           | \$28000.00                    | 2/15/07                  | 1                |
| Fishman's Fabrics Inc                     | 2/12/07                     | \$2000.00            | \$2000.00                     | 2/15/07                  | 1                |
| Gold Standard Enterprises Inc             | 2/12/07                     | \$1000.00            | \$1000.00                     | 2/15/07                  | 1                |
| Todd Grayson                              | 2/12/07                     | \$2000.00            | \$2000.00                     | 2/15/07                  | 1                |
| Harry Carey's                             | 2/12/07                     | \$1500.00            | \$1500.00                     | 2/15/07                  | 1                |
| David Herro                               | 2/12/07                     | \$1000.00            | \$1000.00                     | 2/15/07                  | 1                |
| Jerry Kearney                             | 2/12/07                     | \$1500.00            | \$1500.00                     | 2/15/07                  | 1                |
| Manny's Coffee Shop                       | 2/12/07                     | \$1500.00            | \$1500.00                     | 2/15/07                  | 1                |
| Marathon Auto Centers                     | 2/12/07                     | \$1000.00            | \$1000.00                     | 2/15/07                  | 1                |
| Daniel McLean                             | 2/12/07                     | \$1500.00            | \$1500.00                     | 2/15/07                  | 1                |
| Morris & Sons Co                          | 2/12/07                     | \$1000.00            | \$1000.00                     | 2/15/07                  | 1                |
| Phillip Freeman Denney Peppercorn Capital | 2/12/07                     | \$2000.00            | \$2000.00                     | 2/15/07                  | 1                |
| Roosevelt Collection LLC                  | 2/12/07                     | \$1500.00            | \$1500.00                     | 2/15/07                  | 1                |
| Thomas Murphy PC                          | 2/12/07                     | \$1000.00            | \$1000.00                     | 2/15/07                  | 1                |

|                              |         |           |           |         |   |
|------------------------------|---------|-----------|-----------|---------|---|
| Ibrahim Shihodeh             | 2/12/07 | \$1500.00 | \$1500.00 | 2/15/07 | 1 |
| The Lord Companies LLC       | 2/12/07 | \$1000.00 | \$1000.00 | 2/15/07 | 1 |
| Piedmont Group Inc           | 2/10/07 | \$1000.00 | \$1000.00 | 2/15/07 | 1 |
| Van Buren/Aberdeen LLC       | 2/12/07 | \$1300.00 | \$1300.00 | 2/15/07 | 1 |
| Walmart Stores               | 2/12/07 | \$1500.00 | \$1500.00 | 2/15/07 | 1 |
| Daniel Walsh                 | 2/10/07 | \$1500.00 | \$1500.00 | 2/15/07 | 1 |
| Cecil Butler                 | 2/12/07 | \$1000.00 | \$1000.00 | 2/15/07 | 1 |
| Ronald Cohen                 | 2/12/07 | \$1500.00 | \$1500.00 | 2/15/07 | 1 |
| Daley & George Ltd           | 2/10/07 | \$1500.00 | \$1500.00 | 2/15/07 | 1 |
| David Dewey                  | 2/10/07 | \$1000.00 | \$1000.00 | 2/15/07 | 1 |
| Friedman Properties Ltd      | 2/12/07 | \$1000.00 | \$1000.00 | 2/15/07 | 1 |
| Harvey Hadslon               | 2/12/07 | \$1500.00 | \$1500.00 | 2/15/07 | 1 |
| Kravetz Family Trust         | 2/12/07 | \$1500.00 | \$1500.00 | 2/15/07 | 1 |
| Magellan Development Group   | 2/12/07 | \$1000.00 | \$1000.00 | 2/15/07 | 1 |
| Manny's Coffee Shop          | 2/12/07 | \$1000.00 | \$1000.00 | 2/15/07 | 1 |
| Ralph Olivia                 | 2/12/07 | \$1500.00 | \$1500.00 | 2/15/07 | 1 |
| One Place Condominium LLC    | 2/12/07 | \$1500.00 | \$1500.00 | 2/15/07 | 1 |
| Pappa George Hayes Ltd       | 2/12/07 | \$1500.00 | \$1500.00 | 2/15/07 | 1 |
| RD Horner & Associates       | 2/12/07 | \$1000.00 | \$1000.00 | 2/15/07 | 1 |
| Everett Rand                 | 2/12/07 | \$1500.00 | \$1500.00 | 2/15/07 | 1 |
| Timothy Rand                 | 2/12/07 | \$1500.00 | \$1500.00 | 2/15/07 | 1 |
| Rogers Auto Group            | 2/10/07 | \$600.00  | \$600.00  | 2/15/07 | 1 |
| Schain Burney Ross & Citron  | 2/12/07 | \$750.00  | \$750.00  | 2/15/07 | 1 |
| Shelbourne Development Group | 2/10/07 | \$1500.00 | \$1500.00 | 2/15/07 | 1 |

The committee is fined a **total** of \$78,150.00 for delinquently filing Schedule A-1 reports as required by the Illinois Campaign Disclosure Act. This total **does not** reflect any previously assessed fines.

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b-5) of the Election Code, the Board may impose fines for violations not to exceed 100% of the total amount of the contributions that were untimely reported, but in no case when a fine is imposed shall it be less than 10% of the total amount of the contributions that were untimely reported.

Since this is the second delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$39,075.00, (50% of the total amount reflected above) if you do not choose to file an appeal under the Board's present policy. The total amount due will be imposed with the issuance of a Final Board Order after the 30-day appeal period had expired. This amount must be paid (including any previously assessed fines), within 30 days of the issuance of the Order.

Enclosed please find Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. Notice of Appeal must be filed within 30 days of the mailing of this assessment notice. If you fail to file a Notice of Appeal within this 30-day period, you forfeit the right to contest this assessment.

If you have questions regarding the appeal process, please call Sue McArthur at 217/782-1543.

Sincerely,



Rupert T. Borgsmiller  
Director, Campaign Disclosure

RTB:sm

Enclosure(s): appeal packet

State of Illinois )  
County of COOK )

STATE BOARD OF ELECTIONS  
07 MAY 21 AM 9:44

BEFORE THE STATE BOARD OF ELECTIONS  
OF THE STATE OF ILLINOIS

IN THE MATTER OF;  
ILLINOIS STATE BOARD OF ELECTIONS,  
Complainant

Vs.  
FRIENDS OF AID. MADELINE  
HAITHCOCK FOR POLITICAL OFFICE  
Respondent(s).

Case No. 07 MA007

APPEAL AFFIDAVIT

I, Spelman L. Jones, the Treasurer of the  
(Name) (Chairman/Treasurer)

FRIENDS OF AID. MADELINE HAITHCOCK FOR POLITICAL OFFICE  
(Name of the Committee)

Committee, first being duly sworn deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

2/12/07 was a holiday (Lincoln's Birthday) and  
the office of the Alderman was closed. The  
received date for contribution should have been  
2/13/07

Signed and Sworn to by:

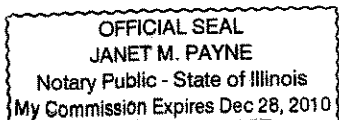
Spelman L. Jones

before me this 17 Day of

May 2007

Janet M. Payne  
Notary Public

Spelman L. Jones  
(Signature of Chairman/Treasurer)



STATE OF ILLINOIS  
COUNTY OF COOK

BEFORE THE STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

Illinois State Board of Elections  
**Complainant**

v.

07 AC 012

Citizens for Felicia Simmons Stovall  
**Respondent**

L-13650

**REPORT OF HEARING EXAMINER**

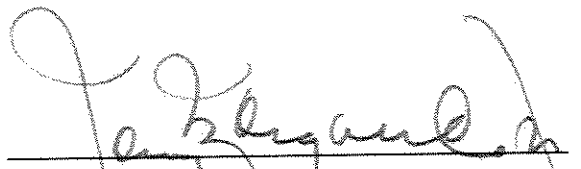
Appeal of Civil Penalty Assessment for the Delinquent filing of  
Schedule A-1 reports for the 2007 Consolidated Primary Election

According to the political committee's Schedule A-1 report, filed February 7<sup>th</sup>, 2007, it received a contribution from the 27<sup>th</sup> Ward Regular Democratic Org., dated 2/3/07, in the amount of \$10,000.00, which was filed one (1) day late. The political committee also filed a Schedule A-1 report, on February 28<sup>th</sup>, 2007, indicating receipt of contributions from (a) Ms. Elzie Higginbottom, dated 2/24/07, in the amount of \$1,500.00; (b) Mr. Eric Higginbottom, dated 2/24/07, in the amount of \$1,500.00; (c) Solis Construction, dated 2/24/07, in the amount of \$1,500.00, and (d) Friends of Walter Burnett, dated 2/23/07, in the amount of \$10,000.00. The political committee has been fined a total of \$24,500 for delinquent filing Schedule A-1 reports.

Ms. Felicia Simmons-Stovall, Candidate, filed a Request for Hearing and Appeal Affidavit, on May 30<sup>th</sup>, 2007, indicating "Because this was first election for Committee and all of its officers and volunteers, we were inundated with managing a campaign without having adequate resources to staff the campaign and committee".

Hearing in this matter was set for Wednesday, June 13<sup>th</sup>, 2007, to be conducted at the Chicago Board office, 100 W. Randolph, 14-100, at 9:30 a.m. Ms. Felicia Simmons Stovall, Candidate, appeared on behalf of the political committee. Ms. Stovall indicated it was her first campaign and every effort was made to comply with the law, with the limited staff available to her.

Based upon a review of the documents/statements submitted, it is apparent the political committee did not report contributions within two (2) business day as established by statute. Therefore, it is the recommendation of the Hearing Examiner that the appeal be denied in this matter, but as a first time Schedule A-1 offense it also further recommends that the original assessed penalty be reduced to 10% or \$2,450.00, and is due and owing.



Tony Morgando Jr – Hearing Examiner  
August 9<sup>th</sup>, 2007

STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187  
Springfield, Illinois 62708  
217/782-4141  
Fax: 217/782-5959

James R. Thompson Center  
100 West Randolph, Suite 14-100  
Chicago Illinois 60601  
312/814-6440  
Fax: 312/814-6485



BOARD MEMBERS  
Jesse Smart, Chairman  
Wanda Rednour, Vice Chairman  
Patrick Brady  
John Keith  
William McGuffage  
Albert Porter  
Bryan Schneider  
Robert Walters

EXECUTIVE DIRECTOR  
Daniel W. White  
April 30, 2007

Citizens for Felicia Simmons Stovall  
Thomas Watson  
4901 W Congress  
Chicago, IL 60644

L13650

Dear Citizens for Felicia Simmons Stovall;

This committee has failed to file the following Schedule A-1's, Report of Campaign contributions of more than \$500.00, within 2 business days of receipt as required by the Illinois Campaign Disclosure Act:

| <u>Contributed By</u>                        | <u>Date of Contribution</u> | <u>Fine Assessed</u> | <u>Amount of Contribution</u> | <u>Date A-1 Received</u> | <u>Days Late</u> |
|--|-----------------------------|----------------------|-------------------------------|--------------------------|------------------|
| 27 <sup>th</sup> Ward Regular Democratic Org | 2/3/07                      | \$10000.00           | \$10000.00                    | 2/7/07                   | 1                |
| Elzie Higginbottom                           | 2/24/07                     | \$1500.00            | \$1500.00                     | 2/28/07                  | 1                |
| Eric Higginbottom                            | 2/24/07                     | \$1500.00            | \$1500.00                     | 2/28/07                  | 1                |
| Solis Construction                           | 2/24/07                     | \$1500.00            | \$1500.00                     | 2/28/07                  | 1                |
| Friends of Walter Burnett                    | 2/23/07                     | \$10000.00           | \$10000.00                    | 2/28/07                  | 1                |

The committee is fined a **total** of \$24500.00 for delinquent filing Schedule A-1 reports as required by the Illinois Campaign Disclosure Act. This total **does not** reflect any previously assessed fines.

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b-5) of the Election Code, the Board may impose fines for violations not to exceed 100% of the total amount of the contributions that were untimely reported, but in no case when a fine is imposed shall it be less than 10% of the total amount of the contributions that were untimely reported.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$2450.00, (10% of the total amount reflected above) if you do not choose to file an appeal under the Board's present policy. The total amount due will be imposed with the issuance of a Final Board Order after the 30-day appeal period had expired. This amount must be paid (including any previously assessed fines), within 30 days of the issuance of the Order.

CHICAGO

107 MAY 30 PM 2:19  
STATE BOARD OF ELECTIONS

State of Illinois )  
County of Cook )

BEFORE THE STATE BOARD OF ELECTIONS  
OF THE STATE OF ILLINOIS

IN THE MATTER OF;  
ILLINOIS STATE BOARD OF ELECTIONS,  
Complainant  
vs.  
Citizens For Felicia Simmons-Stovall  
Respondent(s).

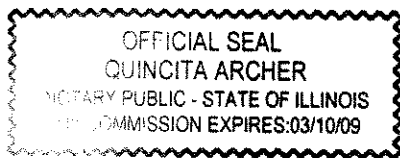
No. \_\_\_\_\_

APPEAL AFFIDAVIT

I, FELICIA H. SIMMONS-STOVALL, the Candidate of the  
(Name) (Chairman/Treasurer)  
Citizens For Felicia Simmons-Stovall  
(Name of the Committee)

Committee, first being duly sworn deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

Committee did not intentionally neglect filing of A-1's in timely manner. Committee made every effort to ensure that all contributions were reported and reported timely. Because this was first election for Committee and all of its officers and volunteers, we were inundated with managing a campaign without having adequate resources to staff the campaign and committee. In addition Committee did not anticipate having an opponent that would spend more than \$400,000 in the election, thus Committee scrambled to raise money in amounts that had not projected.



Subscribed and Sworn to Before me  
This 30 Day Of May, 2007

Quincita Archer  
NOTARY PUBLIC

Felicia H. Stovall  
(Signature of Chairman/Treasurer)

**STATE OF ILLINOIS  
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS**

Illinois State Board of Elections

**Complainant**

Vs.

**07 AE 009**

Friends of Moraine

L 14005

**Respondent**

**REPORT OF HEARING EXAMINER**

Appeal of Civil Penalty Assessment

For Delinquently Filing a Schedule A-1 for the 2007 Consolidated Election

This Committee received a contribution of \$1500 on 3/25/07, and listed it on a Schedule A-1 filed 4/26/07, 15 days late, resulting in a civil penalty of \$1500.

Terry D. Cornell, the Chairman of the Committee, filed a Waiver of Appearance and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Cornell stated that he had a fundraiser on March 25, 2007, and collected said check. He didn't have an account opened yet. When he did open a campaign account, it was after the 5-day reporting period.

This Committee was created 3/1/07. This contribution of \$1500 was received 3/25/07. I do not know what he was referring to in regards to a 5-day reporting period. According to Section 100.120(c) which reads in part: "A cash contribution to a political committee is deemed to have been received on the date the contribution was actually received by the candidate, Chairman or Treasurer of the committee or the public official..." This contribution was received as part of a fundraiser on March 25, 2007; therefore, it should have been reported on a Schedule A-1 within 2 business days from that date, whether or not an account had been opened. I therefore recommend that the appeal be denied. However, since there is no indication that the violation was anything other than inadvertent and unintentional, I also recommend that the penalty be reduced to 10% of the original assessment, or \$150. As a first violation, the penalty is stayed.



Sharon Steward – Hearing Officer

July 30, 2007



STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187  
Springfield, Illinois 62708  
217/782-4141  
Fax: 217/782-5959

James R. Thompson Center  
100 West Randolph, Suite 14-100  
Chicago Illinois 60601  
312/814-6440  
Fax: 312/814-6485



BOARD MEMBERS  
Jesse Smart, Chairman  
Wanda Rednour, Vice Chairman  
Patrick Brady  
John Keith  
William McGuffage  
Albert Porter  
Bryan Schneider  
Robert Walters

EXECUTIVE DIRECTOR  
Daniel W. White  
July 9, 2007

Friends of Moraine  
Terry Cornell Jr  
24 Romiga Ln  
Palos Park, IL 60464

L14005

Dear Friends of Moraine;

This committee has failed to file the following Schedule A-1's, Report of Campaign contributions of more than \$500.00, within 2 business days of receipt as required by the Illinois Campaign Disclosure Act:

| <u>Contributed By</u> | <u>Date of Contribution</u> | <u>Fine Assessed</u> | <u>Amount of Contribution</u> | <u>Date A-1 Received</u> | <u>Days Late</u> |
|-----------------------|-----------------------------|----------------------|-------------------------------|--------------------------|------------------|
| William Van Hoene     | 3/25/07                     | \$1500.00            | \$1500.00                     | 4/26/07                  | 15               |

The committee is fined a **total** of \$1500.00 for delinquent filing Schedule A-1 reports as required by the Illinois Campaign Disclosure Act. This total **does not** reflect any previously assessed fines.

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b-5) of the Election Code, the Board may impose fines for violations not to exceed 100% of the total amount of the contributions that were untimely reported, but in no case when a fine is imposed shall it be less than 10% of the total amount of the contributions that were untimely reported.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$150.00, (10% of the total amount reflected above) if you do not choose to file an appeal under the Board's present policy. The total amount due will be imposed with the issuance of a Final Board Order after the 30-day appeal period had expired. If your committee has no other civil penalty assessments and only one delinquent Schedule A-1 amount is listed above, the penalty will be **stayed** as a first violation and would only become due and owing upon any subsequent delinquent filings by the committee.

Enclosed please find Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fines if you believe the civil penalties have been assessed in error. ***If you have a legitimate reason for your delinquent filing, it would behoove you to send in the appeal form since the Board has the discretion to take extenuating and other circumstances into account in determining the amount of your penalty.*** Notice of Appeal must be filed within 30 days of the mailing of this assessment for it to be considered.

07 JUL 23 AM 11:13

State of Illinois )  
 )  
 County of COOK )

BEFORE THE STATE BOARD OF ELECTIONS  
 OF THE STATE OF ILLINOIS

IN THE MATTER OF; )  
 )  
 ILLINOIS STATE BOARD OF ELECTIONS, )  
 )  
 Complainant )

Vs. )  
ARLINDS OF MORMUE )  
TERRY D. CORUCC )  
 Respondent(s). )

Case No. 07AE009

## APPEAL AFFIDAVIT

I, TERRY D. CORUCC, the CHAIRMAN of the  
 (Name) (Chairman/Treasurer)  
ARLINDS OF MORMUE  
 (Name of the Committee)

Committee, first being duly sworn deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

I HAD A FUNDRAISER ON MARCH 25<sup>TH</sup> 2007 AND  
COLLECTED SAID CHECK. I DIDNT HAVE  
AN ACCOUNT OPENED YET. WHEN I DID  
OPEN A CAMPAIGN ACCOUNT, IT WAS OPEN AFTER  
THE 5 DAY RUMORING PERIOD.

Signed and Sworn to by:

before me this 20 Day ofJuly 2007

Notary Public

(Signature of Chairman/Treasurer)

"OFFICIAL SEAL"

Teresa G. Sanchez  
 Notary Public, State of Illinois

**STATE OF ILLINOIS  
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS**

Illinois State Board of Elections

**Complainant**

Vs.

**07 D1 12**

Citizens for Rory Hoskins

L 14078

**Respondent**

**REPORT OF HEARING EXAMINER**

Appeal of Civil Penalty Assessment  
For Delinquently Filing a D-1 Statement of Organization

This Report was received on 3/19/07, 13 days late, resulting in a civil penalty assessment of \$325. In addition, the Committee had previously been assessed a \$200 civil penalty (not appealed) for delinquently filing a pre-election report for the 2007 Consolidated Election. The total assessment is \$525.

Rosemary Krieger, the Treasurer of the Committee, filed a Waiver of Appearance and submitted on the Appeal Affidavit the following:

On the Affidavit, Ms. Krieger stated that this was her first attempt at managing a campaign. Due to her job, she traveled and the forms came while she was out of town and could not file them, which she did at once when she returned to Chicago. This is a small committee, first time, and funds are not even available to cover at this time. She filed the 2007 June Semi-annual report timely and states that she will continue to do so in the future. She asks that this penalty be reversed as nothing was done with malice, just ignorance by a first-time campaign manager.

Despite the fact that there was no intent to file the D-1 delinquently, I must recommend that the appeal be denied. It is the responsibility of the Treasurer to know and understand the law and to be aware of the Committee's filing obligations. Failure to be aware of one's filing obligations is not a valid defense. Therefore, if this recommendation is accepted by the Board, the stay will be lifted from the previously assessed \$200, and the entire penalty of \$525 will be due and owing.



Sharon Steward – Hearing Officer

July 27, 2007

STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187  
Springfield, Illinois 62708  
217/782-4141  
Fax: 217/782-5959

James R. Thompson Center  
100 West Randolph, Suite 14-100  
Chicago Illinois 60601  
312/814-6440  
Fax: 312/814-6485



EXECUTIVE DIRECTOR  
Daniel W. White  
July 9, 2007

BOARD MEMBERS  
Jesse Smart, Chairman  
Wanda Rednour, Vice Chairman  
Patrick Brady  
John Keith  
William McGuffage  
Albert Porter  
Bryan Schneider  
Robert Walters

Citizens for Rory Hoskins  
POB 224  
Forest Park, IL 60130

L14078

Dear Citizens for Rory Hoskins;

This letter is to inform you that this committee failed to file its' D-1 Statement of Organization during the requisite 10-day filing period.

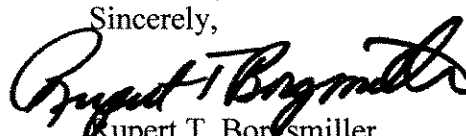
Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-3 of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on March 19, 2007, 13 day(s) late. As such, this committee has been assessed a fine of \$325.00.

Enclosed please find Section 10 ILCS 5/9-3 of the Election Code and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. Notice of Appeal must be filed within 30 days of the mailing of this assessment notice. If you fail to file a Notice of Appeal within this 30 day period, you forfeit the right to contest this assessment.

If the above listed violation is not appealed and since this is a subsequent violation the previously stayed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

| Reporting Period            | Report Type  | Previous Fine Amount |
|-----------------------------|--------------|----------------------|
| 1/29/2007 - 3/18/2007       | Pre-election | \$200.00             |
| <b>TOTAL AMOUNT NOW DUE</b> |              | <b>\$525.00</b>      |

If you have questions regarding the appeal process, please call Sue McArthur at 217/782-1543.

Sincerely,  
  
Rupert T. Borgsmiller  
Director, Division of Campaign Disclosure

RTB:sm  
cc: Officer(s), Candidate(s)

State of Illinois )

County of COOK )

STATE BOARD OF ELECTIONS  
07 JUL 26 PM 3:07

BEFORE THE STATE BOARD OF ELECTIONS  
OF THE STATE OF ILLINOIS

IN THE MATTER OF; )

ILLINOIS STATE BOARD OF ELECTIONS, )

Complainant )

Vs. )

CITIZENS FOR POLY HOSKINS )

Respondent(s). )

Case No. 01 D1-12

APPEAL AFFIDAVIT

I, Rosemary KRIEGER, the Treasurer of the  
(Name) (Chairman/Treasurer)

CITIZENS FOR POLY HOSKINS

(Name of the Committee)

Committee, first being duly sworn deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

THIS WAS MY FIRST ATTEMPT AT MANAGING A CAMPAIGN. DUE  
TO MY JOB, I TRAVELED AND THE FORMS CAME WHILE I WAS  
OUT OF TOWN AND COULD NOT FILE THEM, WHICH I DID AT ONCE  
WHEN I RETURNED TO CHICAGO. WE ARE A SMALL COMMITTEE,

FIRST TIME, AND OUR FUNDS ARE NOT EVEN AVAILABLE TO GIVE  
AT THIS TIME. YOU CAN SEE THAT I HAVE ALREADY FILED MY

Signed and Sworn to by:

Rosemary Krieger  
before me this 24 Day of

July, 2007

Rosemary Conway  
Notary Public

(Signature of Chairman/Treasurer)



SEM)-ANNUAL REPORT IN A TIMELY MANNER  
AND WILL CONTINUE TO DO SO IN THE FUTURE -  
WE WOULD APPRECIATE YOUR REVERSING THIS  
PENALTY AS NOTHING WAS DONE WITH MALICE,  
JUST IGNORANCE BY A FIRST-TIME CAMPAIGN  
MANAGER.

Thank You

Joerny King

**STATE OF ILLINOIS  
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS**

Illinois State Board of Elections

**Complainant**

Vs.

**07 D1 16**

Marie Slater Campaign '07

L 14177

**Respondent**

**REPORT OF HEARING EXAMINER**

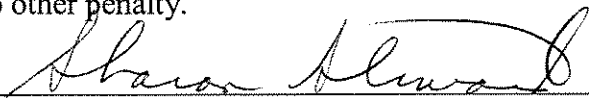
Appeal of Civil Penalty Assessment  
For Delinquently Filing a D-1 Statement of Organization

This D-1 Statement of Organization was received April 4, 2007, 7 days late, resulting in a civil penalty assessment of \$175.

Marie Slater, the Chairman of the Committee, filed a Waiver of Appearance and submitted on the Appeal Affidavit the following:

On the Affidavit, Ms. Slater stated that the D-1 Statement of Organization was signed and mailed 3/29/07 – 10 days after committee formation on 3/19/07 (8 working days). A copy was sent to DuPage Election Commission as well as the State Board of Elections. There was no opportunity to fax or e-mail. Therefore, U.S. Mail was the only means of forwarding documents and delivery was not expedient.

Section 5/9-3 of the Campaign Disclosure Act reads in part: "...every local political committee shall file with the State Board of Elections...a statement of organization within 10 business days of the creation of such committee, except any political committee created within the 30 days before an election shall file a statement of organization within 5 business days..." This committee was created on 3/19/07, within the 30 days prior to the election, and therefore, the D-1 should have been filed within 5 business days of the date of creation. The D-1 was not only not filed within the 5 business day window but, according to Ms. Slater's Affidavit, it was not even mailed until 8 business days after the date of creation. I therefore recommend that the appeal be denied. As a first violation, the penalty is stayed. Noting that the Committee filed a Final Report on May 11, 2007, I further recommend that during the two year period beginning with the Final Board Order, any successor committee be considered as never having violated the law, if it is assessed no other penalty.

  
\_\_\_\_\_  
Sharon Steward – Hearing Officer  
July 30, 2007

STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187  
Springfield, Illinois 62708  
217/782-4141  
Fax: 217/782-5959

James R. Thompson Center  
100 West Randolph, Suite 14-100  
Chicago Illinois 60601  
312/814-6440  
Fax: 312/814-6485



EXECUTIVE DIRECTOR  
Daniel W. White  
July 9, 2007

BOARD MEMBERS  
Jesse Smart, Chairman  
Wanda Rednour, Vice Chairman  
Patrick Brady  
John Keith  
William McGuffage  
Albert Porter  
Bryan Schneider  
Robert Walters

Marie Slater Campaign '07  
Marie Slater  
415 Hevern Dr  
Wheaton, IL 60187

L14177

Dear Marie Slater Campaign '07;

This letter is to inform you that this committee failed to file its' D-1 Statement of Organization during the requisite 10-day filing period.

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-3 of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on April 4, 2007, 7 day(s) late. As such, this committee has been assessed a fine of \$175.00.

Enclosed please find Section 10 ILCS 5/9-3 of the Election Code and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. Notice of Appeal must be filed within 30 days of the mailing of this assessment notice. If you fail to file a Notice of Appeal within this 30 day period, you forfeit the right to contest this assessment.

Since this is a first time violation, the assessed civil penalty will be *stayed*. Please be advised that subsequent violation of any provision of Article 9 of the Election Code or of a Board Order shall result in the assessment of an additional penalty as provided in Section 125.425 of the Rules and Regulations governing the State Board of Elections.

If you have questions regarding the appeal process, please call Sue McArthur at 217/782-1543.

Sincerely,

Rupert T. Borgsmiller  
Director, Division of Campaign Disclosure

RTB:sm  
cc: Officer(s), Candidate(s)  
Enclosure(s): appeal packet



State of Illinois )  
County of Du Page )

BEFORE THE STATE BOARD OF ELECTIONS  
OF THE STATE OF ILLINOIS

IN THE MATTER OF; )  
ILLINOIS STATE BOARD OF ELECTIONS, )  
Complainant )  
Vs. )  
Marie Slater )  
Respondent(s). )

Case No. 01 D1-116

APPEAL AFFIDAVIT

I, Marie Slater, the Chairman of the  
(Name) (Chairman/Treasurer)  
Marie Slater Campaign '07  
(Name of the Committee)

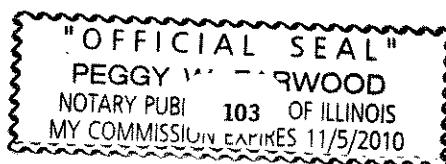
Committee, first being duly sworn deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

D-1 Statement of Organization was signed  
and mailed 3/29/07 - 10 days after committee formation  
on 3/19/07 (8 working days). A copy was sent to DuPage  
Election Commission as well as State Bd. of Elections. There was  
no opportunity to Fax or email. Therefore, U.S. Mail was only  
means of forwarding documents and delivery was not expedient.

Signed and Sworn to by:

Marie Slater  
before me this 18 Day of  
July, 2007  
Peggy W. Garwood  
Notary Public

Marie Slater  
(Signature of Chairman/Treasurer)



**STATE OF ILLINOIS  
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS**

Illinois State Board of Elections  
**Complainant**

Vs.

**07 D1 17**

Citizens Action Team  
**Respondent**

L 14185

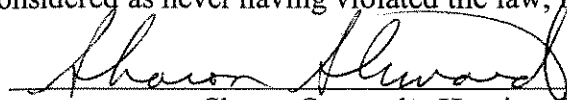
**REPORT OF HEARING EXAMINER**  
Appeal of Civil Penalty Assessment  
For Delinquently Filing a D-1 Statement of Organization

The D-1 Statement of Organization was received on April 5, 2007, 3 days late, resulting in a civil penalty assessment of \$75.

Robert Scheidt, the Treasurer of the Committee, filed a Waiver of Appearance and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Scheidt stated that the Committee filed the D-1 form on April 1, 2007, after they reached the \$3000 threshold in donations on April 1, 2007. The date on the D-1 form was November 16, 2006, which was the date they organized and created the party name, "Citizen's Action Team". This date should have been April 1, 2007, when they reached the \$3000 threshold. The Committee filed an amended D-1 with the correct date of April 1, 2007, which was received in the Springfield office of the Board on June 7, 2007.

Board staff reviewed the Final Report filed by the Committee on June 7, 2007 and determined that the actual Date of Creation for this Committee was March 26, 2007, not April 1, 2007, as indicated on the amended D-1. Upon review, I concur with that assessment. The committee expended in excess of \$3000 as of March 26, 2007. Therefore, I recommend that the appeal be denied, as the Committee did not file its D-1 Statement of Organization within 5 business days of that date. The 5 business day deadline was in effect because this occurred within the 30 days prior to the election for which this Committee was formed to support candidates on the ballot. Section 5/9-3 of the Campaign Disclosure Act reads in part: "...every local political committee shall file...a statement of organization within 10 business days of the creation of such committee, except any political committee created within the 30 days before an election shall file a statement of organization within 5 business days..." As a first violation, the penalty is stayed. Noting that the Committee filed a Final Report on June 7, 2007, I further recommend that during the two year period beginning with the Final Board Order, any successor committee be considered as never having violated the law, if it is assessed no other penalty.

  
\_\_\_\_\_  
Sharon Steward – Hearing Officer  
July 31, 2007



FORM

D-2

REPORT OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES  
(CHECK APPROPRIATE BOXES) (PLEASE TYPE OR PRINT IN BLACK INK)

- ☐ Pre-Election Report - Election Year  
☐ Semi-Annual Report  
☒ Non-Participation - Election Date APRIL 17, 2007  
☐ Final Report  
☐ Amendment of Report Indicated Above

FOR OFFICE USE ONLY

07 MAY -7 AM 10:29

Full name and complete mailing address of Political Committee:

CITIZENS ACTION TEAM  
1004 ROCKISLAND  
ROCKDALE, IL 60436

POLITICAL COMMITTEE

L14185-12

☐ CHECK IF ADDRESS CHANGE

IDENTIFICATION NO.

|  |   |  |  |
|--|---|--|--|
| REPORTING PERIOD<br>APRIL 1, MAY 2<br>2007 2007<br>FROM THRU | CASH AVAILABLE AT THE<br>BEGINNING OF THE<br>REPORTING PERIOD.<br>\$ 114.09 | STATE POLITICAL COMMITTEES<br>RETURN TO:<br>STATE BOARD OF ELECTIONS<br>PO BOX 4187<br>SPRINGFIELD IL 62708-4187 | LOCAL POLITICAL COMMITTEES AND<br>STATE AND LOCAL POLITICAL<br>COMMITTEES RETURN ORIGINAL TO:<br>STATE BOARD OF ELECTIONS<br>AND A COPY TO EACH APPROPRIATE<br>COUNTY CLERK. |
|--|---|--|--|

SEE PAMPHLET "A GUIDE TO CAMPAIGN DISCLOSURE" FOR GUIDANCE.

COMPLETE 1-7 FOR PRE-ELECTION REPORTS.

COMPLETE ALL SECTIONS FOR  
SEMI-ANNUAL AND FINAL REPORTS.

## SECTION A - RECEIPTS

1. Individual Contributions:
- a. Itemized (from Schedule A) \$ 2845.00 (1a)
- b. Not-Itemized \$ 1240.00 (1b)
2. Transfers In
- a. Itemized (from Schedule A) \$ (2a)
- b. Not-Itemized \$ (2b)
3. Loans Received
- a. Itemized (from Schedule A) \$ (3a)
- b. Not-Itemized \$ (3b)
4. Other Receipts:
- a. Itemized (from Schedule A) \$ (4a)
- b. Not-Itemized \$ (4b)
- TOTAL RECEIPTS (1-4) \$
- \*\*\*\*\*
5. In-Kind Contributions
- a. Itemized (from Schedule I) \$ (5a)
- b. Not-Itemized \$ (5b)
- TOTAL IN-KIND \$

## SECTION B - EXPENDITURES

6. Transfers Out
- a. Itemized (from Schedule B) \$ (6a)
- b. Not-Itemized \$ (6b)
7. Loans made
- a. Itemized (from Schedule B) \$ (7a)
- b. Not-Itemized \$ (7b)
8. Expenditures
- Itemized (from Schedule B) \$ 4085.00 (8a)
- Not-Itemized \$ (8b)
- TOTAL EXPENDITURES (6-8) \$ 4085.00

## SECTION C - DEBTS AND OBLIGATIONS

(Include previously reported unpaid debts)

9. a. Itemized (from Schedule C) \$ (9a)
- b. Not-Itemized \$ (9b)
- TOTAL DEBTS & OBLIGATIONS \$

## SECTION D - CASH BALANCE

- Funds available at the beginning  
of the reporting period: \$ (A)
- Total Receipts (Section A) \$ (B)
- Subtotal \$ (C)
- Total Expenditures (Section B) \$ (D)
- Funds available at the close of  
the reporting period: \$ (E)
- \*\*\*\*\*
- INVESTMENT TOTAL \$ (F)

## VERIFICATION

I DECLARE THAT THIS REPORT OF CAMPAIGN CONTRIBUTIONS OR THIS SEMI-ANNUAL REPORT OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES (INCLUDING ACCOMPANYING SCHEDULES AND STATEMENTS) HAS BEEN EXAMINED BY ME AND TO THE BEST OF MY KNOWLEDGE AND BELIEF IS A TRUE, CORRECT AND COMPLETE REPORT AS REQUIRED BY ARTICLE 9 OF THE ELECTION CODE. I UNDERSTAND THAT WILLFULLY FILING A FALSE OR INCOMPLETE REPORT IS A BUSINESS OFFENSE SUBJECT TO A FINE OF UP TO \$5000.

*Robert Schult*  
SIGNATURE OF TREASURER OR CANDIDATE

105

May 2, 2007  
DATE  
(THIS FORM MAY BE REPRODUCED)

NAME OF POLITICAL COMMITTEE:

REPORTING PERIOD

JAN 1, MAY 2  
2007 2007  
FROM THRU

FOR OFFICE USE ONLY

## SCHEDULE A

INDICATE THE PART OF FORM D-2 BEING ITEMIZED

☒ PART 1- INDIVIDUAL CONTRIBUTIONS  
INCLUDING TICKETS AND RAFFLE SALES

☐ PART 3- LOANS RECEIVED  
INCLUDING ENDORSER

☐ PART 2- TRANSFERS IN  
POLITICAL COMMITTEE CONTRIBUTIONS  
INCLUDING TICKET AND RAFFLE SALES

☐ PART 4- OTHER RECEIPTS

POLITICAL COMMITTEE

IDENTIFICATION NO.

L14185 12

SEE PAMPHLET "A GUIDE TO CAMPAIGN DISCLOSURE" FOR GUIDANCE.

| ITEMIZED RECEIPTS<br>FULL NAME, MAILING ADDRESS, AND ZIP CODE           | DATE<br>RECEIVED | AMOUNT OF EACH RECEIPT                        | AGGREGATE AMOUNT FOR THIS<br>REPORTING PERIOD |
|---|------------------|---|---|
| Rogina + Associates<br>93 CATERPILLAR DR.<br>JOLIET IL. 60436           | 2/22/07          | \$ 500.00<br>EMPLOYER:<br>ROGINA + ASSOCIATES | \$ 500.00<br>OCCUPATION:<br>ENGINEERS         |
| COLLISION REVISION<br>901 S. LARKIN<br>JOLIET, IL. 60436                | 3/9/07           | \$ 500.00<br>EMPLOYER:<br>COLLISION REVISION  | 500.00<br>OCCUPATION:<br>BODY SHOP            |
| KLGINHOFFER MANUFACTURING<br>1852 TERRY DR.<br>JOLIET, IL. 60436        | 3/9/07           | \$ 100.00<br>EMPLOYER:<br>SELF                | 100.00<br>OCCUPATION:<br>AUTO REPAIR          |
| PLUMBERS + PIPEFITTERS<br>2114 S. I-80 FRONTAGE RD<br>JOLIET, IL. 60436 | 3/12/07          | 600.00<br>EMPLOYER:<br>LOCAL 422              | 600.00<br>OCCUPATION:<br>PIPEFITTERS          |
| BEK MANAGEMENT INC.<br>2134 MAXIM DR.<br>ROCKDALE, IL. 60436-9008       | 3/19/07          | 500.00<br>EMPLOYER:<br>BEK MANAGEMENT         | 500.00<br>OCCUPATION:<br>LAND DEVELOPERS      |
| LAW OFFICE OF DON GOULD<br>307 MOEN AVE<br>ROCKDALE ILL. 60436          | 3/26/07          | 50.00<br>EMPLOYER:<br>SELF                    | 50.00<br>OCCUPATION:<br>LAWYER                |
| Sam Andreano  | 3/27/07          | 25.00<br>EMPLOYER:<br>SELF                    | 25.00<br>OCCUPATION:<br>LAWYER                |
| EUGENE TRACY LORENCO<br>1220 BELLEVUE<br>ROCKDALE, ILL. 60436-2502      | 3/27/07          | 50.00<br>EMPLOYER:<br>COMED                   | 50.00<br>OCCUPATION:<br>TECHNICIAN            |

USE SEPARATE PAGE(S) FOR EACH NUMBERED PART

TOTAL THIS PERIOD \$ 2,325.00  
(LAST PAGE OF THIS PART ONLY)

(THIS FORM MAY BE REPRODUCED)  
SEE INSTRUCTIONS ON REVERSE SIDE

PAGE 1 of 2

NAME OF POLITICAL COMMITTEE:

REPORTING PERIOD

JAN 1 MAY 2  
2007 2007  
FROM THRU

FOR OFFICE USE ONLY

## SCHEDULE A

INDICATE THE PART OF FORM C-2 BEING ITEMIZED

☒ PART 1: INDIVIDUAL CONTRIBUTIONS  
INCLUDING TICKETS AND RAFFLE SALES

☐ PART 3: LOANS RECEIVED  
INCLUDING ENDORSER

☐ PART 2: TRANSFERS IN  
POLITICAL COMMITTEE CONTRIBUTIONS  
INCLUDING TICKET AND RAFFLE SALES

☐ PART 4: OTHER RECEIPTS

POLITICAL COMMITTEE

IDENTIFICATION NO.

L14185 12

SEE PAMPHLET "A GUIDE TO CAMPAIGN DISCLOSURE" FOR GUIDANCE.

| ITEMIZED RECEIPTS<br>FULL NAME, MAILING ADDRESS, AND ZIP CODE              | DATE<br>RECEIVED | AMOUNT OF EACH RECEIPT         | AGGREGATE AMOUNT FOR THIS<br>REPORTING PERIOD |
|--|------------------|--------------------------------|---|
| JOE SNETINA<br>2435 GLENWOOD AVE<br>JOLIET, ILL. 60435                     | 3/27/07          | 100.00<br>EMPLOYER:<br>SELF    | 100.00<br>OCCUPATION:<br>APPRAISER            |
| WILLIAM & CINDY WAZNIS<br>829 MEADOW AVE<br>ROCKDALE, IL. 60431            | 3/27/07          | 100.00<br>EMPLOYER:<br>COMED   | 100.00<br>OCCUPATION:                         |
| SUSAN POLESSEL<br>700 MORRIS ST.<br>ROCKDALE, IL. 60436-2460               | 3/27/07          | 20.00<br>EMPLOYER:             | 20.00<br>OCCUPATION:<br>RETIRED               |
| WORTMANN BROTHERS<br>ESCAVATING<br>721 S. RAYNOR AVE<br>JOLIET, ILL. 60436 | 3/27/07          | 100.00<br>EMPLOYER:<br>SELF    | 100.00<br>OCCUPATION:<br>ESCAVATORS           |
| MOTAGONE INC.<br>300 S. MIDLAND<br>ROCKDALE, IL. 60436-2510                | 3/27/07          | 100.00<br>EMPLOYER:<br>HICCUPS | 100.00<br>OCCUPATION:<br>MANAGER              |
| MIKE SIEVERT<br>18656 W. BROWN RD.<br>ELWOOD, IL. 60421                    | 3/27/07          | 100.00<br>EMPLOYER:            | 100.00<br>OCCUPATION:<br>MECHANIC             |
|  |                  | EMPLOYER:                      | OCCUPATION:                                   |
|  |                  | EMPLOYER:                      | OCCUPATION:                                   |

USE SEPARATE PAGE(S) FOR EACH NUMBERED PART

TOTAL THIS PERIOD \$ 520.00  
(LAST PAGE OF THIS PART ONLY)

(THIS FORM MAY BE REPRODUCED)  
SEE INSTRUCTIONS ON REVERSE SIDE

PAGE 2 of 2

NAME OF POLITICAL COMMITTEE:

CITIZENS ACTION TEAM

REPORTING PERIOD

JAN 1

MAY 2

2007

2007

FROM

THRU

FOR OFFICE USE ONLY

## SCHEDULE B

INDICATE THE PART OF FORM 0-2 BEING ITEMIZED:

☐ PART 6 TRANSFERS OUT  
EXPENDITURES TO POLITICAL  
COMMITTEES C INCLUDING TICKET &  
RAFFLE PURCHASES

PART 7. LOANS MADEPART 8. EXPENDITURES

POLITICAL COMMITTEE

IDENTIFICATION NO.

L14185 12

SEE PAMPHLET "A GUIDE TO CAMPAIGN DISCLOSURE" FOR GUIDANCE.

| ITEMIZED EXPENDITURES<br>FULL NAME, MAILING ADDRESS, AND ZIP<br>CODE | DATE OF<br>EXPENDITURE | PURPOSE                         | BENEFICIARY | AMOUNT OF EACH<br>EXPENDITURE THIS<br>REPORTING<br>PERIOD | AGGREGATE<br>AMOUNT THIS<br>REPORTING PERIOD |
|--|------------------------|---------------------------------|-------------|---|--|
| AD HAPPY<br>1104 W. JEFFERSON<br>JOLIET, IL. 60435                   | 3/20/07                | SIGNS<br>CAMPAIGN<br>MERCANDISE |             | 2108.67   |  |
| DALE AC<br>704 MOEN AVE<br>ROCKDALE, IL. 60436                       | 3/24/07                | PRE-ELECTION<br>RALLY           |             | 292.00  |  |
| MINARICH GRAPHICS<br>P.O. BOX 3636<br>JOLIET, IL 60434               | 4/17/07                | ELECTION<br>RALLY               |             | 330.00  | 622.00                                       |
| VITAL SIGNS<br>118 BUSH THORN DR.<br>ELWOOD, IL. 60421               | 3/26/07                | BROCHURES                       |             | 625.24  |  |
| FOX VALLEY<br>300 CATEPILLER DR.<br>JOLIET, IL. 60431                | 4/18/07                | SIGNS                           |             | 520.00  |  |
| JOLIET AREA HOSPICE  | 4/20/07                | ADS                             |             | 180.00  |  |
|  | 5/2/07                 | DONATION                        | HOSPICE     | 29.09   |  |
|  |                        |                                 |             |   |  |
|  |                        |                                 |             |   |  |

USE SEPARATE PAGE(S) FOR EACH NUMBERED PART

TOTAL THIS PERIOD \$ 4,085.00  
(LAST PAGE OF THIS PART ONLY)

(THIS FORM MAY BE REPRODUCED)

SEE INSTRUCTIONS ON REVERSE SIDE

PAGE 1 OF 1

STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187  
Springfield, Illinois 62708  
217/782-4141  
Fax: 217/782-5959

James R. Thompson Center  
100 West Randolph, Suite 14-100  
Chicago Illinois 60601  
312/814-6440  
Fax: 312/814-6485



EXECUTIVE DIRECTOR  
Daniel W. White  
July 9, 2007

BOARD MEMBERS  
Jesse Smart, Chairman  
Wanda Rednour, Vice Chairman  
Patrick Brady  
John Keith  
William McGuffage  
Albert Porter  
Bryan Schneider  
Robert Walters

Citizens Action Team  
Robert Scheidt  
935 Meadow Ave  
Rockdale, IL 60436

L14185

Dear Citizens Action Team;

This letter is to inform you that this committee failed to file its' D-1 Statement of Organization during the requisite 10-day filing period.

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-3 of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on April 5, 2007, 3 day(s) late. As such, this committee has been assessed a fine of \$75.00.

Enclosed please find Section 10 ILCS 5/9-3 of the Election Code and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. Notice of Appeal must be filed within 30 days of the mailing of this assessment notice. If you fail to file a Notice of Appeal within this 30 day period, you forfeit the right to contest this assessment.

Since this is a first time violation, the assessed civil penalty will be *stayed*. Please be advised that subsequent violation of any provision of Article 9 of the Election Code or of a Board Order shall result in the assessment of an additional penalty as provided in Section 125.425 of the Rules and Regulations governing the State Board of Elections.

If you have questions regarding the appeal process, please call Sue McArthur at 217/782-1543.

Sincerely,

Rupert T. Borgsmiller  
Director, Division of Campaign Disclosure

RTB:sm  
cc: Officer(s), Candidate(s)  
Enclosure(s): appeal packet

STATE BOARD OF ELECTIONS  
07 JUL 30 AM 10:50

State of Illinois )

County of Will )

BEFORE THE STATE BOARD OF ELECTIONS  
OF THE STATE OF ILLINOIS

IN THE MATTER OF; )

ILLINOIS STATE BOARD OF ELECTIONS, )

Complainant )

Vs. )

Citizen's Action Team )

Respondent(s). )

Case No. 07 D1-17

APPEAL AFFIDAVIT

I, Robert Scheidt, the Treasurer of the  
(Name) (Chairman/Treasurer)  
Citizen's Action Team  
(Name of the Committee)

Committee, first being duly sworn deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

Our committee filed the D-1 form on April 1, 2007 after we reached the \$3000.00 donations on April 1, 2007. The date on the D-1 form was Nov. 16, 2006 which was the date we organized and created the party name, "Citizen's Action Team". This Date should have been April 1, 2007 when we reached \$3000.00 threshold. We filed a D-1 amendment with the correct date of April 1, 2007. The D-1 amendment was received in the Springfield on June 7, 2007.

Signed and Sworn to by:

Richard W Baum  
Notary Public State of Illinois  
My Commission Expires 10/25/2010  
7/27/07 2007

Notary Public

Robert Scheidt  
(Signature of Chairman/Treasurer)



**STATE OF ILLINOIS  
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS**

Illinois State Board of Elections

**Complainant**

v.

**07 MA 011**

Friends of Rita Gonzalez

S 9350

**Respondent**

**REPORT OF HEARING EXAMINER**

**Appeal of Civil Penalty Assessment for Delinquently Filing a D-1 Statement of  
Organization and For Failing to File Schedule A-1s for the 2006 General Election**

This Committee filed a D-1 Statement of Organization on 1/31/07, 52 days late, resulting in a civil penalty assessment of \$1300. In addition, this Committee received three in-kind contributions, one on 11/2/06 from the DuPage County Central Committee valued at \$866.67; a second on 11/4/06 from Citizens to Elect Joe Vosicky valued at \$1018.50; and a third on 11/4/06 from Citizens to Elect Joe Vosicky valued at \$634.71. The total assessment is \$3819.88.

Carol B. Davis, the Treasurer of the Committee, filed a Waiver of Appearance and submitted on the Appeal Affidavit the following:

On the Affidavit Ms. Davis stated that they did everything humanly possible to file in good faith. A complaint had been filed against this Committee in January 2007 regarding failure to file. When they received the complaint they contacted the parties involved to try to determine what was and what was not an in-kind contribution. The DuPage Central Committee was skeptical that the group flyer in question constituted an in-kind contribution. The in-kind contributions from the Vosicky campaign were complicated by the fact that they really did not know what had been sent out. Nothing had been reviewed or approved by the Gonzales campaign and they just did not know what had been printed and distributed. They were under the impression that the newspapers were free papers with endorsements and were not aware they were being mailed out until they questioned the volunteers for the Vosicky campaign's Get-Out-the-Vote after receipt of the complaint.

According to Ms. Davis, they were finally able to get the notice from the Vosicky campaign on January 29, 2007. They got notice from the DuPage County Democrats on January 25, 2007. Ms. Davis states that she and Ms. Gonzalez are not attorneys and that both have spent much of their lives volunteering their time, money and energy to serve their communities.

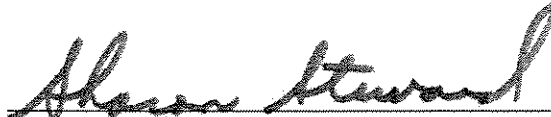
I spoke with Ms. Davis and with Ms. Gonzalez, the candidate, by phone on June 8, 2007, in an attempt to clarify the circumstances surround the receipt and notification of the in-kind contributions. The in-kind contribution from the DuPage County Central Committee was listed as having been received 11/2/06. This was a flyer that supported a total of 15 candidates, and the pro-rated value was \$866.67. Ms. Davis said she received the flyer in the mail on 11/2/06; therefore, on that date she would have known of the existence of the contribution, if not the actual value. A notification was given the Gonzalez Committee by the DuPage County Central Committee at a later date, on 1/25/07, but that notification came as a result of complaint against the committee for failure to file.

Therefore, according to Section 100.120(c), the date of receipt would have been 2 days later after Ms. Davis received the flyer in the mail, or 11/4/06.

The circumstances surrounding the in-kind contributions from Citizens to Elect Joe Vosicky were less clear cut. The Committee was aware that the candidate had been endorsed by the paper. Members of the Committee assisted with the distribution of a newspaper, but they are not totally sure whether or not that is the newspaper that was mailed, resulting in the in-kind contributions on 11/4/06 for printing and postage. There was friction between these two committees. Therefore, Gonzalez committee members knew something was being done on their candidate's behalf, but they did not know exactly what was being done or what the value was. On January 29, 2007, after numerous attempts to obtain information from the Vosicky committee, they received a fax with the value of the in-kind contribution. Again, this came as a result of the complaint filed in January 2007. According to Ms. Davis, the newspaper was mailed on 11/4/06. Therefore, the date of receipt would have been 2 days later, or 11/6/06.

In regards to the D-1 Statement of Organization, based upon cash received of \$2373.15 and \$3063.54 in in-kind contributions, the Date of Creation should have been on or about 11/2/06. Although there was confusion regarding these in-kind contributions, the candidate and/or committee members were aware at the time that these two organizations (the DuPage County Central Cmte and Citz to Elect Joe Vosicky) were making expenditures on behalf of the Rita Gonzalez campaign. Therefore, I recommend that the appeal be denied in regards to both the Schedule A-1s and the D-1 Statement of Organization.

However, since there is no indication that these violations were anything other than inadvertent and unintentional, I also recommend that the penalties for the Schedule A-1s be reduced to 10% of the original assessment or \$252. This amount of \$252 plus \$1300 for the delinquent filing of the D-1 total \$1552. In addition, noting that the report filed on June 4, 2007, was a Final Report, I further recommend that the fine be abated if the Committee remains dissolved for a period of 2 years following the date of the Final Board Order imposing the penalty.

  
Sharon Steward – Hearing Officer  
June 8, 2007

STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187  
Springfield, Illinois 62708  
217/782-4141  
Fax: 217/782-5959

James R. Thompson Center  
100 West Randolph, Suite 14-100  
Chicago Illinois 60601  
312/814-6440  
Fax: 312/814-6485



BOARD MEMBERS  
Jesse Smart, Chairman  
Wanda Rednour, Vice Chairman  
Patrick Brady  
John Keith  
William McGuffage  
Albert Porter  
Bryan Schneider  
Robert Walters

EXECUTIVE DIRECTOR  
Daniel W. White  
April 30, 2007

Friends of Rita Gonzalez  
Rita Gonzalez  
534 Valerie Ln #6  
Addison, IL 60101

\$9350

Dear Friends of Rita Gonzalez;

This letter is to inform you that this committee failed to file its' D-1 Statement of Organization during the requisite 10-day filing period.

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-3 of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on January 31, 2007, 52 day(s) late. As such, this committee has been assessed a fine of \$1300.00.

In addition, this committee failed to file the following documents during the requisite filing period:

This committee has failed to file the following Schedule A-1's, Report of Campaign contributions of more than \$500.00, within 2 business days of receipt as required by the Illinois Campaign Disclosure Act:

| <u>Contributed By</u>         | <u>Date of Contribution</u> | <u>Fine Assessed</u> | <u>Amount of Contribution</u> | <u>Date A-1 Received</u> | <u>Days Late</u> |
|-------------------------------|-----------------------------|----------------------|-------------------------------|--------------------------|------------------|
| DuPage County Central Cmte    | 11/2/06                     | \$866.67             | \$866.67                      | *not filed               | 1                |
| Citizens to Elect Joe Vosicky | 11/4/06                     | \$1018.50            | \$1018.50                     | *not filed               | 1                |
| Citizens to Elect Joe Vosicky | 11/4/06                     | \$634.71             | \$634.71                      | *not filed               | 1                |

The committee is fined a **total** of \$2519.88 for delinquently filing Schedule A-1 reports as required by the Illinois Campaign Disclosure Act. This total **does not** reflect any previously assessed fines.

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b-5) of the Election Code, the Board may impose fines for violations not to exceed 100% of the total amount of the contributions that were untimely reported, but in no case when a fine is imposed shall it be less than 10% of the total amount of the contributions that were untimely reported.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$252.00, (10% of the total amount reflected above) if you do not choose to file an appeal under the Board's present policy. The total amount due will be imposed with the issuance of a Final Board Order after the 30-day appeal period had expired. This amount must be paid (including any previously assessed fines), within 30 days of the issuance of the Order.

Enclosed please find Section 10 ILCS 5/9-3 of the Election Code and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. Notice of Appeal must be filed within 30 days of the mailing of this assessment notice. If you fail to file a Notice of Appeal within this 30 day period, you forfeit the right to contest this assessment.

If you have questions regarding the appeal process, please call Sue McArthur at 217/782-1543.

Sincerely,



Rupert T. Borgsmiller

Director, Division of Campaign Disclosure

RTB:sm

Enclosure(s): appeal packet

\* This contribution was reported on the December 2006 Semi-Annual Report but should have been reported on a Schedule A-1 Report of Campaign Contributions of more than \$500.00, within 2 business days of receipt.

State of Illinois )

County of DuPage )

BEFORE THE STATE BOARD OF ELECTIONS  
OF THE STATE OF ILLINOIS

STATE BOARD OF ELECTIONS  
07 MAY 29 AM 10:06

IN THE MATTER OF; )

ILLINOIS STATE BOARD OF ELECTIONS, )

Complainant )

Vs. )

Case No. 07 MA011

Friends of Rita Gonzalez )  
Respondent(s). )

APPEAL AFFIDAVIT

I, Carol B Davis, the Treasurer of the  
(Name) (Chairman/Treasurer)  
Friends of Rita Gonzalez  
(Name of the Committee)

Committee, first being duly sworn deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

Please be advised that Rich Means  
is not our attorney. We are filing  
our appeal without benefit of counsel.  
Due to a misunderstanding, an appeal

has been filed on the 25<sup>th</sup> of May. Please disregard  
that appeal. Our appeal is attached.

Signed and Sworn to by:

Carol B Davis

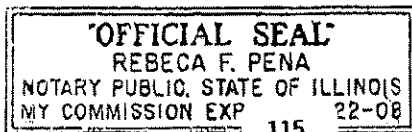
before me this 27<sup>th</sup> Day of

May 2007

Notary Public

Carol B Davis

(Signature of Chairman/Treasurer)



We did everything humanely possible to file in good faith as early as possible including hiring an attorney to assist us. We made mistakes but I believe we have more than paid for them in the nightmare that has ensued not to mention the burden of legal fees.

To the best of our knowledge until we received the complaint our campaign was well under the \$3,000 threshold required to file as a committee.

When we received the complaint we contacted the parties involved to try to determine its basis. The DuPage Central Committee was skeptical that the group flyer in question constituted an in-kind contribution. After consultation with a lawyer the flyer's status was verified, we advised the Central Committee and received the enclosed disclosure on January 25, 2005.

The in-kind contributions from the Vosicky campaign were complicated by the fact that we really did not know what had been sent out. Nothing had been reviewed or approved by us and we just did not know what had been printed and distributed. We were under the impression that the newspapers were free papers with endorsements and were not aware they were being mailed out until we questioned the volunteers for the Vosicky campaign's Get Out the Vote after the complaint was received.

By this time the election was long past and the Vosicky campaign had difficulty separating the general Get Out the Vote effort from the campaign's Hispanic drive which included the in-kinds for Rita. We received the enclosed disclosure on 1/29/07 after great effort and frustration on our part. Upon its receipt we filed within two days on January 31, 2007.

Upon advice of our attorney who reviewed the filing with us, we accepted responsibility for the in-kind contribution dated in November because although we were not aware they existed or constituted in-kind contributions in the lawyer's opinion we should have been aware of this.

I did not file Schedule A-1 reports because I did not know this was required. The filing had been approved by a lawyer who did not mention the need to report Schedule A1's. I had not thought it was not necessary due to the in-kind status of the qualifying donations and the late date of the filing. There was no attempt to deceive.

We simply could not file until we knew what to file. That required disclosures. Even when we realized there were in-kind contributions it took us far longer than 48 hours to determine what the contributions were and obtain the information necessary to disclose.

Rita and I are not lawyers. We are grandmothers who have spent much of our lives volunteering our time, money and energy to serve our communities. Our recent election efforts were my first attempt as a treasurer in a campaign and Rita's first attempt as a candidate (other than committeewomen) in over ten years. Neither of us have ever been

in violation of the Board of Elections, nor been responsible for a campaign that could remotely qualify as a political committee.

We ask that you stay our fines. If you require any documentation to substantiate our filing please advise us.

*Carol B. Davis*

Carol B Davis – Treasurer, Friends of Rita Gonzalez

Description:  
Campaign Brochure

If you did not file with the State Board of Elections because you did not reach the \$3000 threshold that would require you to file, then you can ignore this information, UNLESS, this amount would put you over the \$3000 threshold. In that case you would be required to file D1 and D2 forms.

Please feel free to contact me with any questions or concerns you may have. Sorry for not finding this out until this late date.

Regards,

Bob Jeffers

Treasurer  
Home Phone: 630-655-9191  
Office Phone: 312-230-5341

Delete Reply Forward Spam Move...

Previous | Next | Back to Messages

Save Message Text | Full Headers

Check Mail

Compose

Search Mail

Search the Web

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Welcome, cdavis3183@sbcglo...  
[Sign Out, My Account]

Mail Home Tutorials Help

Via | Addresses | Calendar | Notepad

Mail For Mobile - Mail Upgrades - Options

Check Mail

Compose

Search Mail

Search the Web

Folders [Add - Edit]

Previous | Next | Back to Messages

Inbox (449)

Draft

Sent

Bulk (467) [Empty]

Trash [Empty]

My Folders [Hide]

ACLU

Bensenville

Clinton event

Dawn

Diet Plan

Election Kerry

House parties -...

Naim Monsour

education

social action

Search Shortcuts

My Photos

My Attachments

Delete | Reply | Forward | Spam | Move...

This message is not flagged. [ Flag Message - Mark as Unread ]

Printable View

Subject: IMPORTANT: In-Kind Contribution

Date: Thu, 25 Jan 2007 12:49:40 -0500

From: "JEFFERS, ROBERT H. JR. (BOB), 02223186" <rjeffers@att.com> [View Contact Details]  
Add Mobile Alert

To: gabigay12@aol.com, baileyd@ameritech.net, bchaplin03@comcast.net, cdavis3183@sbcglobal.net, crashfc@aol.com, dirkenger@mshv.org, hanlon42nd@gmail.com, Kim@KimSavage.org, "lynne presley" <demdupage@yahoo.com>, miamigarita@yahoo.com, richdunn@ameritech.net, rob@votebisceglie.com, "Robert Peickert" <bobpeickert@msn.com>, tgrimston@ameritech.net, vosickyjr@aol.com

By now I think all of you are aware that we have just recently discovered that the brochure that the County Party produced and sent out throughout the county supporting all of the Democratic Candidates has been determined to be an In-Kind contribution for Illinois Board of Election reporting.

I spoke to a number of you last evening at the meeting about this but want to send this email as an official notification of the In-Kind contribution that the DuPage County Democratic Central Committee made to your individual campaign committees. Should there be any question about A-1 form filings, use this as your notification date.

The following is the information you will need to fill in the In-Kind Form of your D2 Report.

Contributor:  
DuPage County Democratic Central Committee  
123 The Lane Hinsdale, IL 60521

Vendor Paid:  
M&R Strategic Services  
2120 L Street NW Washington, DC 20037

Date Received:  
10/15/06

Amount:  
\$867.00

Law Offices

**Joseph F. Vosicky, Jr.**

53 WEST JACKSON BLVD., SUITE 1025

CHICAGO, ILLINOIS 60604

(312) 332-0116

FAX (312) 939-4714

**FACSIMILE TRANSMITTAL SHEET**DATE: 1/29/07TO: CAROL DAVIS 630-832-5312FROM: JOE VOSICKYRE: RITA (FRIENDS OF RITA GONZALEZ) - BOB - JOE

Carol at bottom of left page - shown A + B  
related to in kind contributions from CITIZENS to  
EVER JOE VOSICKY to RITA + BOB's companies.

Please call to confirm.

Thanks.

JFV

Total Number of Pages (including this cover sheet): 2

Please contact our office immediately if there are any problems with this transmission.  
 Thank you!

NOTE: The information in this transmission may be attorney/client privileged and confidential. The information is intended only for the use of the individual or entity named above. If the reader of this message is not the intended recipient, you are hereby notified that any dissemination, distribution or copy of this communication is strictly prohibited. If you receive this transmission in error, or if you are not the individual or entity named above, the receipt of this transmission is not intended to and does not waive any privilege, attorney/client or otherwise. If you have received this communication in error, please notify us by telephone, collect, and return the original message to us at the above address via U.S. Postal Service. You will be reimbursed for any postage or any other expenses associated with the return of this document. Thank you.

IN KIND TRANSFERS

CITIZENS TO ELUST BOB PECKERT

448 LARCH

ELMHURST, IL 60126

10/31/06

OUT OF CHECK #65 TO ODED LOPEZ

\* 2750 TO PAINT \*  
"THANK YOU USA"

1,018.50 TO BOB

1,018.50 TO RITA

713.00 NET TO JOE (me)

2,750.00

11/25/06

OUT OF POSTAGE ~~WAS~~ <sup>WAS</sup> INCLUDED IN REFUND TO ANY TOUCHDOWN WAS TO PAID THIS ISSUE: \* 1,752.60, \* 1,715.60

634.71 TO BOB

634.71 TO RITA

444.18 NET TO JOE (me)  
1,713.60

FRIENDS OF RITA GONZALEZ

534 VALERIE LN

ADDISON, IL 60101

ON 11/5/06 I PAID ISRAEL CALDERA → \$ 439.00 RUNNER TO  
635 N. 2ND AVE GET OUT THE  
VILLA PARK, IL 60181 VOTE

\$ 20 TO BOB

\$ 20 TO RITA

"THANK YOU, USA!"

PRINTING

BOB - 1,018.50

RITA 1,018.50

POSTAGE

BOB - 634.71

634.71

RUNNER

20.00

20.00

(B)

"BUSINESS" OUT OF CHECK #655 TO Citizens Defenders 11/1/06 \* 605  
FOR \* 1,300 -

PRINTING

BOB

433.34

433.34

**STATE OF ILLINOIS  
COUNTY OF COOK**

**BEFORE THE STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS**

Illinois State Board of Elections

**Complainant**

v.

**07 MA 012**

Citizens to Elect Bob Peickert

S-9352

**Respondent**

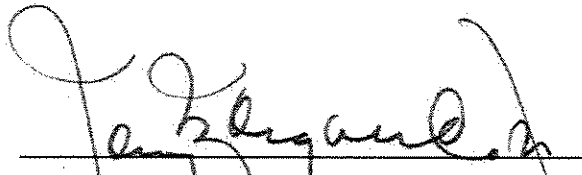
**REPORT OF HEARING EXAMINER**

Appeal of Civil Penalty Assessment for the Failure to File a Statement of Organization during the requisite ten (10) day filing period. Such report was received on January 31<sup>st</sup>, 2007, 65 day(s) late, resulting in an assessed fine of \$1,625.00; failure to file in a timely fashion the Pre-Election Report for the November 7<sup>th</sup>, 2006 General Election, filed on January 31<sup>st</sup>, 2007, 11 day(s) late, resulting in an assessed fine of \$1,100.00, and the failure of the political committee to file required Schedule A-1 reports indicating receipt of contributions from the DuPage County Democratic Central Committee, dated 11/2/06, in the amount of \$866.67 and from the Citizens to Elect Joe Vosicky dated 11/4/07, in the amounts of \$1,018.50 and \$634.71. The political committee has been assessed a total civil penalty of \$5,244.88.

Mr. Robert Peickert, Candidate/Chairman/Treasurer, filed a Request for Hearing and Appeal Affidavit, on May 25<sup>th</sup>, 2007, prepared by Mr. Richard Means, counsel, indicating generally; (1) "the amounts assessed are excessive because Respondent's violations were inadvertent, unintentional and, at worst, negligent"; (2) "Respondent mistakenly believed that the United State Supreme Court ruling in Buckley v. Valeo made him exempt from the Illinois campaign finance reporting"; (3) "Respondent mistakenly believed that funds he spent on his own behalf and printing done by his solely-owned printing company did not need to be reported"; (4) Respondent Candidate did not know and understand that he was required to report expenditures as in-kind contributions"; (5) "after the closed preliminary hearing, the Respondent, through his attorney, filed an appearance and forthrightly admitted that the campaign expenditures controlled by Respondent's committee plus the expenditures made (and reported by) others did put his committee over the reporting threshold"; (6) that "on January 31, 2007 Respondent late filed a D-1 Statement of Organization and timely filed a D-2 Semi Annual Report of Campaign Contributions and Expenditures"; (7) "that the Respondent was in technical violation of failing to report 3 contributions in excess of \$500 in cash or value on forms A-1", and (8) Whatever amount the Board chooses to assess should have enforcement and collection stayed pending the Committee's compliance with Campaign Finance Act for a period of two years because all of the violations upon which assessments were made should be considered collectively as a first offense pursuant to Section 125.425(h) of the Boards Rules and Regulations. This case is factually similar to and therefore should be held to the same remedy as this Board applied to the civil penalty assessment appeals in State Board v. Chicagoland Apartment Association PAC, 06 MA 001 and State Board v. Black Legislative Lobby, 06 MA 002".

Hearing in this matter was initially set for Wednesday, June 13<sup>th</sup>, 2007, to be conducted at the Chicago Board office, 100 W. Randolph, 14-100, at 11:00 a.m. Mr. Peickert and the Hearing Examiner spoke telephonically on Wednesday, June 13<sup>th</sup>, 2007 and agreed to continue their discussion to Tuesday, June 19<sup>th</sup>, 2007. The political committee did file a Final Report on June 12<sup>th</sup>, 2007, terminating their campaign activity.

Based upon a review of the documents/statements submitted in this matter, and the filing of a Final Report, it is the recommendation of the Hearing Examiner that (a) the appeal be denied, (b) as a first time Schedule A-1 offense the original assessed penalty be reduced to 10% or \$252.00, and, (c) the assessed civil penalty of \$2,977.00 be abated if the Committee remains dissolved for a period of two (2) years following the date of the Final Order of the Board imposing the penalty.



---

Tony Morgando Jr. - Hearing Examiner  
July 2<sup>nd</sup>, 2007

STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187  
Springfield, Illinois 62708  
217/782-4141  
Fax: 217/782-5959

James R. Thompson Center  
100 West Randolph, Suite 14-100  
Chicago Illinois 60601  
312/814-6440  
Fax: 312/814-6485



BOARD MEMBERS  
Jesse Smart, Chairman  
Wanda Rednour, Vice Chairman  
Patrick Brady  
John Keith  
William McGuffage  
Albert Porter  
Bryan Schneider  
Robert Walters

EXECUTIVE DIRECTOR  
Daniel W. White  
April 30, 2007

Citizens to Elect Bob Peickert  
Bob Peickert  
448 Larch Ave.  
Elmhurst, IL 60126

S9352

Dear Citizens to Elect Bob Peickert;

This letter is to inform you that this committee failed to file its' D-1 Statement of Organization during the requisite 10-day filing period.

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-3 of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on January 31, 2007, 65 day(s) late. As such, this committee has been assessed a fine of \$1625.00.

In addition, this committee failed to file the following documents during the requisite filing period:

|                |   |
|----------------|---|
| Report Type:   | Pre-Election Report of Campaign Contribution and Expenditures |
| Report Period: | July 1, 2006 through October 8, 2006                          |
| Filing Period: | October 10, 2006 through October 23, 2006                     |

Based upon this committee's failure to comply with the provision of 10 ILCS 5-9-10 of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on January 31, 2006, 11 day(s) late. As such, this committee has been assessed a fine of \$1100.00.

In addition, this committee failed to file the following documents during the requisite filing period:

This committee has failed to file the following Schedule A-1's, Report of Campaign contributions of more than \$500.00, within 2 business days of receipt as required by the Illinois Campaign Disclosure Act:

| <u>Contributed By</u>           | <u>Date of Contribution</u> | <u>Fine Assessed</u> | <u>Amount of Contribution</u> | <u>Date A-1 Received</u> | <u>Days Late</u> |
|---------------------------------|-----------------------------|----------------------|-------------------------------|--------------------------|------------------|
| DuPage County Dem. Central Cmte | 11/2/06                     | \$866.67             | \$866.67                      | *not filed               | 1                |
| Citizens to Elect Joe Vosicky   | 11/4/06                     | \$1018.50            | \$1018.50                     | *not filed               | 1                |
| Citizens to Elect Joe Vosicky   | 11/4/06                     | \$634.71             | \$634.71                      | *not filed               | 1                |

The committee is fined a **total** of \$2519.88 for delinquently filing Schedule A-1 reports as required by the Illinois Campaign Disclosure Act. This total **does not** reflect any previously assessed fines.

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b-5) of the Election Code, the Board may impose fines for violations not to exceed 100% of the total amount of the contributions that were untimely reported, but in no case when a fine is imposed shall it be less than 10% of the total amount of the contributions that were untimely reported.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$252.00, (10% of the total amount reflected above) if you do not choose to file an appeal under the Board's present policy. The total amount due will be imposed with the issuance of a Final Board Order after the 30-day appeal period had expired. This amount must be paid (including any previously assessed fines), within 30 days of the issuance of the Order.

Enclosed please find Section 10 ILCS 5/9-3 of the Election Code and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. Notice of Appeal must be filed within 30 days of the mailing of this assessment notice. If you fail to file a Notice of Appeal within this 30 day period, you forfeit the right to contest this assessment.

If you have questions regarding the appeal process, please call Sue McArthur at 217/782-1543.

Sincerely,



Rupert T. Borgsmiller  
Director, Division of Campaign Disclosure

RTB:sm

Enclosure(s): appeal packet

\* This contribution was reported on the December 2006 Semi-Annual Report but should have been reported on a Schedule A-1 Report of Campaign Contributions of more than \$500.00, within 2 business days of receipt.

**BEFORE THE STATE BOARD OF ELECTIONS  
OF THE STATE OF ILLINOIS**

STATE BOARD OF ELECTIONS  
07 MAY 25 PM 2:39

IN THE MATTER OF:

ILLINOIS STATE BOARD OF ELECTIONS,  
Complainant

Vs.

CITIZENS TO ELECT BOB PEICKERT,  
Respondent

Case No.

07 MA 012

**CIVIL PENALTY ASSESSMENT APPEAL "AFFIDAVIT" PURSUANT TO  
SECTION 1-109 OF THE ILLINOIS CODE OF CIVIL PROCEDURE**

Pursuant to Section 125.425 (f) of the Board's Rules and Regulations, Respondent Bob Peickert, Chairman and Treasurer of Citizens to Elect Bob Peickert, by and through his attorney, Richard K. Means, hereby certifies that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

1. The amounts assessed are excessive because Respondent's violations were inadvertent, unintentional and, at worst, negligent. Specifically:
  - a. that until the filing of the complaint that brought the Respondent's violations to his attention and this Board's attention, Respondent was a without legal counsel,
  - b. that, prior to the complaint case being filed, Respondent mistakenly believed that the United States Supreme Court ruling in *Buckley v. Valeo* [424 U.S. 1, 96 S. Ct. 612 (1976)] made him exempt from Illinois campaign finance reporting because he did not intend to accept campaign contributions from others in excess of \$3000 but intended his campaign for office to be primarily self-financed. Instead, of course, *Buckley* applies only to candidates for federal office and then only makes them exempt from federal contribution limitations, to the extent that they are self-funded, and does not exempt them from campaign finance reporting,
  - c. that, prior to the complaint case being filed, Respondent mistakenly believed that funds he spent on his own behalf and printing done by his solely-owned printing company did not need to be reported as contributions or expenditures made on behalf of his candidacy,
  - d. the Respondent Candidate, himself, did not take contributions (other than from himself or his solely owned printing company) in excess of \$2595.00 or make expenditures (other than from himself or to his solely owned printing company) in excess of \$2822.63 during the entire semi-annual period. Additionally, the Respondent Candidate did not know and understand that he was required to report expenditures as in-kind contributions (and



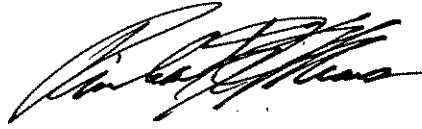
consider them in computing whether and when he reached the reporting threshold) which were made by and reported by other reporting committees but redounded to his benefit. In other words, his lapse was inadvertent, unintentional and, at worst, negligent,

- e. after receiving the complaint and being advised by his newly-hired counsel, the Respondent Candidate came to understand that he had the legal obligation to report both his own expenditures and also to report (as in-kind contributions) if he received the benefit of expenditures made by other political committees and reported by them,
  - f. after the closed preliminary hearing in the complaint case, the Respondent, through his attorney, filed an appearance and forthrightly admitted that the campaign expenditures controlled by Respondent's committee *plus* the expenditures made (and reported by) others did put his committee over the reporting threshold before the Election Day and, therefore, he would late file a D-1 Statement of Organization and timely file a D-2 Semi-Annual Report of Contributions and Expenditures with the State Board and with the DuPage County Clerk on or before January 31, 2007,
  - g. on January 31, 2007, Respondent late filed a D-1 Statement of Organization and timely filed a D-2 Semi-Annual Report of Contributions and Expenditures (and amended D-2) with the State Board and with the DuPage County Clerk,
  - h. that the primary purpose of campaign finance reporting is to inform the electorate of the persons or entities to whom a candidate may be obligated for the funding with which a campaign is financed. It is no revelation or surprise to voters that a candidate supports himself and therefore, the failure of a candidate to disclose his self-funding is not a substantial violation of the Campaign Finance Act though it is a technical violation,
  - i. the D-1 and amended D-2 show that the Respondent *was in technical violation* of failing to report the formation of a campaign finance committee within 5 business days after the expenditures he made (and those imputed to him for in-kind spending by others) crossed the \$3000 reporting threshold on October 10, 2006. That deadline came and went on October 17, 2006, however therefore no voter was deprived of any knowledge which should have been available to them under the Campaign Finance Act, *other than that the candidate was self-funded and that other reporting committees had made expenditures redounding to his benefit*, to better inform their voting because of the Respondent's tardiness in reporting, and
  - j. the D-1 and D-2 show that the Respondent *was in technical violation* of failing to report 3 contributions in excess of \$500 in cash or value on forms A-1 to the extent that campaign spending was made by already reporting committees which should have been reported as in-kind contributions prior to the November 7, 2007 Election Day.
2. Whatever amount the Board chooses to assess should have enforcement and collection stayed pending the Committee's compliance with the Campaign Finance Act for a period of two years because all of the violations upon which assessments were made should be considered collectively as a first offense pursuant to Section 125.425 (h) of the Board's Rules and Regulations. This case is factually similar to and therefore should be held to the same remedy as this Board applied to the civil penalty assessment appeals in *State Board v. Chicagoland Apartment Association PAC*, 06 MA 001 and *State Board v. Black Legislative Lobby*, 06 MA 002, both of which were decided by this Board on June 12, 2006.

Respectfully submitted,

Bob Peickert

Chairman and Treasurer of Citizens to Elect Bob Peickert



by and through his attorney

Richard K. Means

Richard K. Means

ARDC Attorney #01874098

24 hour 7 day contact information:

Email: Rmeans@RichardMeans.com

Web site: www.RichardMeans.com

806 Fair Oaks Avenue

Oak Park, Illinois 60302

Telephone: (708) 386-1122


Facsimile: (708) 383-2987

Cellular (312) 391-8808

May 25, 2007

### CERTIFICATION

By signing my name below I certify, on my oath, under the felony penalties of perjury as provided by section 1-109 of the Illinois Code of Civil Procedure, that I have personal knowledge of the facts stated above, that the facts set forth in this affidavit are true and correct and that I believe that they are true.



May 25, 2007

**STATE OF ILLINOIS  
COUNTY OF COOK**

**BEFORE THE STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS**

Illinois State Board of Elections

**Complainant**

v.

**07 AC 006**

Friends of JoAnn Thompson

L-10807

**Respondent**

**REPORT OF HEARING EXAMINER**

Appeal of Civil Penalty Assessment for the Late Filing of a  
Schedule A-1 for the 2006 General Election

According to the political committee's Schedule A-1 reports, filed February 5<sup>th</sup>, 2007, it received 2 contributions from the SEIU Local 880 PAC, one dated 1/30/07, in the amount of \$2,119.63 (2 days late), and the other dated 2/5/07, in the amount of \$5,000 (1 day late). A subsequent A-1 report was filed on February 26<sup>th</sup>, 2007, indicating receipt of a contribution in the amount of \$53,734.14, dated 2/5/07, 11days late. The political committee has been fined a total of \$60,853.77 for delinquent filing Schedule A-1 reports.

Ms. JoAnn Thompson, Candidate/Chairman, filed a Request for Hearing and Appeal Affidavit, on May 29<sup>th</sup>, 2007, indicating: "The A-1 reported on 2/26/07 was information which did not come into the possession of Candidate (who filed all A-1 reports) until February 26, 2007. The pattern of timely filings of other A-1's supports this conclusion, and will be shown at the hearing. The other alleged violations were due to "beginner's misunderstandings".

Hearing in this matter was set for Wednesday, June 13th 2007, rescheduled to Friday, June 22<sup>nd</sup>, 2007, by request of counsel, Mr. Michael Kreloff, to be conducted at the Chicago Board office, 100 W. Randolph, 14-100, at 9:00 a.m.

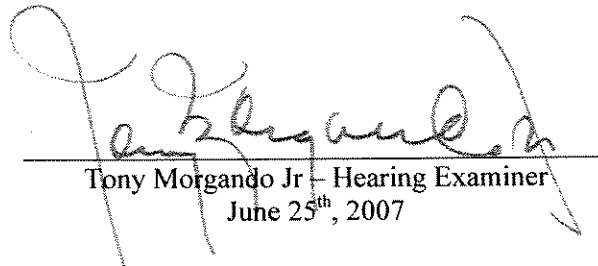
Ms. Joann Thompson, Candidate/Chairman, Mr. Michael Krelof, counsel, appeared on behalf of the Respondent. Mr. Krelof indicated that during the month of February, 2007, the political committee filed approximately seven (7) Schedule A-1's, containing 66 entries of which 61 represented in-kind contributions. These in-kind contributions were brought to the attention of Ms. Thompson via In-Kind Notification forms faxed to the candidate. Once Ms. Thompson was aware of a faxed In-Kind Contribution Notification form, she commuted to the Chicago Board office and sought assistance in filing from Disclosure staff. Ms. Thompson stated in relation to the SEIU Local 880 PAC contributions reported as received January 30<sup>th</sup> & 31<sup>st</sup>, 2007, actual awareness of such contributions was not until Thursday, February 1<sup>st</sup>, 2007. Ms. Thompson provided copies of In-Kind Contribution Notification forms, indicating faxed receipt on January 29<sup>th</sup> and January 30<sup>th</sup> respectively. In relation to the SEIU Illinois Council PAC in-kind contribution, in the amount of \$53,734.14, reported received on February 5<sup>th</sup>, 2007, submitted on a Schedule A-1 on February 26<sup>th</sup>, eleven (11) days late, Ms. Thompson stated such faxed In-Kind Contribution Notification form apparently was mixed among campaign papers.

Ms. Thompson indicated once she was aware of the In-Kind Contribution Notification form, she immediately sought assistance from the Disclosure staff. Ms. Thompson submitted a copy of the faxed In-Kind Contribution Notification form, indicating a transmittal date of February 5<sup>th</sup>, 2007.

The Hearing Examiner spoke with Andy Nauman, Staff Specialist, who indicated Ms. Thompson appeared at the Chicago office seeking assistance in filing disclosure documents, Schedule A-1's included. Mr. Nauman stated the political committee filed 20+ Schedule A-1's, containing approximately 145 entries, which 128 were based on In-Kind Contribution Notification forms faxed to the political committee.

If Ms. Thompson became aware of the SEIU Local 880 PAC in-kind contributions on Thursday, February 1<sup>st</sup>, 2007, which nothing appears to contradict, the political committee's Schedule A-1 received on Monday, February 5<sup>th</sup>, 2007, was filed within the 48-hour requirement provided in statute. Regardless, the in-kind contribution "shall be deemed to be received 2 days after the date the certification" was presented to the political committee, R&R 100.120(c). Therefore, it is the recommendation of the Hearing Examiner that the appeal be **GRANTED** in regards to the two contributions totaling \$7119.63 from the SEIU Local 880 PAC.

In relation to the SEIU Illinois Council PAC in-kind contribution, the Hearing Examiner notes that during the February 5<sup>th</sup>, 2007 In-Kind Contribution Notification transmittal date and the actual February 25<sup>th</sup>, 2007, Schedule A-1 filing, the political committee filed nine (9) Schedule A-1's, containing 47 entries. It is difficult for the Hearing Examiner to believe the delay was caused by a lack of awareness, considering the number of faxes the political committee apparently reported on Schedule A-1's in a timely fashion. Therefore it is the recommendation of the Hearing Examiner that the appeal be **DENIED** in regard to the \$53,734.14 contribution from the SEIU Illinois Council PAC, and that amount of the fine be reduced by 10% to \$5374.00 and STAYED as a first violation.



Tony Morgando Jr - Hearing Examiner  
June 25<sup>th</sup>, 2007

# IN-KIND CONTRIBUTION NOTIFICATION

SEE PAMPHLET "A GUIDE TO CAMPAIGN DISCLOSURE" FOR GUIDANCE.

The contributor shall notify the committee of donated goods or services of more than \$50 within five (5) business days.

Do not send this form to the State Board of Elections or the County Clerk.

## Full Name, Mailing Address, and Zip Code

If the In-Kind was donated by an individual, and its value exceeded \$500, occupation & employer must be provided.

To: Joanne Thompson  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

From: Local 980 PMA  
209 W. Jackson  
Chicago IL 60616  
\_\_\_\_\_

Occupation & Employer (If applicable)

## In-Kind Contribution

Full Name, Mailing Address  
and Zip Code of Vendor  
(If applicable)

Date

Value

Description

1/13-1/27/07 2119.63

Staff time spent on campaign

The political committee must report these donations on a Form D-2, Report of Campaign Contributions and Expenditures, Part 5.

[Signature]  
Signature of Contributor

Revised 5/15/00

## IN-KIND CONTRIBUTION NOTIFICATION

SEE PAMPHLET "A GUIDE TO CAMPAIGN DISCLOSURE" FOR GUIDANCE.

The contributor shall notify the committee of donated goods or services of more than \$50 within five (5) business days.

Do not send this form to the State Board of Elections or the County Clerk.

### Full Name, Mailing Address, and Zip Code

If the In-Kind was donated by an individual, and its value exceeded \$500, occupation & employer must be provided.

To: Joann Thompson From: SEIU Local 880  
209 W. Jackson  
Chicago IL  
60606  
 Occupation & Employer (if applicable)

### In-Kind Contribution

|   |                                    |                                |
|---|------------------------------------|--------------------------------|
| Full Name, Mailing Address and Zip Code of Vendor (if applicable) | Date<br>Month of<br>Jan 2007       | Value<br>\$5,000 <sup>00</sup> |
|   | Description<br>Contracted Services |                                |

The political committee must report these donations on a Form D-2, Report of Campaign Contributions and Expenditures, Part 5.

[Signature]  
 Signature of Contributor  
 Controller

Revised 3/12/01

# IN-KIND CONTRIBUTION NOTIFICATION

SEE PAMPHLET "A GUIDE TO CAMPAIGN DISCLOSURE" FOR GUIDANCE.

The contributor shall notify the committee of donated goods or services of more than \$50 within five (5) business days.

Do not send this form to the State Board of Elections or the County Clerk.

Full Name, Mailing Address, and Zip Code

If the In-Kind was donated by an individual, and its value exceeded \$500, occupation & employer must be provided.

To: Friends of JoAnn Thompson From: SEIU Illinois Council PAC  
5411 S. Ashland 111 E. Wacker Dr., Suite 2500  
Chicago, IL 60643 Chicago, IL 60601

Occupation & Employer (if applicable)

## In-Kind Contribution

| Full Name, Mailing Address and Zip Code of Vendor (if applicable) | Date        | Value       |
|---|-------------|-------------|
| Mission Control<br>201 Adams St.<br>Manchester, CT 06042          | 2/1/2007    | \$53,734.14 |
|   | Description |             |
|   | Mailings    |             |

The political committee must report these donations on a Form D-2, Report of Campaign Contributions and Expenditures, Part 5.

Genie Kastrop, Political Director  
 Signature of Contributor

STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187  
Springfield, Illinois 62708  
217/782-4141  
Fax: 217/782-5959

James R. Thompson Center  
100 West Randolph, Suite 14-100  
Chicago Illinois 60601  
312/814-6440  
Fax: 312/814-6485



BOARD MEMBERS  
Jesse Smart, Chairman  
Wanda Rednour, Vice Chairman  
Patrick Brady  
John Keith  
William McGuffage  
Albert Porter  
Bryan Schneider  
Robert Walters

EXECUTIVE DIRECTOR  
Daniel W. White  
April 30, 2007

Friends of JoAnn Thompson  
JoAnn Thompson  
5719 S Morgan  
Chicago, IL 60621

L10807

Dear Friends of JoAnn Thompson;

This committee has failed to file the following Schedule A-1's, Report of Campaign contributions of more than \$500.00, within 2 business days of receipt as required by the Illinois Campaign Disclosure Act:

| <u>Contributed By</u> | <u>Date of Contribution</u> | <u>Fine Assessed</u> | <u>Amount of Contribution</u> | <u>Date A-1 Received</u> | <u>Days Late</u> |
|-----------------------|-----------------------------|----------------------|-------------------------------|--------------------------|------------------|
| SEIU Local 880 PAC    | 1/30/07                     | \$2119.63            | \$2119.63                     | 2/5/07                   | 2                |
| SEIU Local 880 PAC    | 1/31/07                     | \$5000.00            | \$5000.00                     | 2/5/07                   | 1                |
| SEIU II Council PAC   | 2/5/07                      | \$53,734.14          | \$53,734.14                   | 2/26/07                  | 11               |

The committee is fined a **total** of \$60853.77 for delinquently filing Schedule A-1 reports as required by the Illinois Campaign Disclosure Act. This total **does not** reflect any previously assessed fines.

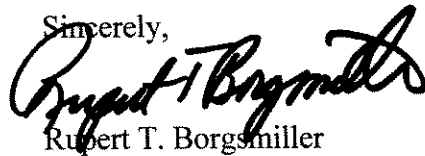
Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b-5) of the Election Code, the Board may impose fines for violations not to exceed 100% of the total amount of the contributions that were untimely reported, but in no case when a fine is imposed shall it be less than 10% of the total amount of the contributions that were untimely reported.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$6086.00, (10% of the total amount reflected above) if you do not choose to file an appeal under the Board's present policy. The total amount due will be imposed with the issuance of a Final Board Order after the 30-day appeal period had expired. This amount must be paid (including any previously assessed fines), within 30 days of the issuance of the Order.



If you have any questions regarding the appeal procedure, please call Sue McArthur at 217/782-1543.

Sincerely,

A handwritten signature in black ink, appearing to read "Rupert T. Borgsmiller". The signature is stylized with a large, prominent "R" and "B".

Rupert T. Borgsmiller

Director, Division of Campaign Disclosure

RTB:sm

cc: Officer(s), Candidate(s)

State of Illinois )  
County of Cook )

STATE BOARD OF ELECTIONS  
07 MAY 29 PM 4:06

BEFORE THE STATE BOARD OF ELECTIONS  
OF THE STATE OF ILLINOIS

IN THE MATTER OF; )  
ILLINOIS STATE BOARD OF ELECTIONS, )  
Complainant )  
vs. )  
Friends of JoAnn Thompson )  
Respondent(s). )

No. 07 Ac 006

APPEAL AFFIDAVIT

I, JoAnn Thompson, the Chairman of the  
(Name) (Chairman/Treasurer)  
Friends of JoAnn Thompson Committee  
(Name of the Committee)

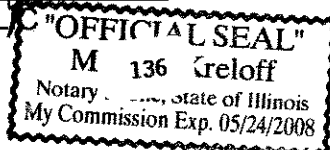
Committee, first being duly sworn deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

The A-1 reported on 2/26/07 was information which did not come into the possession of Candidate (who filed all A-1 Reports) until February 26, 2007. The pattern of timely filings of other A-1's supports this conclusion, as will be shown at hearing. The other alleged violations were due to "beginner's misunderstandings".

Subscribed and Sworn to Before me  
This 27 Day Of May, 2007

JoAnn Thompson  
(Signature of Chairman/Treasurer)

NOTARY PUBLIC



**STATE OF ILLINOIS  
COUNTY OF COOK**

**BEFORE THE STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS**

Illinois State Board of Elections

**Complainant**

v.

**07 AC 013**

Citizens for Carina Sanchez      L-13751

**Respondent**

**REPORT OF HEARING EXAMINER**

Appeal of Civil Penalty Assessment for the Late Filing of a  
Schedule A-1 for the 2006 General Election

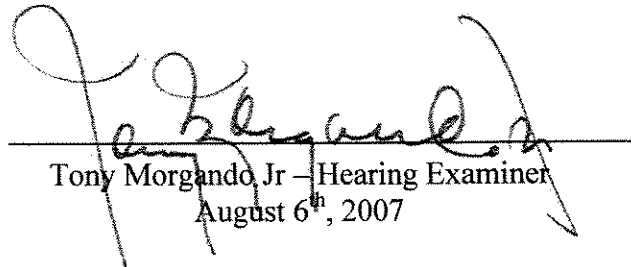
According to the two (2) Schedule A-1's filed by the political committee, one dated February 7<sup>th</sup>, 2007, disclosing receipt of five (5) contributions from the SEIU IL Council PAC, totaling \$4,847.95, dated February 2<sup>nd</sup>, 2007, was 1 day late. The other Schedule A-1 dated February 24<sup>th</sup>, 2007, disclosed two (2) contributions from the SEIU IL Council PAC, totaling \$3,550.00, dated February 21<sup>st</sup>, 2007, was 1 day late. The political committee has been fined a total of \$8,397.95 for delinquent filing Schedule A-1 reports.

Ms. Carina Sanchez, Candidate/Chairman, filed a Request for Hearing and Appeal Affidavit, on May 31<sup>st</sup>, 2007, indicating "The committee filed the A-1's within the required 2 business days of receipt. A-1's were faxed and received at the office after the date listed on the contribution form".

Hearing in this matter was originally set for Monday, June 25<sup>th</sup>, 2007, continued through agreement of the parties to Thursday, July 19<sup>th</sup>, 2007 to be conducted at the Chicago Board office, 100 W. Randolph, 14-100, at 12-noon. The political committee did file a Final Report on Tuesday, July 31<sup>st</sup>, 2007, terminating its campaign activity.

Ms. Carina Sanchez appeared on behalf of the political committee. Ms. Sanchez presented four (4) In-Kind Contribution Notification forms, faxed to the political committee from the SEIU IL Council PAC, which indicated (a) in-kind contributions originally reported received on 2/20/07 (\$1,750.00) and 2/21/07 (\$1,800.00), were actually faxed to the political committee on 2/22/07, and, (b) in-kind contributions originally reported received on 2/2/07 (\$1,800.00 & \$694.70), were actually faxed to the political committee on 2/5/07. Ms. Sanchez was able to produce In-Kind Contribution Notification forms for the remaining questionable Schedule A-1's, but these lacked indication of the date and time such notices were faxed to the political committee.

Based upon a review of the documents/statements submitted, it is apparent the political committee did report four (4) in-contributions totaling \$6,044.70 within the two (2) business day requirement established by statute. Without a fax transmission date attached to the three (3) In-kind Contribution Notification forms, totaling \$2,353.25, the Hearing Examiner is unable to determine actual receipt by the political committee. Therefore, it is the recommendation of the Hearing Examiner that (a) the appeal be granted in relation to the four (4) in-kind contributions totaling \$6,044.70, (b) the appeal be denied in relation to the three (3) in-kind contributions totaling \$2,353.25, (c) as a first time Schedule A-1 offense the original assessed penalty be reduced to 10% or \$236.00, and (d) the assessed civil penalty of \$236.00 be abated if the political committee remains dissolved for a period of two (2) years following the date of the Final Order of the Board imposing the penalty.



Tony Morgando, Jr — Hearing Examiner  
August 6<sup>th</sup>, 2007

STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187  
Springfield, Illinois 62708  
217/782-4141  
Fax: 217/782-5959

James R. Thompson Center  
100 West Randolph, Suite 14-100  
Chicago Illinois 60601  
312/814-6440  
Fax: 312/814-6485



BOARD MEMBERS  
Jesse Smart, Chairman  
Wanda Rednour, Vice Chairman  
Patrick Brady  
John Keith  
William McGuffage  
Albert Porter  
Bryan Schneider  
Robert Walters

EXECUTIVE DIRECTOR  
Daniel W. White  
April 30, 2007

Citizens for Carina Sanchez  
Carina Sanchez, Luis Fernandez  
2720 W 37th Pl, 2nd floor  
Chicago, IL 60632

L13751

Dear Citizens for Carina Sanchez;

This committee has failed to file the following Schedule A-1's, Report of Campaign contributions of more than \$500.00, within 2 business days of receipt as required by the Illinois Campaign Disclosure Act:

| <u>Contributed By</u> | <u>Date of Contribution</u> | <u>Fine Assessed</u> | <u>Amount of Contribution</u> | <u>Date A-1 Received</u> | <u>Days Late</u> |
|-----------------------|-----------------------------|----------------------|-------------------------------|--------------------------|------------------|
| SEIU IL Council PAC   | 2/21/07                     | \$1750.00            | \$1750.00 ✓                   | 2/24/07                  | 1                |
| SEIU IL Council PAC   | 2/21/07                     | \$1800.00            | \$1800.00 ✓                   | 2/24/07                  | 1                |
| SEIU IL Council PAC   | 2/2/07                      | \$875.00             | \$875.00 ✓                    | 2/7/07                   | 1                |
| SEIU IL Council PAC   | 2/2/07                      | \$1250.00            | \$1250.00 ✓                   | 2/7/07                   | 1                |
| SEIU IL Council PAC   | 2/2/07                      | \$1800.00            | \$1800.00 ✓                   | 2/7/07                   | 1                |
| SEIU IL Council PAC   | 2/2/07                      | \$228.25             | \$228.25                      | 2/7/07                   | 1                |
| SEIU IL Council PAC   | 2/2/07                      | \$694.70             | \$694.70                      | 2/7/07                   | 1                |

The committee is fined a **total** of \$8397.95 for delinquent filing Schedule A-1 reports as required by the Illinois Campaign Disclosure Act. This total **does not** reflect any previously assessed fines.

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b-5) of the Election Code, the Board may impose fines for violations not to exceed 100% of the total amount of the contributions that were untimely reported, but in no case when a fine is imposed shall it be less than 10% of the total amount of the contributions that were untimely reported.

CHICAGO

'07 MAY 31 PM 4:08  
STATE BOARD OF ELECTIONS

State of Illinois )

County of Cook )BEFORE THE STATE BOARD OF ELECTIONS  
OF THE STATE OF ILLINOIS

IN THE MATTER OF;

ILLINOIS STATE BOARD OF ELECTIONS,

Complainant

Vs.

Citizens for Carina Sanchez  
Respondent(s).

Case No.

01 AC 013

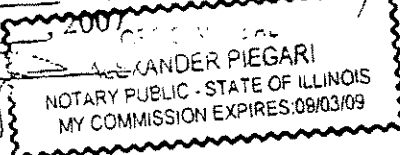
## APPEAL AFFIDAVIT

I, Carina Sanchez, the Chairman of the  
(Name) (Chairman/Treasurer)  
Citizens for Carina Sanchez  
(Name of the Committee)

Committee, first being duly sworn deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

The committee filed the A's within the required 2 business days of receipt  
A's were faxed & received at the office after the date listed on the contribution form  
I would like to appeal the following and have copies of faxes to support my statement  
Contributions \$694.70 & \$1,800<sup>00</sup> faxed to committee on 2/5/07 A's filed on 2/7/07  
Contributions \$1,800<sup>00</sup> & \$1750<sup>00</sup> faxed to committee on 2/22/07 A's filed on 2/24/07

Signed and Sworn to by:

before me this 31 Day ofMay  
Notary PublicCarina Sanchez  
(Signature of Chairman/Treasurer)

# IN-KIND CONTRIBUTION NOTIFICATION

SEE PAMPHLET "A GUIDE TO CAMPAIGN DISCLOSURE" FOR GUIDANCE.

The contributor shall notify the committee of donated goods or services of more than \$50 within five (5) business days.

Do not send this form to the State Board of Elections or the County Clerk.

## Full Name, Mailing Address, and Zip Code

If the In-Kind was donated by an individual, and its value exceeded \$500, occupation & employer must be provided.

To: Citizens for Carina Sanchez From: SEIU Illinois Council PAC  
2720 W. 37<sup>th</sup> Place 2<sup>nd</sup> Fl 111 E. Wacker Dr., Suite 2500  
Chicago, IL 60632 Chicago, IL 60601

Occupation & Employer (if applicable)

## In-Kind Contribution

| Full Name, Mailing Address and Zip Code of Vendor (if applicable) | Date                   | Value      |
|---|------------------------|------------|
| Scott Kastrup<br>3711 N. Under Ave<br>Chicago, IL 60641           | 2/20/07                | \$1,750.00 |
|   | Description            |            |
|   | Fundraising Consulting |            |

The political committee must report these donations on a Form D-2, Report of Campaign Contributions and Expenditures, Part 5.

Gene Kastrup, Political Director  
 Si 141 of Contributor

# IN-KIND CONTRIBUTION NOTIFICATION

SEE PAMPHLET "A GUIDE TO CAMPAIGN DISCLOSURE" FOR GUIDANCE.

The contributor shall notify the committee of donated goods or services of more than \$50 within five (5) business days.

Do not send this form to the State Board of Elections or the County Clerk.

Full Name, Mailing Address, and Zip Code

If the In-Kind was donated by an individual, and its value exceeded \$500, occupation & employer must be provided.

To: Citizens for Carina Sanchez  
2720 W. 37<sup>th</sup> Place 2<sup>nd</sup> Flr  
Chicago, IL 60632

From: SEIU Illinois Council PAC  
111 E. Wacker Dr., Suite 2500  
Chicago, IL 60601

Occupation & Employer (if applicable)

## In-Kind Contribution

| Full Name, Mailing Address and Zip Code of Vendor (if applicable)                             | Date    | Value      |
|---|---------|------------|
| Cynthia Martinez<br>SEIU Illinois Council<br>111 E. Wacker Dr., Ste 2500<br>Chicago, IL 60601 | 2/21/07 | \$1,800.00 |
| Description   |         |            |
| Staff on Campaign   |         |            |

The political committee must report these donations on a Form D-2, Report of Campaign Contributions and Expenditures, Part 5.

Gene Kastrop, Political Director



# IN-KIND CONTRIBUTION NOTIFICATION

SEE PAMPHLET "A GUIDE TO CAMPAIGN DISCLOSURE" FOR GUIDANCE.

The contributor shall notify the committee of donated goods or services of more than \$50 within five (5) business days.

Do not send this form to the State Board of Elections or the County Clerk.

Full Name, Mailing Address, and Zip Code

If the In-Kind was donated by an individual, and its value exceeded \$500, occupation & employer must be provided.

To: Citizens for Lanna Sanchez  
2720 W. 37<sup>th</sup> Place, 2<sup>nd</sup> Floor  
Chicago IL 60632

From: SEIU Illinois Council PAC  
111 E. Wacker Dr. Suite 2500  
Chicago, IL 60601

Occupation & Employer (if applicable)

## In-Kind Contribution

| Full Name, Mailing Address and Zip Code of Vendor (if applicable)                           | Date  | Value    |
|---|---|----------|
| Gerald Morrison<br>SEIU Illinois Council<br>111 E. Wacker Dr. Ste 2500<br>Chicago, IL 60601 | 2/2/09  | \$694.70 |
|   | Description<br>Staff time spent working on campaign |          |

The political committee must report these donations on a Form D-2, Report of Campaign Contributions and Expenditures, Part 5.

Gene Kastrop, Political Director  
 Sig 143 of Contributor

# IN-KIND CONTRIBUTION NOTIFICATION

SEE PAMPHLET "A GUIDE TO CAMPAIGN DISCLOSURE" FOR GUIDANCE.

The contributor shall notify the committee of donated goods or services of more than \$50 within five (5) business days.

Do not send this form to the State Board of Elections or the County Clerk.

Full Name, Mailing Address, and Zip Code

If the In-Kind was donated by an individual, and its value exceeded \$500, occupation & employer must be provided.

To: Citizens for Carina Sanchez From: SEIU Illinois Council PAC  
2720 W. 37<sup>th</sup> Place 2<sup>nd</sup> Flr 111 E. Wacker Dr., Suite 2500  
Chicago, IL 60632 Chicago, IL 60601

Occupation & Employer (if applicable)

## In-Kind Contribution

| Full Name, Mailing Address and Zip Code of Vendor (if applicable)                               | Date         | Value     |
|---|--------------|-----------|
| Cynthia Martinez<br>SEIU Illinois Council<br>111 E. Wacker Dr., Suite 2500<br>Chicago, IL 60601 | 2/2/07       | \$1800.00 |
| Description   | Staff salary |           |

The political committee must report these donations on a Form D-2, Report of Campaign Contributions and Expenditures, Part 5.

Grego Kastrop, Political Director  
 Signature of Contributor

# III-KIND CONTRIBUTION NOTIFICATION

SEE PAMPHLET "A GUIDE TO CAMPAIGN DISCLOSURE" FOR GUIDANCE.

The contributor shall notify the committee of donated goods or services of more than \$50 within five (5) business days.

Do not send this form to the State Board of Elections or the County Clerk.

Full Name, Mailing Address, and Zip Code

If the In-Kind was donated by an individual, and its value exceeded \$500, occupation & employer must be provided.

To: Citizens for Carina Sanchez From: SEIU Illinois Council PAC  
2720 W. 37th Place, 2nd fl. 111 E. Wacker Dr., Suite 2500  
Chicago, IL 60632 Chicago, IL 60601

Occupation & Employer (if applicable)

## In-Kind Contribution

| Full Name, Mailing Address and Zip Code of Vendor (if applicable) | Date        | Value      |
|---|-------------|------------|
| Don Weiner PhD<br>P.O. Box 44342<br>Madison, WI 53744             | 1/29/07     | \$1,250.00 |
|   | Description |            |
|   | research    |            |

The political committee must report these donations on a Form D-2, Report of Campaign Contributions and Expenditures, Part 5.

Gene Kastrop, Political Director  
Signature of Contributor

# IN-KIND CONTRIBUTION NOTIFICATION

SEE PAMPHLET "A GUIDE TO CAMPAIGN DISCLOSURE" FOR GUIDANCE.

The contributor shall notify the committee of donated goods or services of more than \$50 within five (5) business days.

Do not send this form to the State Board of Elections or the County Clerk.

## Full Name, Mailing Address, and Zip Code

If the In-Kind was donated by an individual, and its value exceeded \$500, occupation & employer must be provided.

To: Citizens for Carina Sanchez  
2720 W. 37<sup>th</sup> Place 2<sup>nd</sup> Flr  
Chicago, IL 60632

From: SEIU Illinois Council PAC  
111 E. Wacker Dr., Suite 2500  
Chicago, IL 60601

Occupation & Employer (if applicable)

## In-Kind Contribution

|   |             |          |
|---|-------------|----------|
| Full Name, Mailing Address<br>and Zip Code of Vendor<br>(if applicable) | Date        | Value    |
| Adelstein/Liston<br>222 W. ONTARIO<br>Chicago, IL 60610                 | 1/29/07     | \$875.00 |
|   | Description |          |
|   | Consulting  |          |

The political committee must report these donations on a Form D-2, Report of Campaign Contributions and Expenditures, Part 5.

Gene Kastrop, Political Director  
Signature of Contributor

# IN-KIND CONTRIBUTION NOTIFICATION

SEE PAMPHLET "A GUIDE TO CAMPAIGN DISCLOSURE" FOR GUIDANCE.

The contributor shall notify the committee of donated goods or services of more than \$50 within five (5) business days.

Do not send this form to the State Board of Elections or the County Clerk.

## Full Name, Mailing Address, and Zip Code

If the In-Kind was donated by an individual, and its value exceeded \$500, occupation & employer must be provided.

To: Citizens for Anna Sanchez  
2720 W. 37th Place, 2nd floor  
Chicago, IL 60632

From: SEIU Illinois Council PAC  
111 E. Wacker Dr., Suite 2500  
Chicago, IL 60601

Occupation & Employer (if applicable)

## In-Kind Contribution

| Full Name, Mailing Address and Zip Code of Vendor (if applicable)                            | Date   | Value     |
|--|--------|-----------|
| Anthony Thomas<br>SEIU Illinois Council<br>111 E. Wacker Drive Ste 2500<br>Chicago, IL 60601 | 2/2/09 | \$ 228.25 |
| Description  |        |           |
| Staff time spent working on campaign   |        |           |

The political committee must report these donations on a Form D-2, Report of Campaign Contributions and Expenditures, Part 5.

Gene Trup 147 Trup, Political Director  
Signature of Contributor

**STATE BOARD OF ELECTIONS**  
**1020 South Spring Street**  
**Springfield, Illinois 62704**  
**217/782-4141**

**Rupert T. Borgsmiller**

**Director, Campaign Disclosure**

---

To: Members of the Board, Daniel W. White, Executive Director, Steve Sandvoss, General Counsel

Re: Civil Penalty Assessments Necessitating a Final Board Order

Date: 8/8/2007

---

Assessment letters were sent out on July 9, 2007 for delinquent filing of the December 2006 Semi-annual report, D-1 Statement of Organizations, Schedule A-1's in conjunction with the November 2006, February 2007 & April 2007 elections and "multiple assessments". The appeal deadline for these assessments is August 8, 2007. A listing of those committees who did not appeal will be distributed at the Board meeting & should be issued a Final Board Order.

**STATE BOARD OF ELECTIONS**

**1020 South Spring Street  
Springfield, Illinois 62704  
217/782-4141**

**Rupert T. Borgsmiller**

**Director, Campaign Disclosure**

---

To: Members of the Board, Daniel W. White, Executive Director, & Steve Sandvoss, General Counsel

Re: Civil Penalty Assessment - Committee to Elect Art Schultz

Date: 8/9/2007

---

An assessment was levied against the Committee to Elect Art Schultz and in lieu of an appeal the committee submitted a payment dated August 1<sup>st</sup> in the amount that was listed in the original assessment letter. However, staff discovered that the amount listed in the letter was in error. The letter properly listed all contributions that were not timely reported, but the total amount of the contributions was incorrect. A corrected letter was sent to the committee that changed the amount that would be due and owing if the committee opted to pay in lieu of an appeal. The committee would like the opportunity to address the Board regarding their payment in "Good Faith" based on the original letter.

For your convenience I have included copies of our original correspondence and the amended correspondence. I have also included the letter and a copy of the check.

**COMMITTEE TO ELECT ART SCHULTZ**  
**1609 MAYFIELD AVENUE**  
**JOLIET, ILLINOIS 60435-5731**

August 1, 2007

**Via Certified U.S. Mail (Return/Receipt Requested)**

Mr. Rupert T. Borgsmiller  
Director, Division of Campaign Disclosure  
State Board of Elections  
State of Illinois  
1020 South Spring Street  
P.O. Box 4187  
Springfield, Illinois 62708

Re: Committee to Elect Art Schultz  
Illinois State Board of Elections Committee No. L3328

Dear Mr. Borgsmiller:

The Committee has received the Illinois State Board of Elections' July 9, 2007 letter suggesting the Committee failed to file Schedule A-1 reports within two (2) days of the March 27, 2007 date of contribution. The Board suggested the requisite disclosure was filed April 5, 2007, which is five (5) days after the required filing date.

As your July 9, 2007 letter correctly acknowledges, this Committee has no prior inquiries from the Illinois State Board of Elections and predicated on that, your July 9, 2007 letter acknowledges that any civil penalty would be automatically reduced to \$950.00.

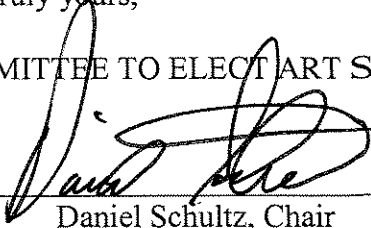
This Committee wants the State Board of Elections to know the short delay in filing was certainly not intentional and at worst, was simply an oversight.

I am enclosing a copy of your July 9, 2007 letter together with the Committee's check in the amount of \$950.00 payable to the Illinois State Board of Elections as payment in full of any penalties. The Committee recognizes it is not obligated to pay this amount until after the entry of the Board's order. However, the Committee tenders this amount to further demonstrate to the Board it takes its responsibility under this Act seriously.

Very truly yours,

COMMITTEE TO ELECT ART SCHULTZ

By: \_\_\_\_\_

  
Daniel Schultz, Chair



COMMITTEE TO ELECT ARTHUR SCHULTZ  
1609 MAYFIELD AVE.  
JOLIET, IL 60435

2-2566/710  
4801534554

1064

DATE August 1, 2007

PAY TO THE  
ORDER OF

Illinois State Board of Elections \$ 90.00  
Nine Hundred - fifty + 00 DOLLARS



**HARRIS**

Harris N.A.

MEMO

Penalty

Arthur Schultz

AP

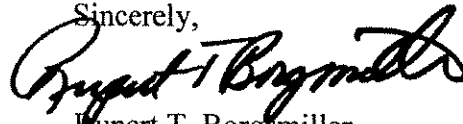
⑆07102566⑆ 4801534554 ⑆ 1064

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$950.00, (10% of the total amount reflected above) if you do not choose to file an appeal under the Board's present policy. The total amount due will be imposed with the issuance of a Final Board Order after the 30-day appeal period had expired. This amount must be paid (including any previously assessed fines), within 30 days of the issuance of the Order.

Enclosed please find Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fines if you believe the civil penalties have been assessed in error. *If you have a legitimate reason for your delinquent filing, it would behoove you to send in the appeal form since the Board has the discretion to take extenuating and other circumstances into account in determining the amount of your penalty.* Notice of Appeal must be filed within 30 days of the mailing of this assessment for it to be considered.

If you have any questions regarding the appeal procedure, please call Sue McArthur at 217/782-1543.

Sincerely,



Rupert T. Borgsmiller

Director, Division of Campaign Disclosure

RTB:sm

cc: Officer(s), Candidate(s)

\* This contribution was reported on the April 2007 Pre-election Report but should have been reported on a Schedule A-1 Report of Campaign Contributions of more than \$500.00, within 2 business days of receipt.

\*\* This contribution did not, by itself, necessitate filing of a Schedule A-1. No fine was assessed for this contribution – it is listed for informational purposes only. A subsequent contribution, when aggregated with this contribution, exceeded the "more than \$500.00" threshold and was used as the basis for the fine assessment.

STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187  
Springfield, Illinois 62708  
217/782-4141  
Fax: 217/782-5959

James R. Thompson Center  
100 West Randolph, Suite 14-100  
Chicago Illinois 60601  
312/814-6440  
Fax: 312/814-6485



BOARD MEMBERS  
Jesse Smart, Chairman  
Wanda Rednour, Vice Chairman  
Patrick Brady  
John Keith  
William McGuffage  
Albert Porter  
Bryan Schneider  
Robert Walters

EXECUTIVE DIRECTOR  
Daniel W. White  
July 9, 2007

COPY

Committee to Elect Art Schultz

L3328

~~Dan Schultz~~  
5002 Inverness Circle  
Plainfield, IL 60544

Dear Committee to Elect Art Schultz;

This committee has failed to file the following Schedule A-1's, Report of Campaign contributions of more than \$500.00, within 2 business days of receipt as required by the Illinois Campaign Disclosure Act:

| <u>Contributed By</u>                                 | <u>Date of Contribution</u> | <u>Fine Assessed</u> | <u>Amount of Contribution</u> | <u>Date A-1 Received</u> | <u>Days Late</u> |
|---|-----------------------------|----------------------|-------------------------------|--------------------------|------------------|
| ASFCME II Council 31                                  | 3/27/07                     | \$1500.00            | \$1500.00                     | 4/5/07                   | 5                |
| Larry Capista   | 3/27/07                     | \$2000.00            | \$2000.00                     | 4/5/07                   | 5                |
| Chicago & Northwest II District Council of Carpenters | 3/27/07                     | \$5000.00            | \$5000.00                     | 4/5/07                   | 5                |
| Plumbers & Pipefitters Loc 422                        | 3/27/07                     | \$1000.00            | \$1000.00                     | 4/5/07                   | 5                |
| Empress Casino Joliet                                 | 3/27/07                     | \$1000.00            | \$1000.00                     | 4/5/07                   | 5                |
| Edward Masters  | 3/27/07                     | \$750.00             | \$750.00                      | 4/5/07                   | 5                |
| PT Ferro Construction                                 | 3/27/07                     | \$1000.00            | \$1000.00                     | 4/5/07                   | 5                |

The committee is fined a **total** of \$9500.00 for delinquently filing Schedule A-1 reports as required by the Illinois Campaign Disclosure Act. This total **does not** reflect any previously assessed fines.

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b-5) of the Election Code, the Board may impose fines for violations not to exceed 100% of the total amount of the contributions that were untimely reported, but in no case when a fine is imposed shall it be less than 10% of the total amount of the contributions that were untimely reported.

STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187  
Springfield, Illinois 62708  
217/782-4141  
Fax: 217/782-5959

James R. Thompson Center  
100 West Randolph, Suite 14-100  
Chicago Illinois 60601  
312/814-6440  
Fax: 312/814-6485



BOARD MEMBERS  
Jesse Smart, Chairman  
Wanda Rednour, Vice Chairman  
Patrick Brady  
John Keith  
William McGuffage  
Albert Porter  
Bryan Schneider  
Robert Walters

EXECUTIVE DIRECTOR  
Daniel W. White  
July 9, 2007

Committee to Elect Art Schultz  
Dan Schultz  
5002 Inverness Circle  
Plainfield, IL 60544

L3328

Dear Committee to Elect Art Schultz;

This committee has failed to file the following Schedule A-1's, Report of Campaign contributions of more than \$500.00, within 2 business days of receipt as required by the Illinois Campaign Disclosure Act:

| <u>Contributed By</u>                                 | <u>Date of Contribution</u> | <u>Fine Assessed</u> | <u>Amount of Contribution</u> | <u>Date A-1 Received</u> | <u>Days Late</u> |
|---|-----------------------------|----------------------|-------------------------------|--------------------------|------------------|
| ASFCME II Council 31                                  | 3/27/07                     | \$1500.00            | \$1500.00                     | 4/5/07                   | 5                |
| Larry Capista   | 3/27/07                     | \$2000.00            | \$2000.00                     | 4/5/07                   | 5                |
| Chicago & Northwest II District Council of Carpenters | 3/27/07                     | \$5000.00            | \$5000.00                     | 4/5/07                   | 5                |
| Plumbers & Pipefitters Loc 422                        | 3/27/07                     | \$1000.00            | \$1000.00                     | 4/5/07                   | 5                |
| Empress Casino Joliet                                 | 3/27/07                     | \$1000.00            | \$1000.00                     | 4/5/07                   | 5                |
| Edward Masters  | 3/27/07                     | \$750.00             | \$750.00                      | 4/5/07                   | 5                |
| PT Ferro Construction                                 | 3/27/07                     | \$1000.00            | \$1000.00                     | 4/5/07                   | 5                |

The committee is fined a **total** of \$12,250.00 for delinquently filing Schedule A-1 reports as required by the Illinois Campaign Disclosure Act. This total **does not** reflect any previously assessed fines.

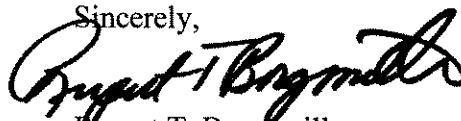
Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b-5) of the Election Code, the Board may impose fines for violations not to exceed 100% of the total amount of the contributions that were untimely reported, but in no case when a fine is imposed shall it be less than 10% of the total amount of the contributions that were untimely reported.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$1225.00, (10% of the total amount reflected above) if you do not choose to file an appeal under the Board's present policy. The total amount due will be imposed with the issuance of a Final Board Order after the 30-day appeal period had expired. This amount must be paid (including any previously assessed fines), within 30 days of the issuance of the Order.

Enclosed please find Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fines if you believe the civil penalties have been assessed in error. *If you have a legitimate reason for your delinquent filing, it would behoove you to send in the appeal form since the Board has the discretion to take extenuating and other circumstances into account in determining the amount of your penalty.* Notice of Appeal must be filed within 30 days of the mailing of this assessment for it to be considered.

If you have any questions regarding the appeal procedure, please call Sue McArthur at 217/782-1543.

Sincerely,



Rupert T. Borgsmiller

Director, Division of Campaign Disclosure

RTB:sm

cc: Officer(s), Candidate(s)

\* This contribution was reported on the April 2007 Pre-election Report but should have been reported on a Schedule A-1 Report of Campaign Contributions of more than \$500.00, within 2 business days of receipt.

\*\* This contribution did not, by itself, necessitate filing of a Schedule A-1. No fine was assessed for this contribution – it is listed for informational purposes only. A subsequent contribution, when aggregated with this contribution, exceeded the "more than \$500.00" threshold and was used as the basis for the fine assessment.

# STATE BOARD OF ELECTIONS

1020 South Spring Street  
Springfield, Illinois 62704  
217/782-4141

**Rupert T. Borgsmiller**

**Director, Campaign Disclosure**

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To: Members of the Board, Daniel W. White, Executive Director, & Steve Sandvoss, General Counsel

Re: June 2007 Semi-annual Reports/Amnesty

Date: 8/8/07

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At the June Board meeting I asked for you to consider granting amnesty to all committees that filed their June 2007 Semi-annual report after the 20<sup>th</sup> of July but on or before July 31<sup>st</sup>. As of the end of business on the 20<sup>th</sup>, there were approximately 429 committees that had not filed their semi-annual report. As of the end of the day on July 31<sup>st</sup>, there were approximately 179 committees that still had not filed the required report. Thus, around 250 committees received the amnesty letter that was sent out on August 8, 2007. The number of committees that did not file their report by July 31<sup>st</sup> is consistent with the numbers from past semi-annual filing periods.

# STATE BOARD OF ELECTIONS

1020 South Spring Street  
Springfield, Illinois 62704  
217/782-4141

**Rupert T. Borgsmiller**

**Director, Campaign Disclosure**

To: Daniel W. White, Executive Director, Members of the Board

Re: Payment of Civil Penalties

Date: August 8, 2007

The following committees have made payment of outstanding civil penalties for the period of 6/21/07 – 8/8/07.

- § Grundy County Women's Republican Club - \$500.00
- § Friends of Sandi Jackson - \$525.00
- § Equality Illinois PAC - \$650.00
- § Citizens to Elect George Edwards - \$500.00
- § St. Clair County Young Democrats - \$1175.00
- § 23rd Ward Regular Democratic Organization - \$2565.00
- § Citizens to Elect Mell Monroe - \$175.00
- § DeKalb County Democrats - \$637.50
- § The Coalition Party aka The Progressive Party - \$1200.00
- § Wayne Township Republican Organization - \$1450.00
- § Citizens for Dorothy Tillman - \$2710.00
- § Mexican American PAC – \$1300.00
- § Friends of Michelle Harris - \$1780.00
- § Neighbors for Naisy Dolar - \$1440.00
- § Maine Township Regular Republican Organization - \$50.00
- § Citizens for Chris Lavoie - \$275.00
- § Citizens for Thaddeus Jones - \$700.00
- § Will County Democratic Central Cmte - \$950.00
- § Friends for Flores - \$2800.00
- § Randolph County Democratic Cenral Cmte - \$300.00
- § Citizens for Pat Dowell - \$225.00
- § Friends of Rex Parker - \$92.11
- § Democratic Citizens of Berwyn - \$100.00
- § Citizens to Elect Jon Stewart - \$1200.00
- § Neighbors in Action Political Party – \$130.00
- § Friends of Martin Sandoval - \$500.00
- § Il Fire Sprinkler Contractors PAC - \$4966.00
- § Friends of Larry M Doody - \$275.00
- § Regular Republican Party of River Forest – \$1000.00
- § Citizens to Elect Mark Riefenberg - \$75.00
- § Citizens for Esther Golar - \$200.00
- § Vermilion County Democratic Party - \$1100.00

**Total Amount Paid for this Period- \$31,545.61**

RTB:sm

STATE OF ILLINOIS  
COUNTY OF COOK

BEFORE THE STATE BOARD OF ELECTIONS  
OF THE STATE OF ILLINOIS

Illinois State Board of Elections  
**Complainant**

**Vs.**

**Board File No. 07 CD 038**

Committee to Elect John A. Lartz  
**Respondent**

**REPORT OF HEARING EXAMINER**

Report of Public Hearing for the  
For the Failure to File a  
Semi Annual Report for the Period of  
July through December 2006

**Procedural History**

On May 18, 2007, the above referenced Complainant filed a Form D-4 Complaint for violation of the Campaign Disclosure Act, wherein it is specifically alleged that pursuant to 10 ILCS 5/9-13, and 5/9-14 of the Campaign Disclosure Act, the Respondent failed to file a Semi Annual Report for the period specified above.

On June 21, 2007, a Closed Preliminary Hearing was convened in the Chicago Offices of the State Board of Elections. At that time, the Respondent failed to appear at said hearing, nor had the report in question been filed, and as a result, the Hearing Examiner determined that the Complaint had been filed on justifiable grounds, and recommended that a Public Hearing be conducted. On July 3, 2007, the Board issued an Order for a Public Hearing to be held on July 25, 2007.

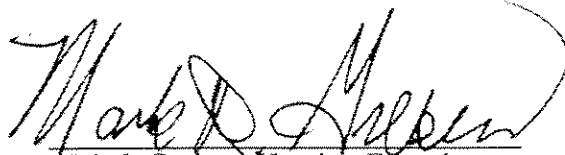
On July 25, 2007, 10:30 AM, after recognizing that Proof of Service upon the Respondent had been obtained, a Public Hearing was convened. At the Public Hearing, in attendance on behalf of the Complainant was Tony Morgando, Deputy Director of the Division of Campaign Disclosure, and Disclosure Specialist, Tara Cachur.

Ms. Cachur testified on behalf of the Complainant. She tendered the following exhibits and requested that they be admitted into evidence on behalf of the Complainant: (1) A D-1, Statement of Organization, and (2) a Semi Annual Report for the period of January through June 2006.



## CONCULSSIONS AND RECCOMENDATIONS

Proof of Service upon the Respondent had been obtained. The exhibits indicate that the Respondent was in fact a Committee and that the Semi Annual Report for the prior reporting period indicated that there was a balance of \$816.30 remaining at the close of that reporting period. Therefore, given the fact that the Respondent failed to appear at the Public Hearing, or file the report the for the period at issue, it is my recommendation that the Board issue an Order directing the Respondent to file said report within 30 days of the date of it's Order.

A handwritten signature in black ink, appearing to read "Mark D. Greben", written over a horizontal line.

Mark Greben, Hearing Examiner

Dated: August 7, 2007

STATE OF ILLINOIS       )  
                                      ) SS  
COUNTY OF SANGAMON )

STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

In the Matter Of:                       )  
                                      )  
State Board of Elections,               )  
                  Complainant(s),       )  
                  Vs.                       )       07 CD 038  
                                      )  
Committee to Elect John A Lartz,       )  
                  Respondent(s).       )

**ORDER**

TO:   Committee to Elect John A Lartz                       S9158  
      134 Green Bay Rd.  
      Winnetka, IL 60093

This matter coming to be heard this 2<sup>nd</sup> day of July, 2007, following a Closed Preliminary Hearing as a result of a Complaint filed pursuant to "An Act to Regulate Campaign Financing" (Illinois Compiled Statutes, 10 ILCS 5/9-1 *et seq.*, herein referred to as the "Act"), alleging that the Respondent violated 10 ILCS 5/9-10, 5/9-13 and 5/9-14 in that respondent failed to file the December 2006 Semi-annual report; and the State Board of Elections having read the report of the Hearing Officer and hearing the recommendation of the General Counsel and now being fully advised in the premises,

THE BOARD FINDS:

1.   The complaint was filed on justifiable grounds; and
2.   There was no appearance by the Respondent at the closed preliminary hearing, and
3.   The report at issue remains unfiled.

IT IS ORDERED:

1.   That a Public Hearing be held in this matter; and
2.   The effective date of this Order is July 3, 2007, and
3.   This is a Final Order subject to review under the Administrative Review Law and Section 9-22 of the Election Code.

DATED: 7/3/2007

  
\_\_\_\_\_  
Albert S. Porter, Chairman

**SENDER: COMPLETE THIS SECTION**

- Complete items 1, 2, and 3. Also complete item 4 if Restricted Delivery is desired.
- Print your name and address on the reverse so that we can return the card to you.
- Attach this card to the back of the mailpiece, or on the front if space permits.

1. Article Addressed to:

Steve Avvisati  
121 S Wilken Rd  
Arlington Heights, IL 60005

**COMPLETE THIS SECTION ON DELIVERY**

A. Signature

X

☐ Agent

B. Received by (Printed Name)

☐ Addressee

C. Date of Delivery

7-9-07

D. Is delivery address different from item 1? ☐ Yes  
If YES, enter delivery address below: ☐ No

3. Service Type

- ☐ Certified Mail ☐ Express Mail
- ☐ Registered ☐ Return Receipt for Merchandise
- ☐ Insured Mail ☐ C.O.D.

4. Restricted Delivery? (Extra Fee)

☐ Yes

2. Article Number

(Transfer from service label)

7007 0710 0002 8628 2901

PS Form 3811, February 2004

Domestic Return Receipt

102395-02-M-1540



FORM

D-4

COMPLAINT FOR VIOLATION OF  
THE CAMPAIGN DISCLOSURE ACT

COMPLAINANT NAME, ADDRESS, AND TELEPHONE NUMBER:

State Board of Elections  
1020 S. Spring Street  
Springfield, IL 62704  
(217) 782-4141

Vs.

No. 07CD038

NAME AND ADDRESS OF RESPONDENT:

S9158

Committee to Elect John A Lartz  
134 Green Bay Rd.  
Winnetka, IL 60093

Steve Avvisati  
121 S Wilken Rd  
Arlington Heights, IL 60005

SECTION 1. HAS RESPONDENT FILED A STATEMENT OF ORGANIZATION AS A POLITICAL COMMITTEE WITH THE ☒ STATE BOARD OF ELECTIONS, ☐ COUNTY CLERK, OR ☐ NONE. IF FILED WITH THE COUNTY CLERK IDENTIFY COUNTY;

SECTION 2. STATUTORY PROVISIONS: STATE THE PORTIONS OF THE CAMPAIGN DISCLOSURE ACT (ARTICLE 9, ELECTION CODE) THAT HAVE BEEN VIOLATED. (USE ADDITIONAL PLAIN SHEETS IF NECESSARY AND REFER TO THIS SECTION.)

10 ILCS 5/9-10, 5/9-13 & 5/9-14

SECTION 3. STATE THE NATURE OF THE OFFENSE(s) OR VIOLATION(s), IF APPLICABLE. (USE ADDITIONAL PLAIN SHEETS IF NECESSARY AND REFER TO THIS SECTION.)

Failure to file the December 2006 Semi-annual report of Campaign Contributions and  
Expenditures

SECTION 4. ATTACH ALL STATEMENTS, SCHEDULES, OR OTHER DOCUMENTS REFERRING TO THIS COMPLAINT.

VERIFICATION

I DECLARE THAT THIS COMPLAINT (INCLUDING ANY ACCOMPANYING SCHEDULES AND STATEMENTS) HAS BEEN EXAMINED BY ME AND TO THE BEST OF MY KNOWLEDGE AND BELIEF IS A TRUE AND CORRECT COMPLAINT AS REQUIRED BY ARTICLE 9 OF THE ELECTION CODE. I UNDERSTAND THAT THE PENALTY FOR WILLFULLY FILING A FALSE COMPLAINT SHALL BE A FINE NOT TO EXCEED \$500 OR IMPRISONMENT IN A PENAL INSTITUTION OTHER THAN THE PENITENTIARY NOT TO EXCEED 6 MONTHS, OR BOTH FINE AND IMPRISONMENT

May 18, 2007

DATE

Lee A. McArthur  
SIGNATURE OF COMPLAINANT

(IF COMPLAINANT IS A CORPORATION THEN VERIFICATION  
MUST BE SIGNED BY AN AUTHORIZED OFFICER AND  
ATTESTED TO BY THE SECRETARY)

PROOF OF SERVICE

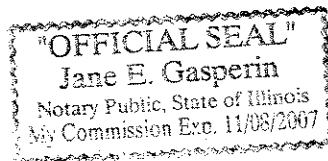
I, Sue A. McArthur HEREBY SWEAR OR AFFIRM THAT I SERVED A COPY OF THE FOREGOING COMPLAINT UPON THE FOLLOWING:

- a) BY PERSONALLY DELIVERING THE SAME ON THE \_\_\_\_ DAY OF \_\_\_\_\_, 2007 AT \_\_\_\_ O'CLOCK \_\_\_\_ M.
- b) BY PLACING A COPY THEREOF IN THE UNITED STATES POSTAL SERVICES, PROPER POSTAGE PREPAID, TO THE ABOVE INDICATED ADDRESSES, ON THE 18<sup>th</sup> DAY OF **MAY**, 2007 AT THE MAIL BOX OR POSTAL STATION LOCATED AT: **2105 E. COOK STREET, SPRINGFIELD IL 62703.**

*Sue A. McArthur*  
SIGNATURE OF COMPLAINANT OR AGENT

SUBSCRIBED AND SWORN TO BEFORE ME  
THIS 18<sup>th</sup> DAY OF May, 2007

*Jane E. Gasperin*  
NOTARY PUBLIC



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INSTRUCTIONS

1. THIS FORM IS USED TO FILE COMPLAINTS FOR VIOLATIONS ARISING OUT OF AN ACT TO REGULATE CAMPAIGN FINANCING (ILLINOIS REVISED STATUTES, CHAPTER 46, ART. 9-1 ET SEQ.). SEE RULES AND REGULATIONS OF THE STATE BOARD OF ELECTIONS FOR THE FILING AND HEARING OF COMPLAINTS.
2. THE FILING AND HEARING OF COMPLAINTS ARE GOVERNED BY RULES AND REGULATIONS ADOPTED BY THE BOARD. IF A COMPLAINT IS FILED WITHIN 60 DAYS PRIOR TO THE DATE OF AN ELECTION IN REFERENCE TO WHICH THE COMPLAINT IS FILED, THE COMPLAINANT MUST SERVE A COPY OF THE COMPLAINT UPON ALL RESPONDENTS PRIOR TO THE TIME OF FILING. COPIES OF THE RULES AND REGULATIONS ARE AVAILABLE UPON REQUEST.
3. COMPLAINTS MUST BE FILED BY MAIL OR IN PERSON AT EITHER OF THE FOLLOWING LOCATIONS:

STATE BOARD OF ELECTIONS  
1020 SOUTH SPRING STREET  
SPRINGFIELD, ILLINOIS 62704

STATE BOARD OF ELECTIONS  
100 WEST RANDOLPH, SUITE 14-100  
CHICAGO, ILLINOIS 60601

STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187  
Springfield, Illinois 62708  
217/782-4141 TTY: 217/782-1518  
Fax: 217/782-5959

James R. Thompson Center  
100 West Randolph, Suite 14-100  
Chicago, Illinois 60601  
312/814-6440 TTY: 312/814-6431  
Fax: 312/814-6485



EXECUTIVE DIRECTOR  
Daniel W. White

BOARD MEMBERS  
Albert S. Porter, Chairman  
Bryan A. Schneider, Vice Chairman  
Patrick A. Brady  
John R. Keith  
William M. McGuffage  
Wanda L. Rednour  
Jesse R. Smart  
Robert J. Walters

**MEMORANDUM**

**TO:** Chairman Albert S. Porter  
Vice Chairman Bryan A. Schneider  
Members of the Board  
Executive Director Dan White

**From:** Steve Sandvoss, General Counsel

**Re:** Determination of Additional Judgeships

**Date:** July 26, 2007

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Pursuant to 10 ILCS 5/25-3(4), the State Board of Elections is charged with the responsibility of determining whether the General Assembly has created additional judgeships. This determination must be made no later than 100 days prior to the General Primary Election. That deadline falls on October 28, 2007. Public Acts 93-1040 and 94-0727 created three additional judgeships in the 12<sup>th</sup> Judicial Circuit (Will County) and allocated them to the 2<sup>nd</sup>, 3<sup>rd</sup> and 4<sup>th</sup> sub-circuits within the 12<sup>th</sup> Circuit. These additional judgeships are to be filled at the 2008 General Election.

Enclosed is a listing of these new judgeships that was sent to the State Board of Elections from the Office of the Chief Justice of the Supreme Court. I am requesting the Board to officially declare these three additional judgeships designating them as "Additional Judgeship A, B and C", to be allocated to the 2<sup>nd</sup>, 3<sup>rd</sup> and 4<sup>th</sup> sub-circuits respectively.

**(to be declared by the State Board of Elections pursuant to 10 ILCS 5/25-3 (b) (c))**

**Primary Election will be held February 5, 2008 - General Election will be held November 4, 2008 - Term Begins December 1, 2008**

165

4. **Other business.**
5. **Comments from the Chairman and Vice Chairman.**
6. **Comments from the general public.**
7. **The next Board meeting is scheduled for Friday, September 14, 2007 at 10:30 a.m. in Springfield.**
8. **Executive Session.**